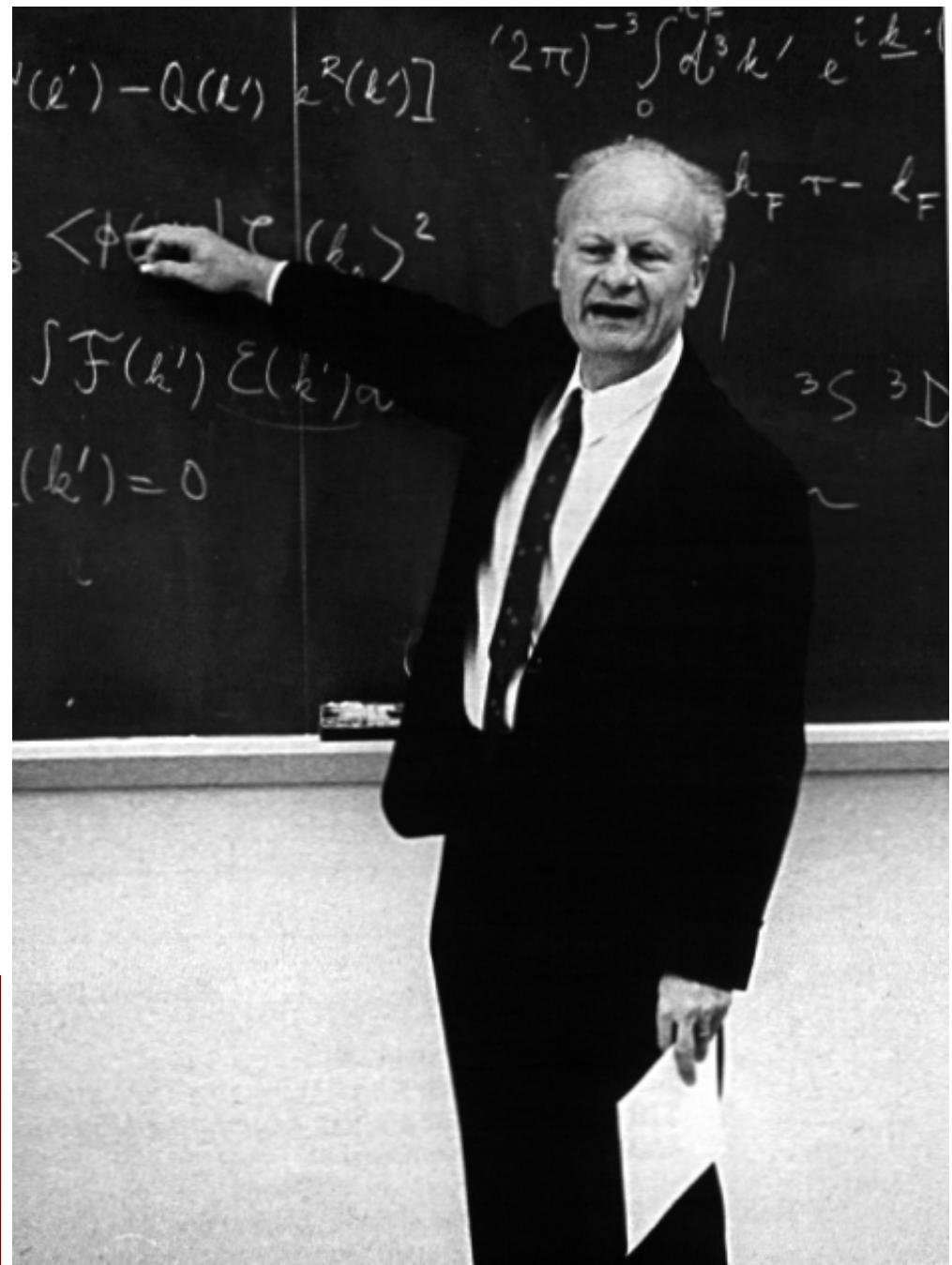




Hans Bethe, 1906 -

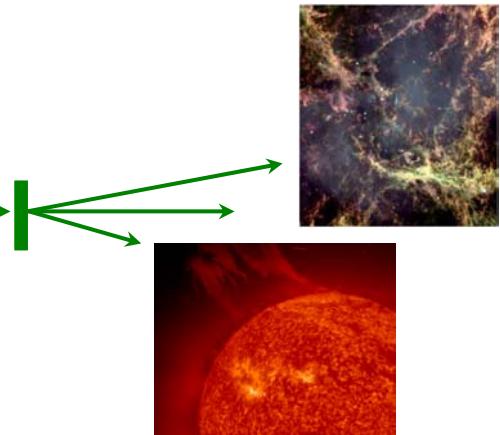
“Energy Production in Stars”  
Phys. Rev. 55, 434 (1939)



# Fusion Reactions in Stars: Challenges and Solutions

C.A. Bertulani

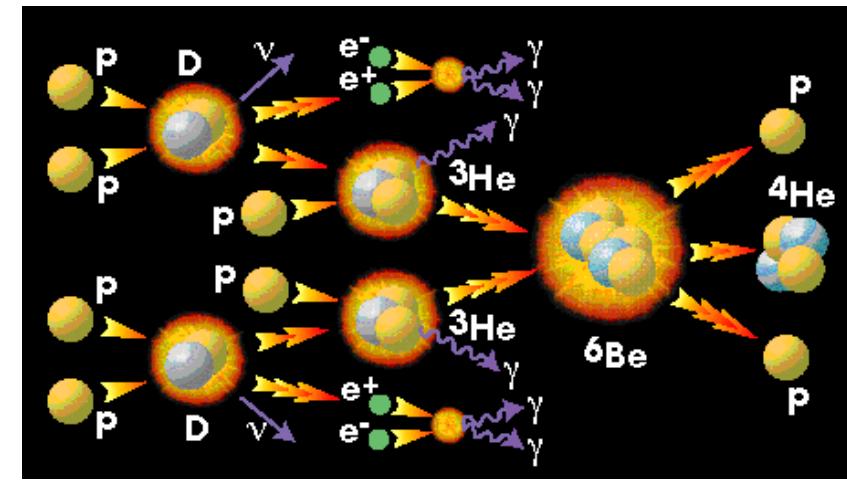
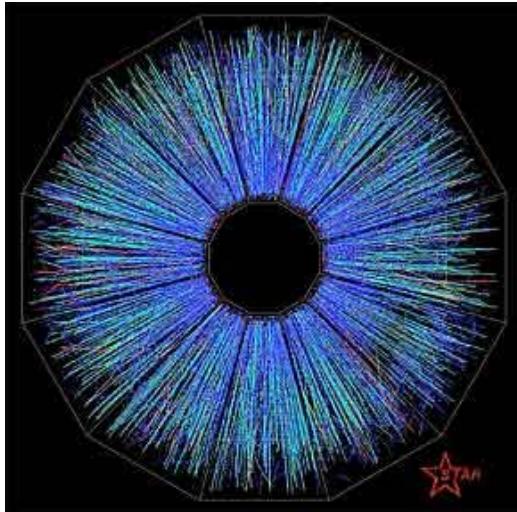
NSCL, Michigan State University



- Very high vs. very low energies
- Problems with low energy experiments
- NSCL/MSU's role: indirect methods
- Applications and status
- Perspectives

# Challenges in Nuclear Astrophysics

## Very high vs. very low nuclear energies



←

**100 GeV/nucleon**

???

Exotic stellar site

Quark matter in compact stars, Big Bang

**keV/nucleon**

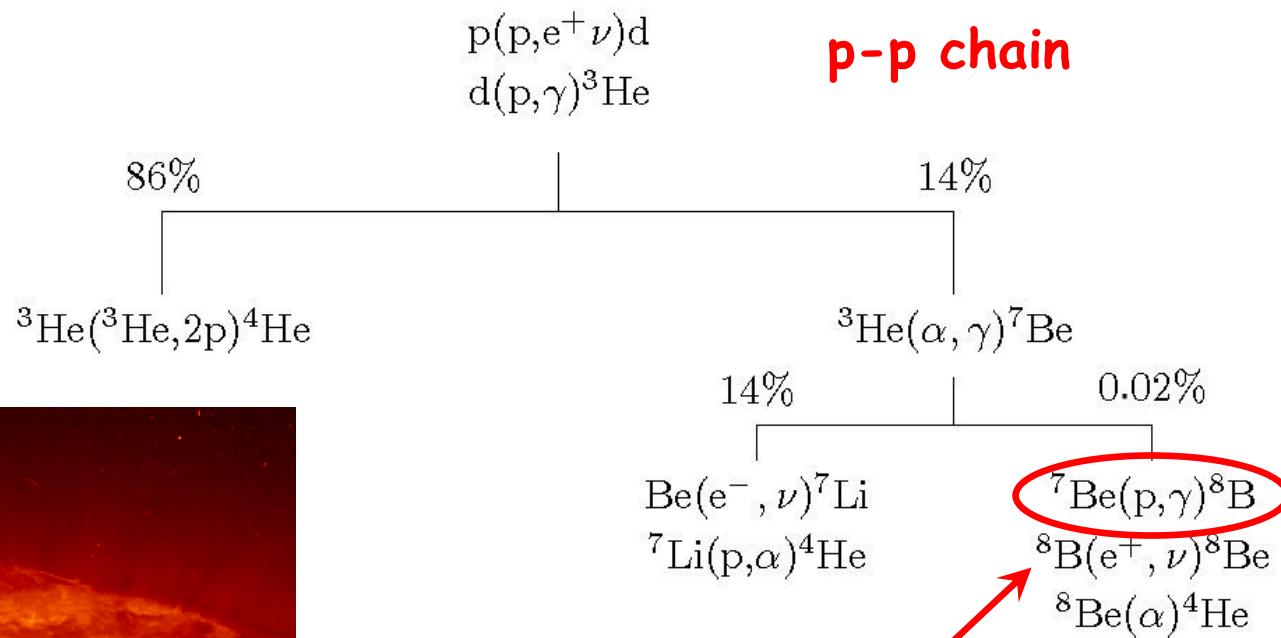
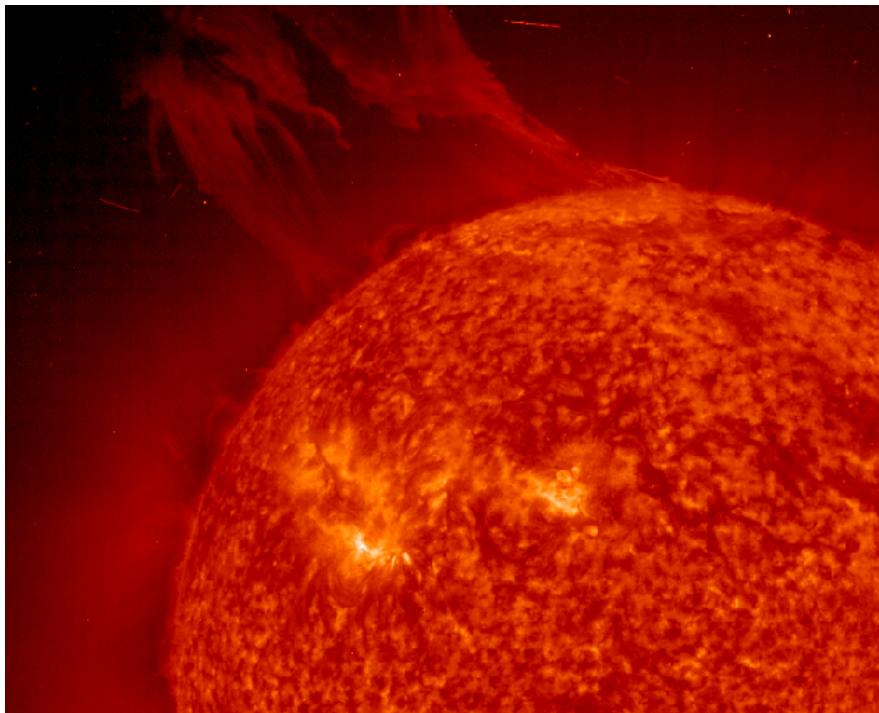
???

Typical stellar site

Stellar evolution

# Why are low energies interesting?

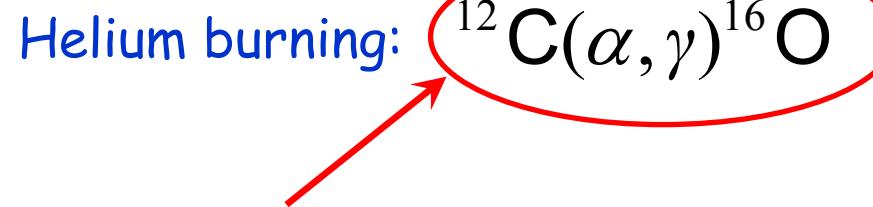
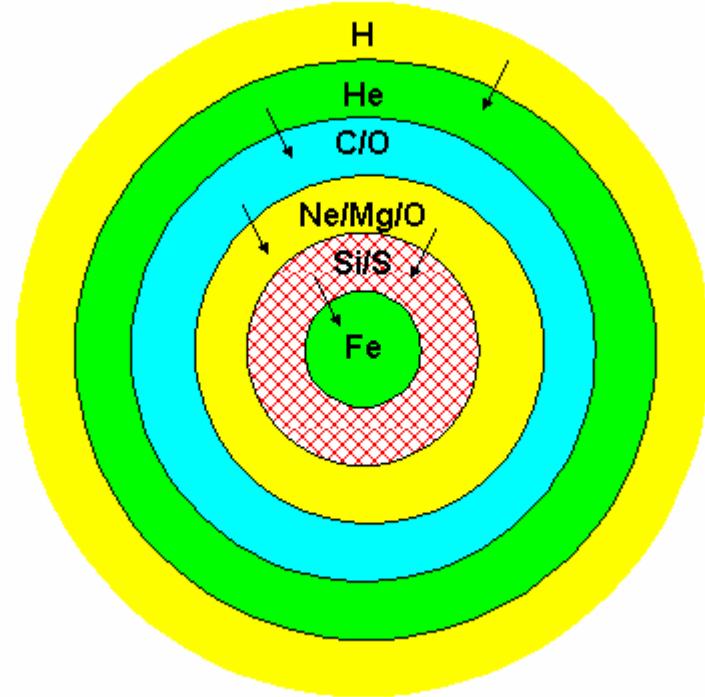
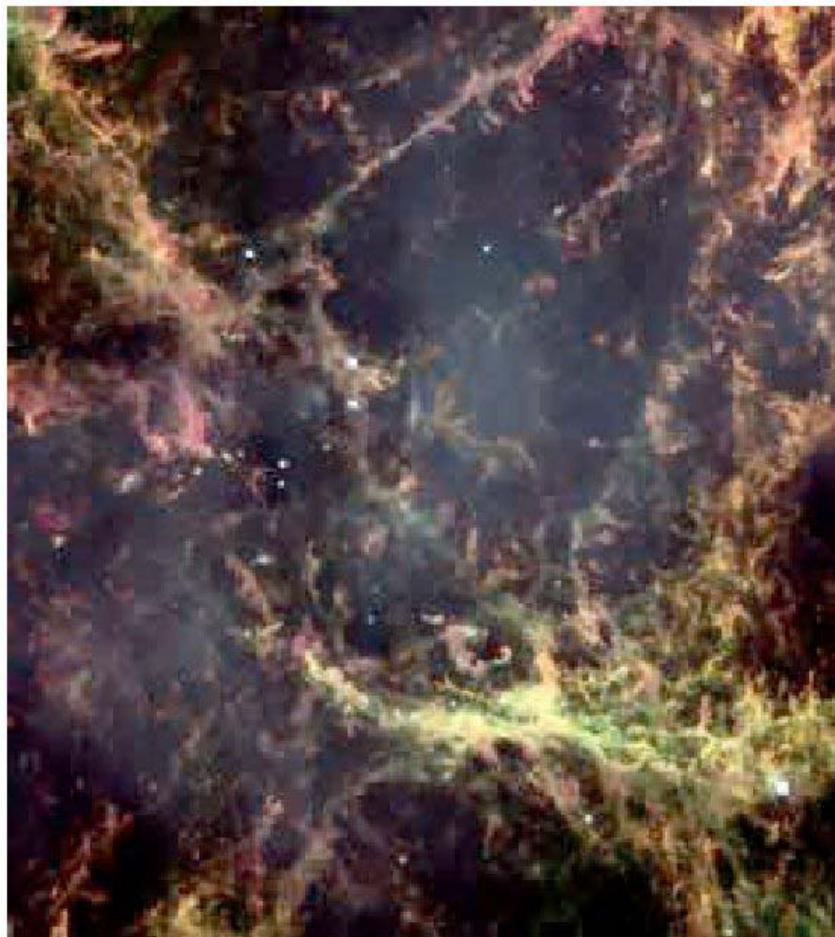
(a) Understand  
our Sun



Solar neutrinos

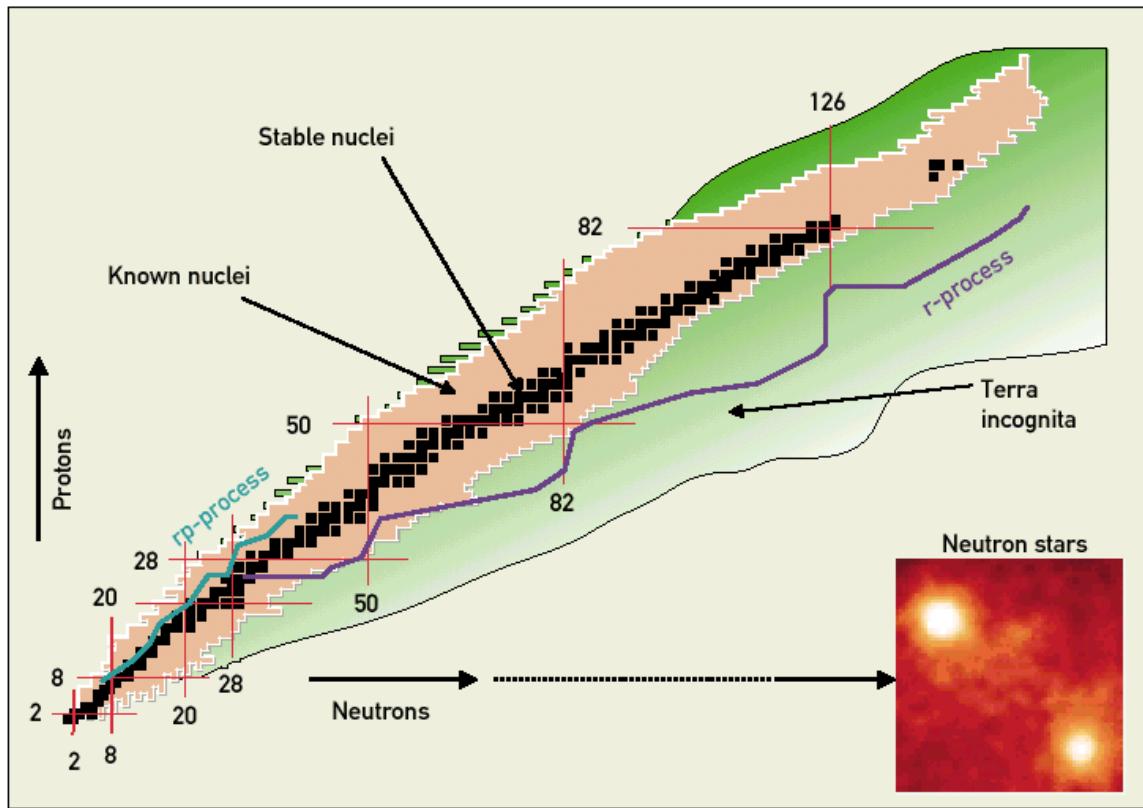
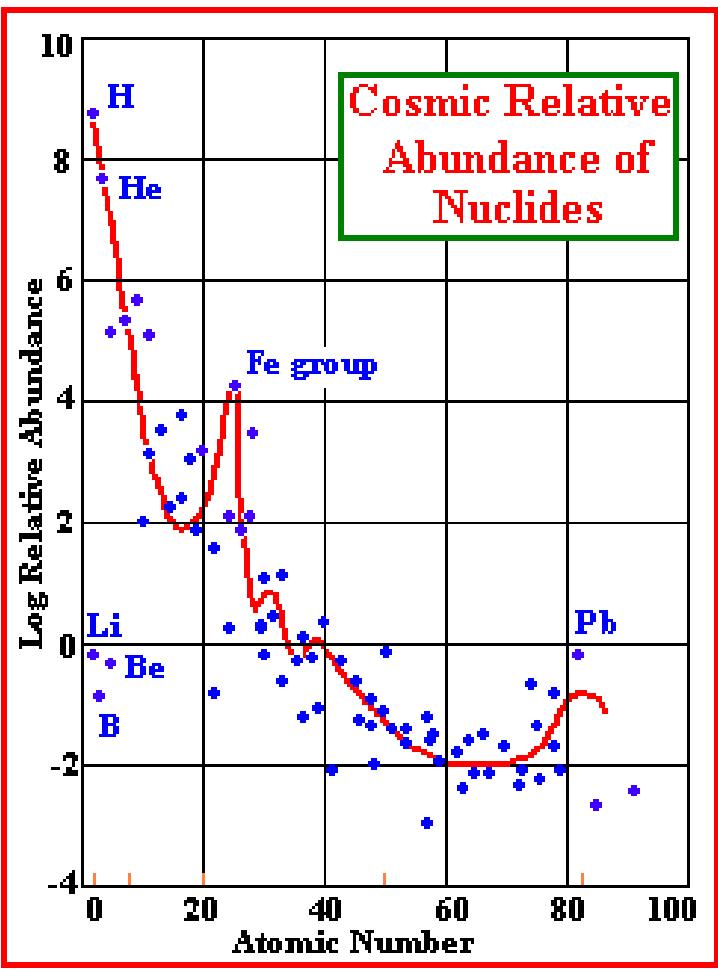
$E_\nu < 15 \text{ MeV}$

## (b) Fate of massive stars



Supernovae remnants: black holes or neutron stars?

# (c) Nucleosynthesis

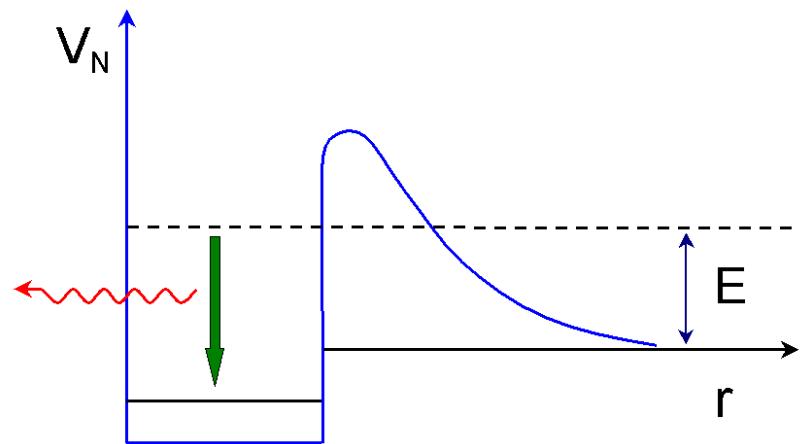


Rapid n-capture:  
 $(n, \gamma)$  faster than  $\beta$ -decay

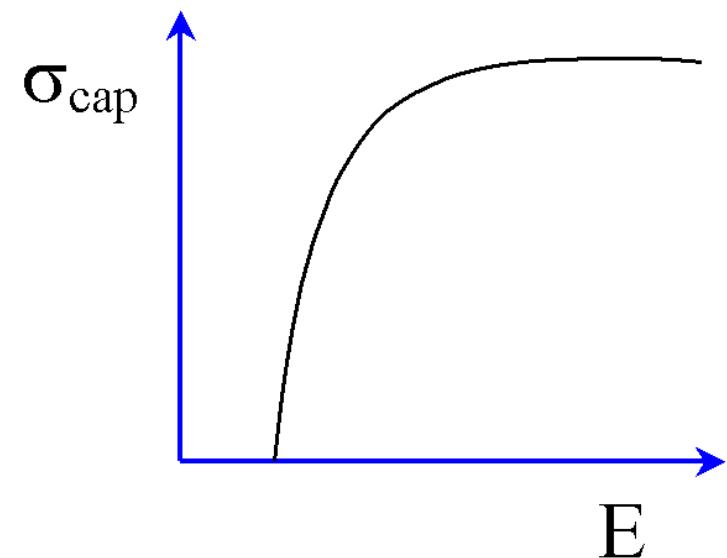
Numerous  $\sigma(n, \gamma)$  needed

# Experimental problems

Charged particles



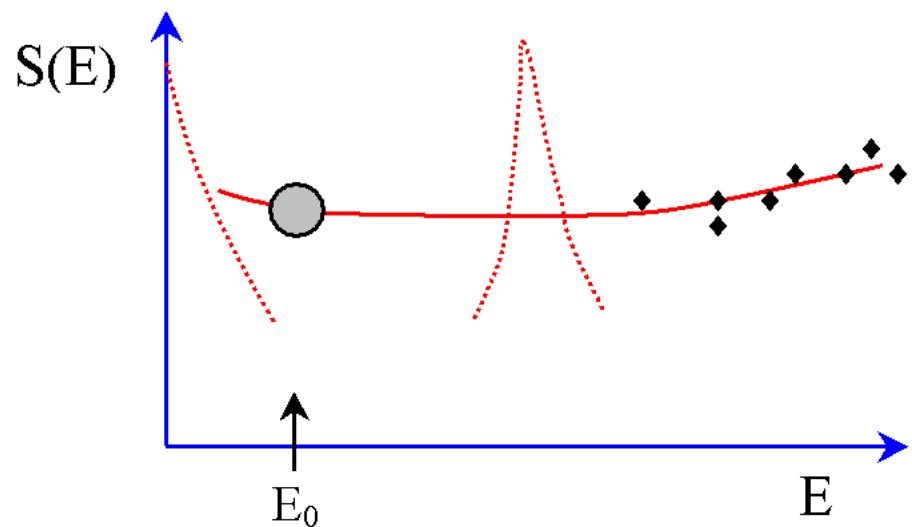
Steep energy dependence

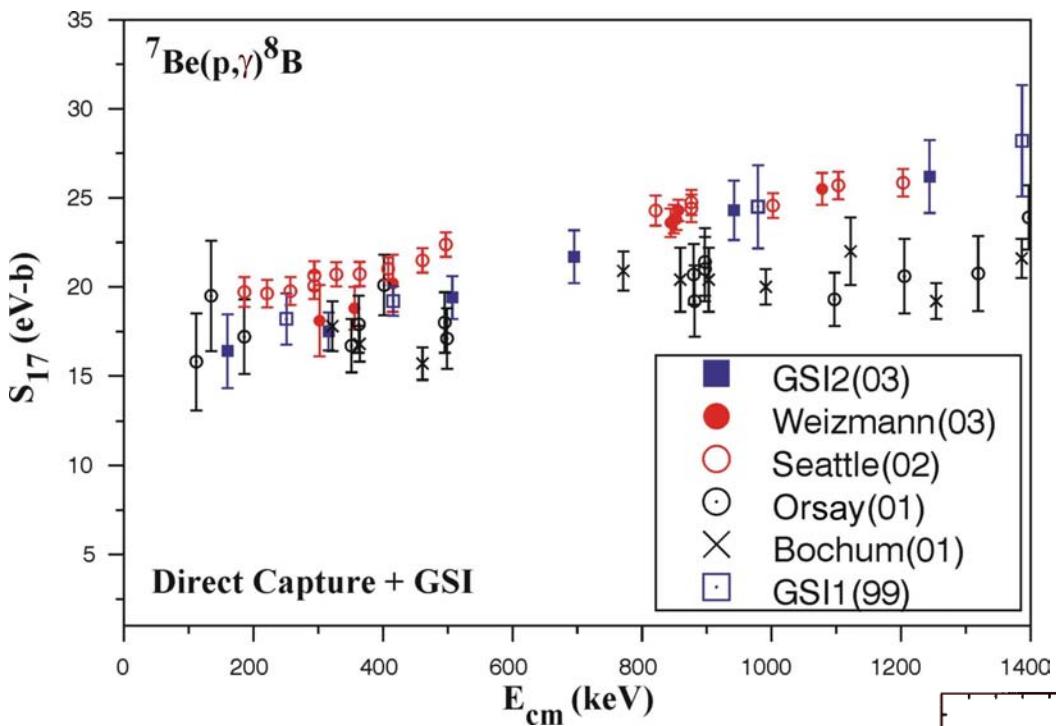


Astrophysical  $S$ -factor

$$\sigma(E) = \frac{1}{E} S(E) \exp\left[-2\pi \frac{Z_1 Z_2 e^2}{\hbar v}\right]$$

Unreliable extrapolations





$^{7}\text{Be}(\text{p},\gamma)^{8}\text{B}$

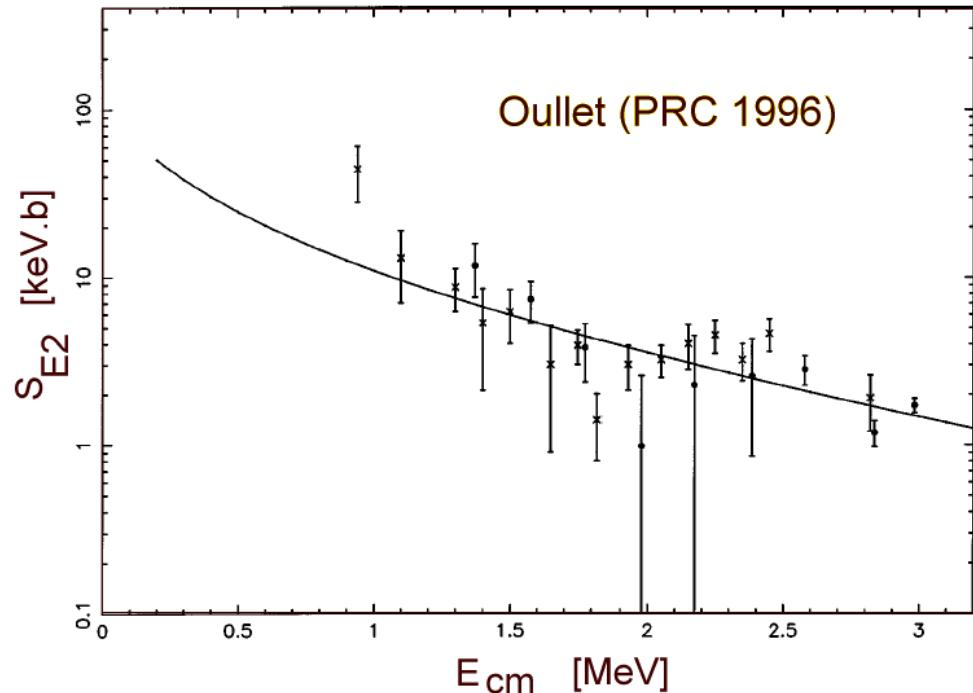
Solar neutrino problem might  
be due to  $\nu$ -oscillations

But this reaction needs to  
be known more accurately

- Bahcall

$^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$

Has to be known better  
than 20% - Woosley 1993



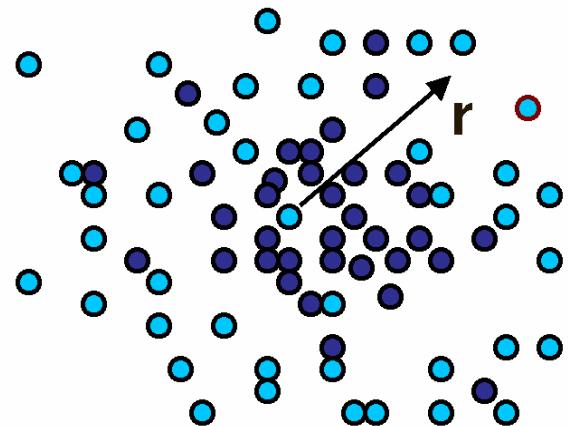
# Other (serious) problems

## (a) electron screening (in stars)

Debye-Hueckel screening (Salpeter 1959)

$$\langle \sigma v \rangle_{plasma} = f(E) \langle \sigma v \rangle_{bare}$$

$$V_{eff} = \frac{Z_1 Z_2 e^2}{r} e^{-r/R_D} \sim \frac{Z_1 Z_2 e^2}{r} - U_e$$

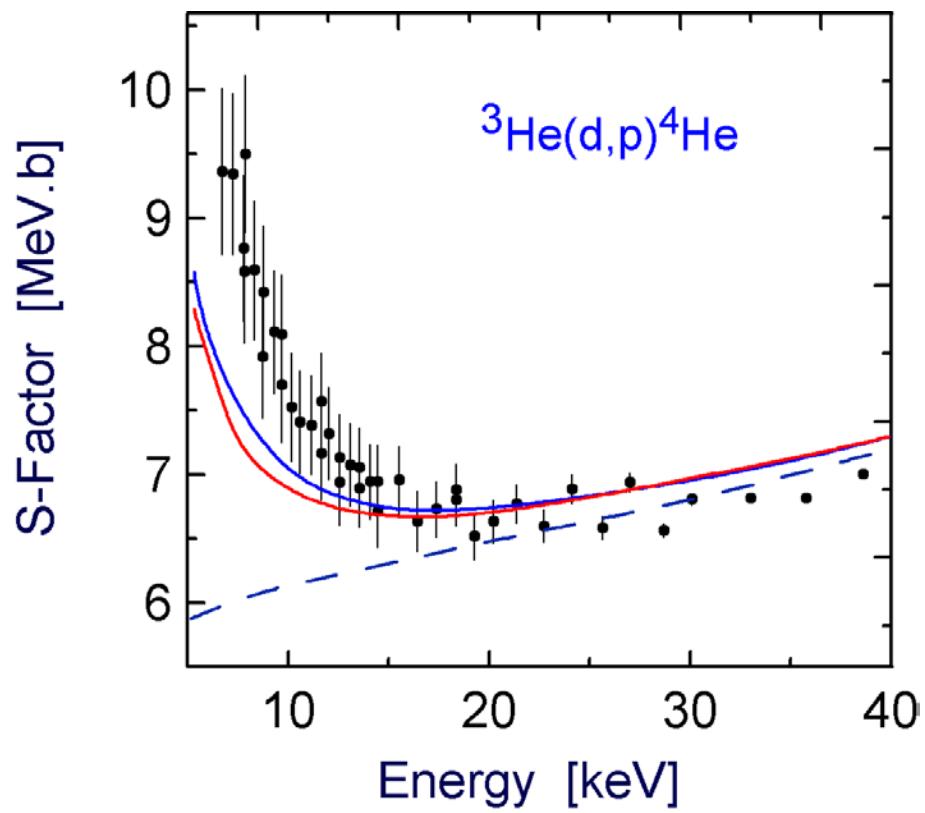
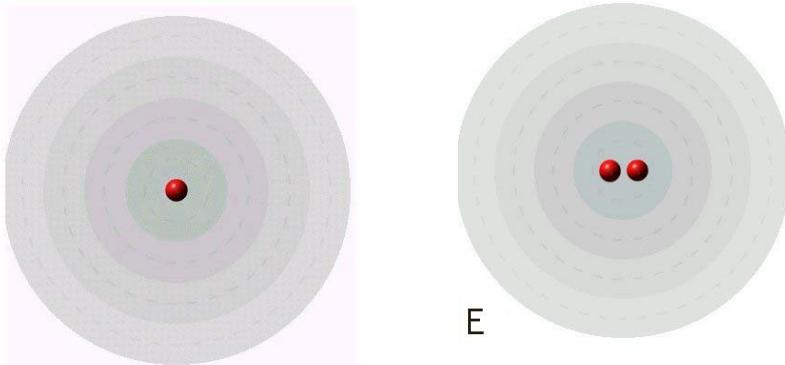


$$f(E) = e^{U_e/kT}, \quad R_D \sim \sqrt{kT/\rho} \sim 0.218 \text{ } \overset{\circ}{\text{A}} \text{ (Sun)}$$

$${}^7\text{Be}(\text{p},\gamma){}^8\text{B} \text{ (}T = 20\text{keV}\text{)}: \quad f(E) \cong 1.2 \quad (\text{20 \% effect})$$

- Dynamics of continuum electrons 1 - 10 % effect
- Fluctuations in ion number in Debye-Hueckel sphere

## (b) Electron screening (in the laboratory)



Adiabatic model:  $\Delta E = E' - E$

$$\sigma_{lab} \sim \sigma_{bare}(E + \Delta E)$$

$$\sim \exp\left[\pi \eta(E) \frac{\Delta E}{E}\right] \sigma_{bare}(E)$$

— S<sub>bare</sub>  
 — Dynamic  
 — Adiabatic

Rolfs, 1995

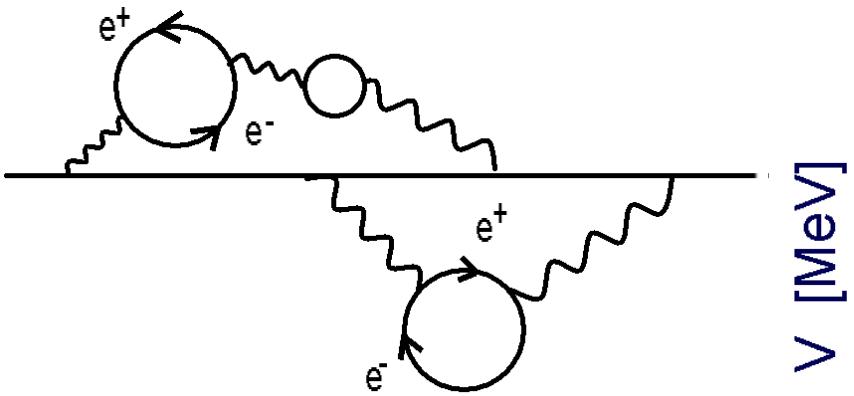
Reaction

Reaction	$\Delta E$ [eV] experiment	$\Delta E$ [eV] adiabatic limit
$d({}^3\text{He}, p) {}^4\text{He}$	$180 \pm 30$	119
${}^6\text{Li}(\text{p}, \alpha) {}^3\text{He}$	$470 \pm 150$	186
${}^6\text{Li}(\text{d}, \alpha) {}^4\text{He}$	$380 \pm 250$	186
${}^7\text{Li}(\text{p}, \alpha) {}^4\text{He}$	$300 \pm 280$	186
${}^{11}\text{B}(\text{p}, \alpha) {}^2\text{He}$	$620 \pm 65$	348

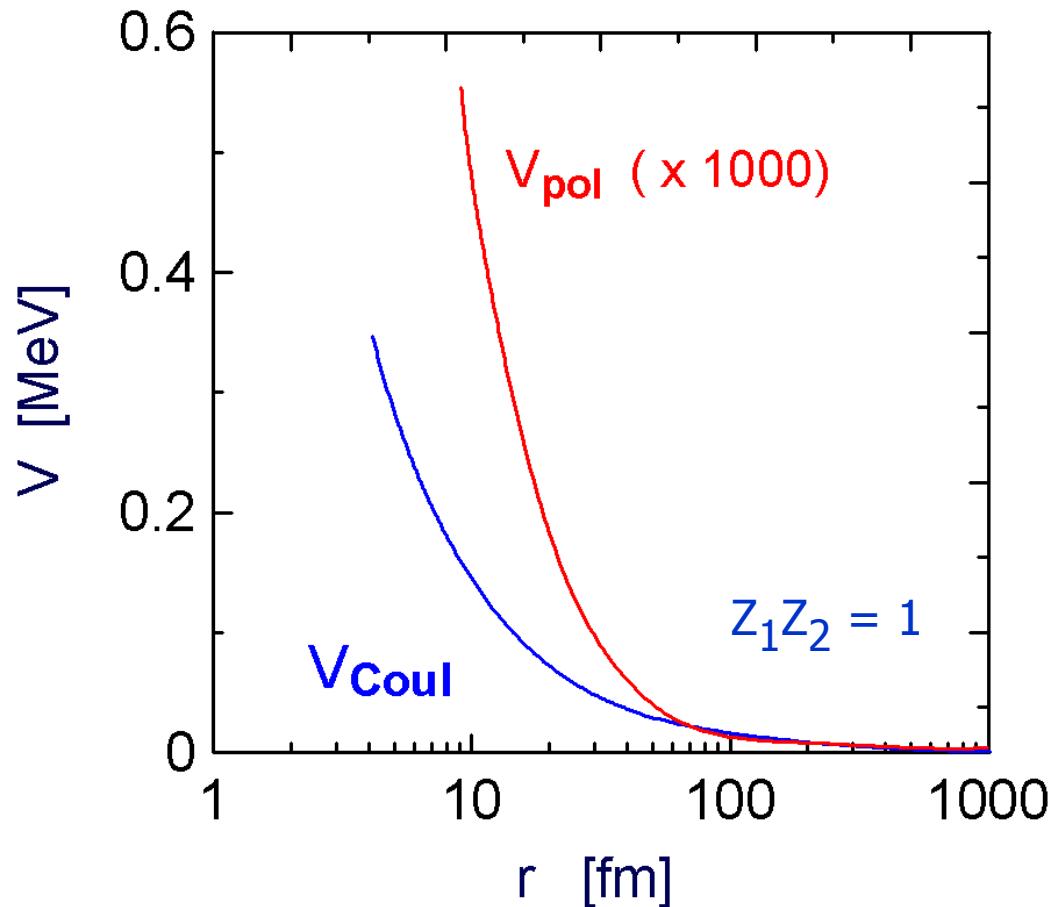
# Possible solutions

## (a) Amplification of small effects

Vacuum polarization



- Relativistic effects
- Bremsstrahlung
- Atomic polarizabilities



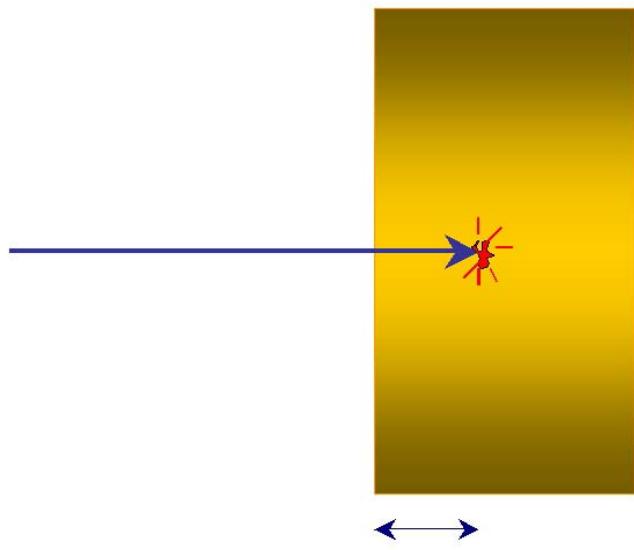
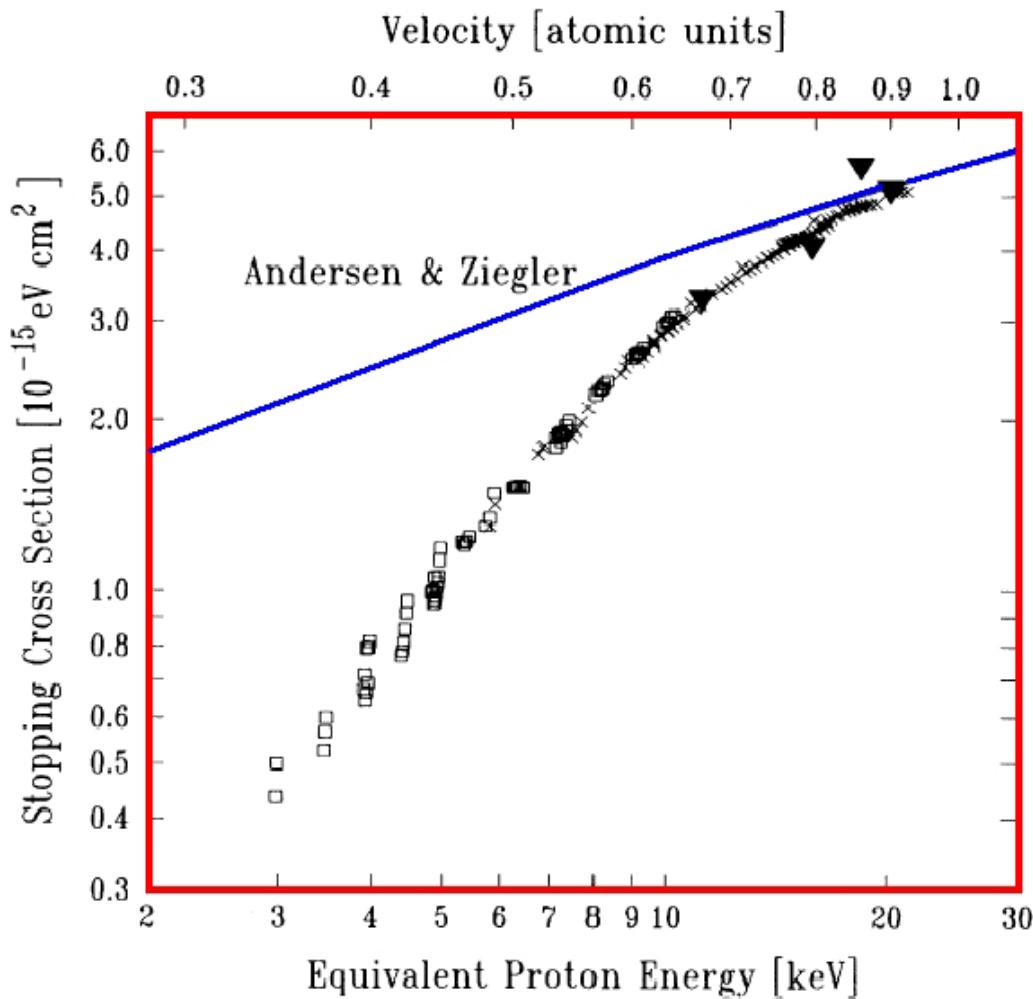
C.B., Balantekin, Hussein, NPA 1997

<i>Corrections</i>	
Vaccum Polarization	$\sim 1\%$
Relativity	$10^{-3}$
Bremsstrahlung	$10^{-3}$
Atomic porarization	$10^{-5}$
Nuclear polarization	$< 10^{-10}$

Not a solution!

## (b) Wrong extrapolation of stopping power?

Bang, PRC 1996; Langanke, PLB 1996



$$S = -\frac{dE}{dx}$$

$$E' = E - S \cdot \Delta x$$

H + He

Golser and Semrad, PRL 1991

Mainly charge-exchange

# Stopping power at very low energies

## (a) Test with the simplest system

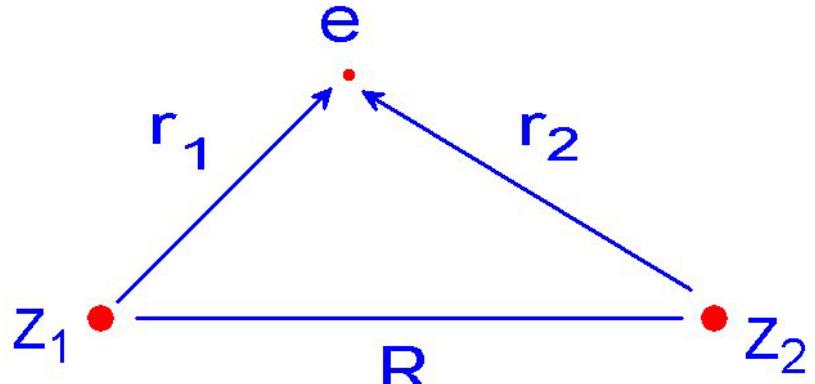
$p + H$   
 $P + D$

C.B. and de Paula, PRC 2000

c.c. t.d. Schroedinger eq. in a  
 two-center basis  $|m\rangle$

$$\xi = \frac{r_1 + r_2}{R}; \quad \eta = \frac{r_1 - r_2}{R}; \quad \phi$$

$$\Psi = F(\xi) G(\eta) e^{im\phi}$$

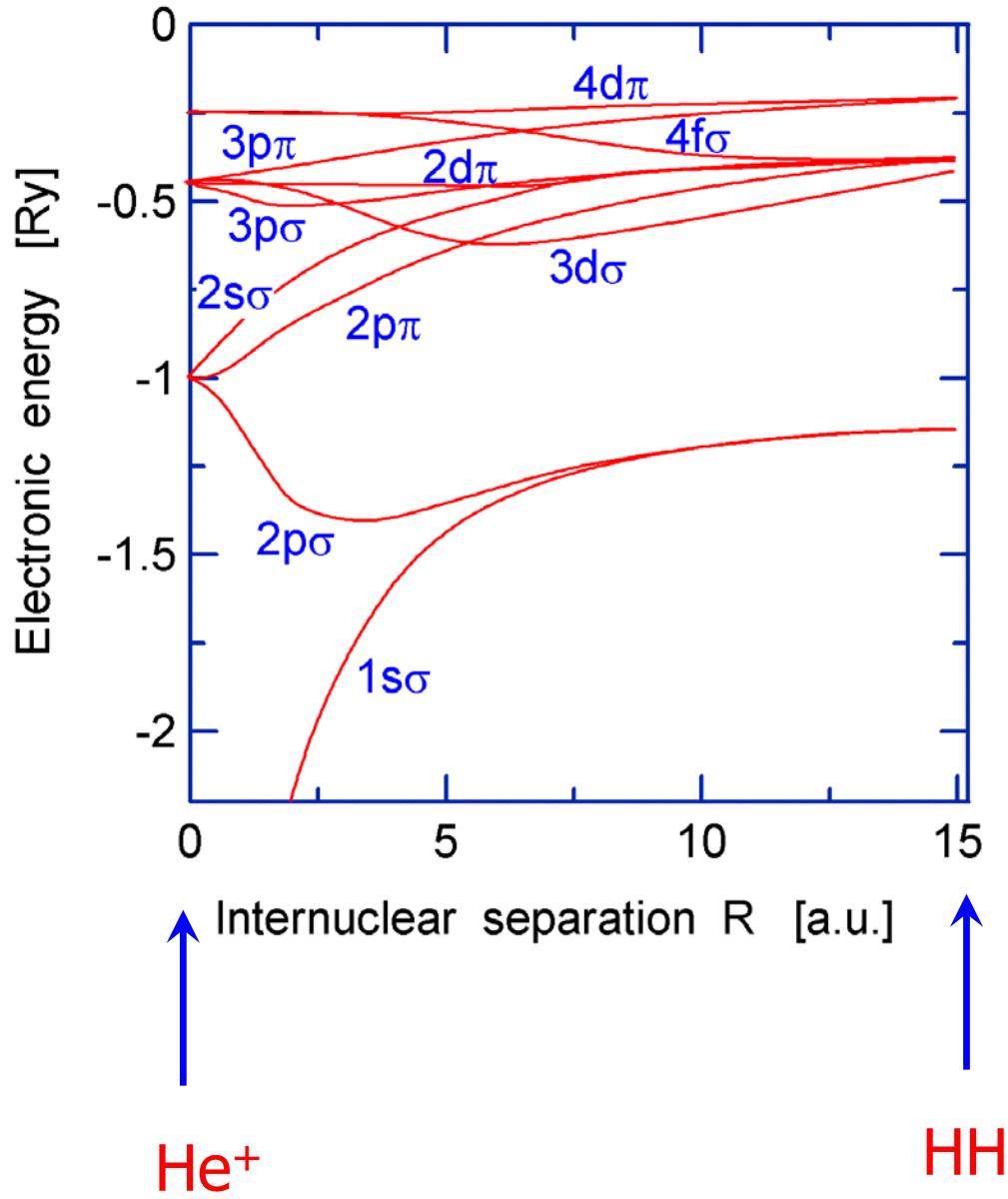


Elliptic coordinates

$$\frac{d}{d\xi} \left[ \left( \xi^2 - 1 \right) \frac{dF}{d\xi} \right] + \left[ \frac{R^2 \xi^2}{2} E + 2R\xi - \frac{m^2}{\xi^2 - 1} \right] F(\xi) = 0$$

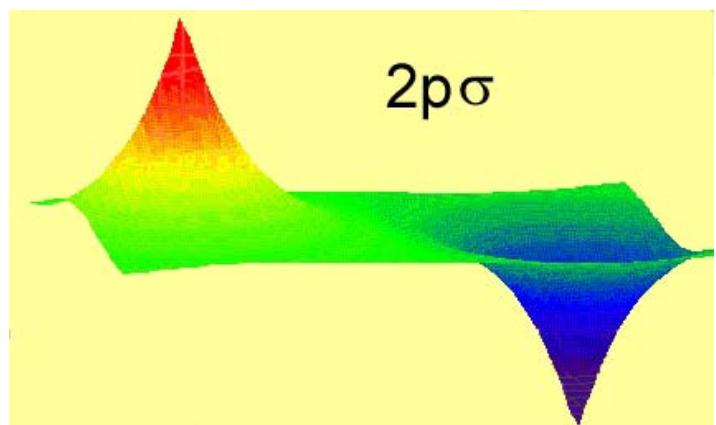
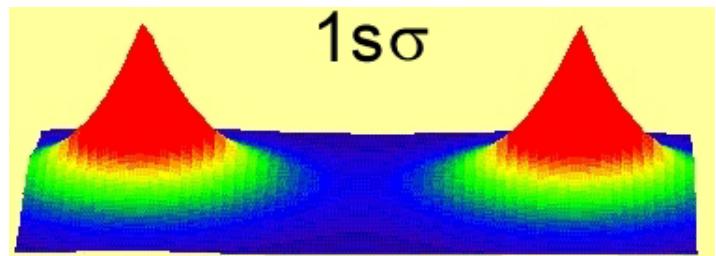
$$\frac{d}{d\eta} \left[ \left( 1 - \eta^2 \right) \frac{dG}{d\eta} \right] - \left[ \frac{R^2 \xi^2}{2} E + 2R\xi + \frac{m^2}{\eta^2 - 1} \right] G(\eta) = 0$$

# Expansion basis: molecular orbitals for p+H



$$l_z \Phi_s = \pm \lambda \Phi_s$$

Value of $\lambda$	0	1	2	3
Code letter	$\sigma$	$\pi$	$\delta$	$\phi, \dots$



# Dynamical calculations

$$i\hbar \frac{d}{dt} a_m(t) = E_m(t) a_m(t) - i\hbar \sum_n a_n(t) \left\langle m \left| \frac{d}{dt} \right| n \right\rangle$$

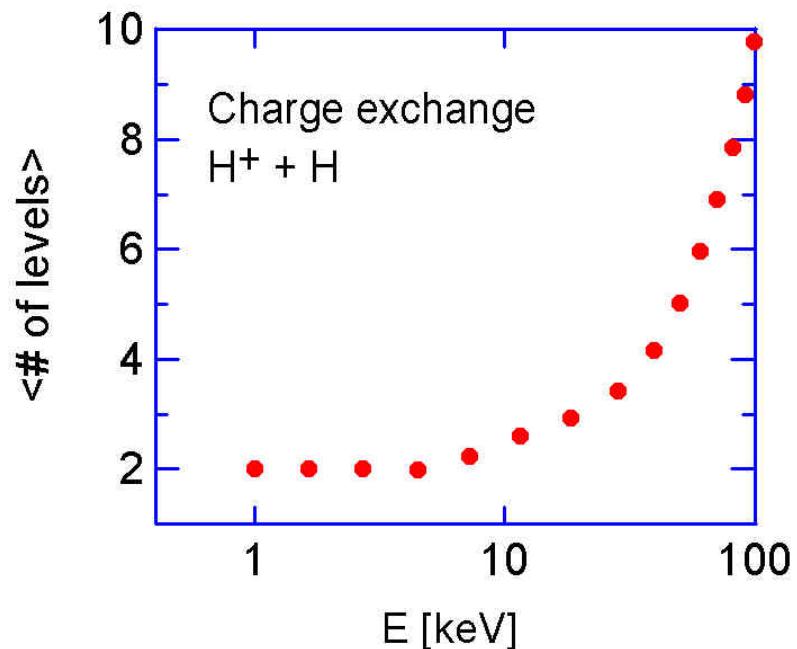
$$\left\langle m \left| \frac{d}{dt} \right| n \right\rangle = \frac{\left\langle m \left| dV_p / dt \right| n \right\rangle}{E_n(t) - E_m(t)}, \quad 0 \text{ otherwise}$$

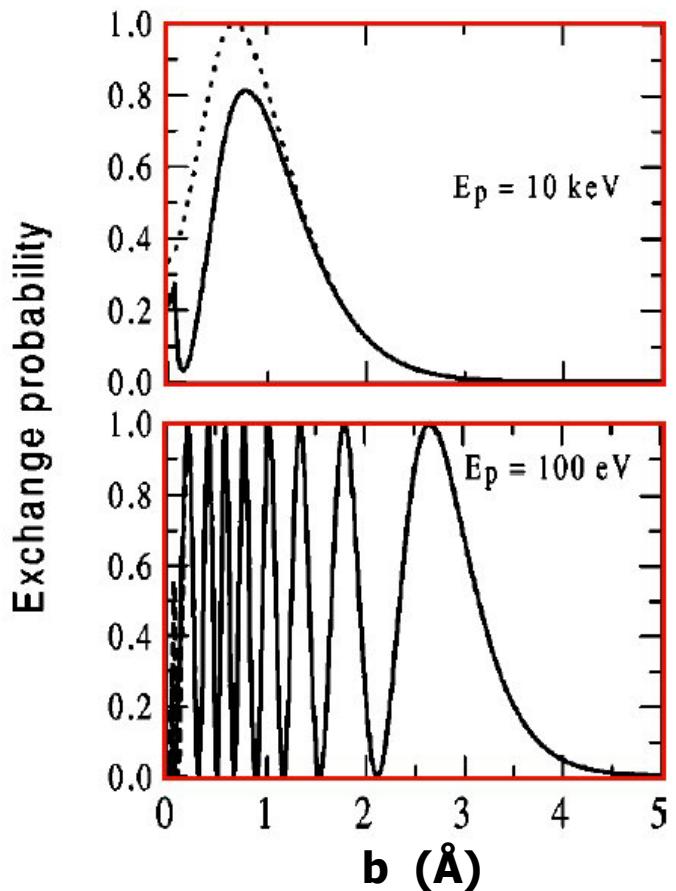
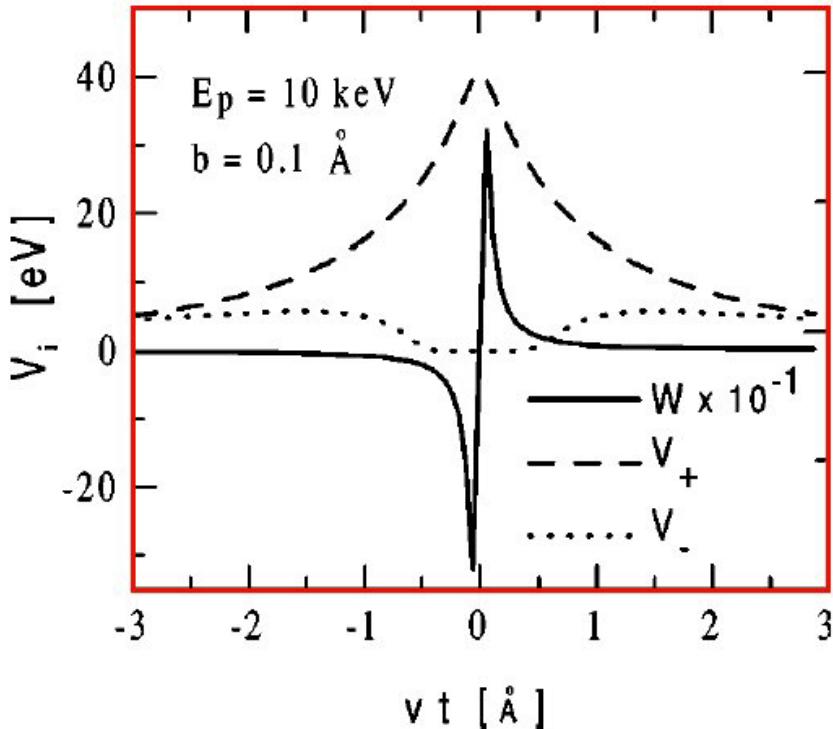
Hellman, Feynmann  
relation

For  $E_p < 30$  keV, only  $1s\sigma$  and  $2p\sigma$   
2-level problem

$$i\hbar \frac{d}{dt} \begin{pmatrix} a_+ \\ a_- \end{pmatrix} = \begin{pmatrix} V_+ + E_0 & iW \\ iW & V_- + E_0 \end{pmatrix} \begin{pmatrix} a_+ \\ a_- \end{pmatrix}$$

$$W(t) = \hbar \frac{\left\langle \Psi_{1s\sigma} \left| dV_p / dt \right| \Psi_{2p\sigma} \right\rangle}{E_{1s\sigma}(t) - E_{2p\sigma}(t)}$$





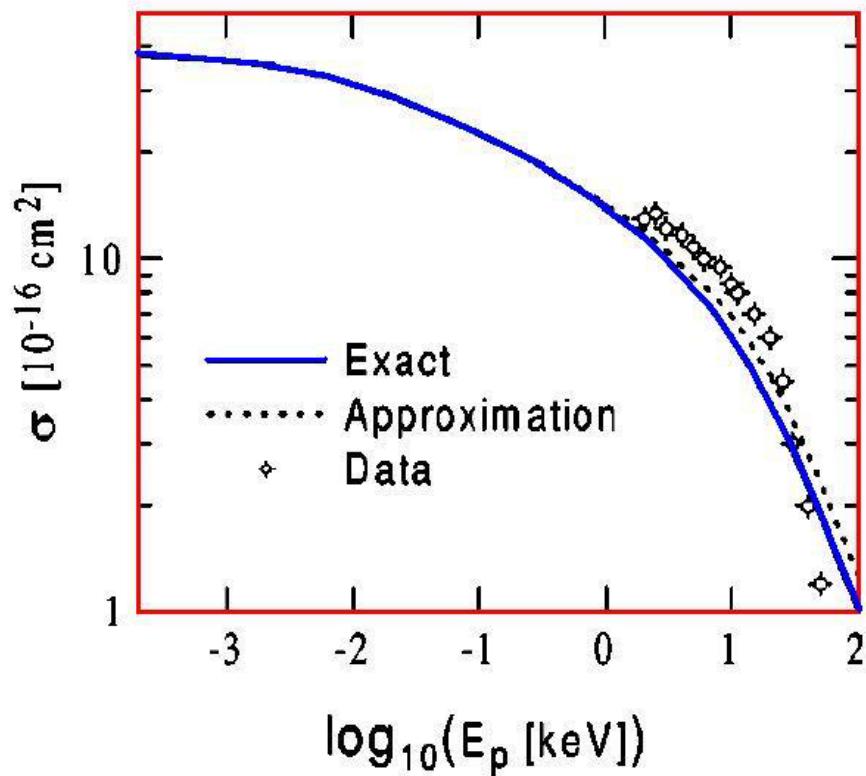
$$P_{exch} = \frac{1}{2} + \frac{1}{2} \cos \left\{ \frac{1}{\hbar} \int_{-\infty}^{\infty} [E_-(t) - E_+(t)] dt \right\}$$

Resonant  
exchange ( $b < 4 \text{ \AA}$ )

$$\int_{-\infty}^{\infty} [E_-(t) - E_+(t)] dt = 2\pi\hbar(n + 1/2), \quad n = 0, 1, 2, \dots, N$$

state	MO	United Atom	Energy [a.u.]	LCAO
Even	$1\sigma_g$	1s	-4	$\frac{1s_1 + 1s_2}{\sqrt{2}}$
Odd	$1\sigma_u$	2p	-1	$\frac{1s_1 - 1s_2}{\sqrt{2}}$

## Charge-exchange x nuclear stopping



Data Andersen-Ziegler, 1977:

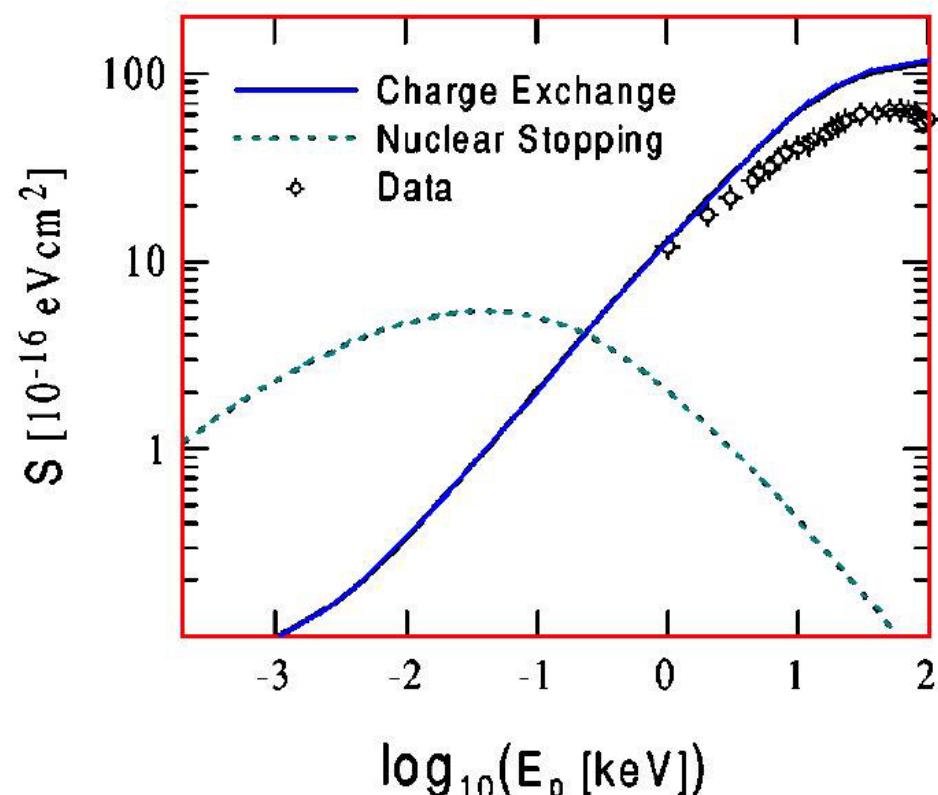
$$S \sim v \quad (E_p = 100 \text{ eV} - 1 \text{ keV})$$

$$S \sim v^{1.35} \quad (\text{Golser \& Semrad: } S \sim v^{3.34} : \text{He}^+ + \text{He})$$

$$\sigma = 2\pi \int P_{exch}(b) db$$

Data: McClure, PR 1966

$$S = n S_p$$



# Stopping in H<sup>+</sup> + He collisions

C.B., PLB 2004

Slater-type orbitals



$$\phi = N r^{n-1} e^{-\xi r} Y_{lm}(\theta, \phi)$$

$$\Phi_i = \sum_{i=1}^n [c_{ji}^A \phi_i^A + c_{ji}^B \phi_i^B]$$

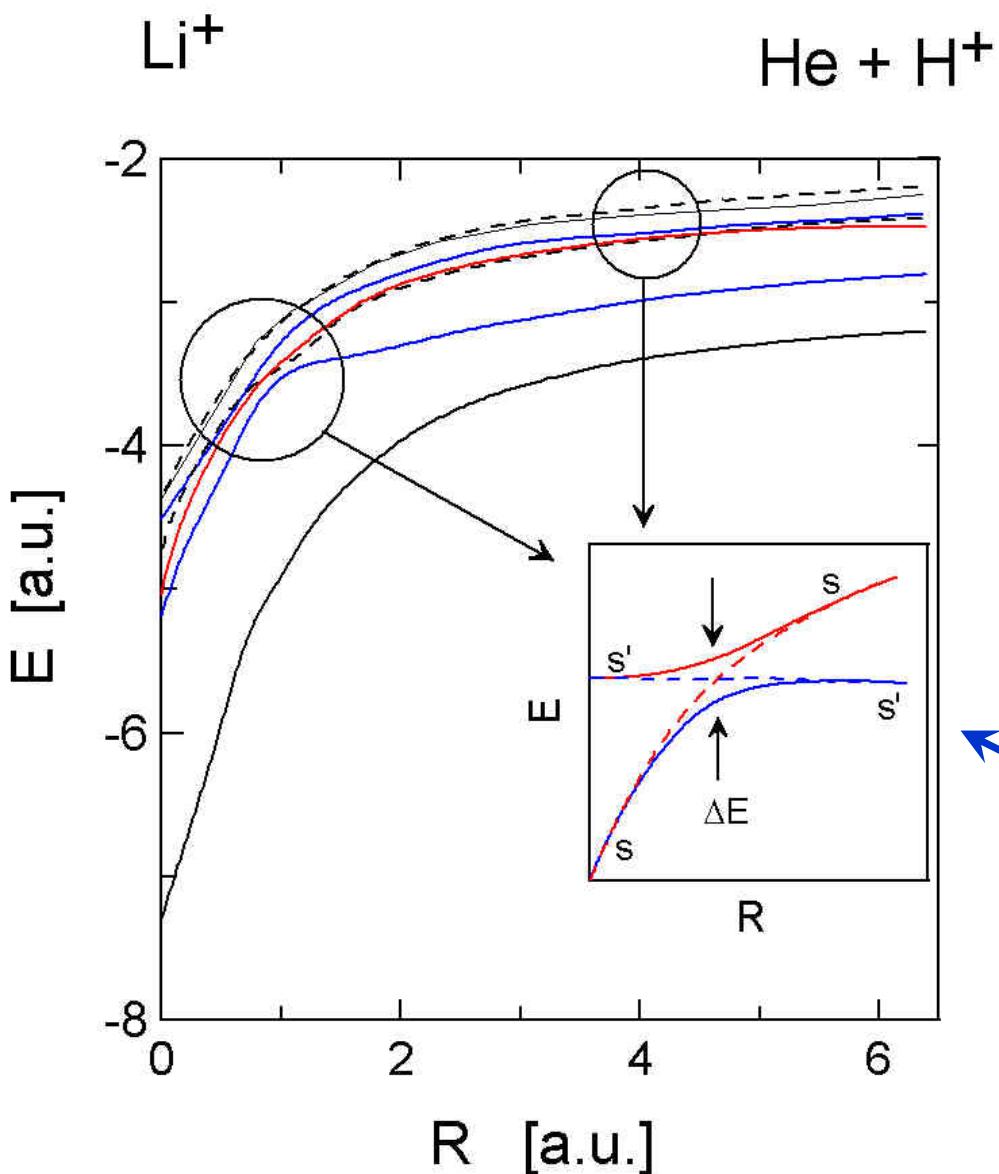
$$F_{\mu\nu} = H_{\mu\nu} + \sum_{\lambda\rho} P_{\lambda\rho} \left[ (\mu\nu | \lambda\rho) - \frac{1}{2} (\mu\rho | \lambda\nu) \right]$$

$$H_{\mu\nu} = \iint \phi_\mu^*(1) \left[ -\frac{1}{2} \nabla_1^2 - \sum_A \frac{1}{r_{1A}} \right] \phi_\nu^*(1) d\tau_1, \quad P_{\lambda\rho} = 2 \sum_{i=1}^{occ} c_{\lambda i} c_{\rho i}$$

$$(\mu\nu | \lambda\rho) = \iint \phi_\mu(1) \phi_\nu(1) \frac{1}{r_{12}} \phi_\lambda(2) \phi_\rho(2) d\tau_1 d\tau_2, \quad S_{\mu\nu} = \int \phi_\mu(1) \phi_\nu(1) d\tau_1$$

$$E(R) = \sum_{\mu\nu} P_{\mu\nu} H_{\mu\nu} + \frac{1}{2} \sum_{\mu\nu\lambda\rho} P_{\mu\nu} P_{\lambda\rho} \left[ (\mu\nu | \lambda\rho) - \frac{1}{2} (\mu\rho | \lambda\nu) \right]$$

# 8 lowest levels in $\text{H}^+ + \text{He}$ molecule

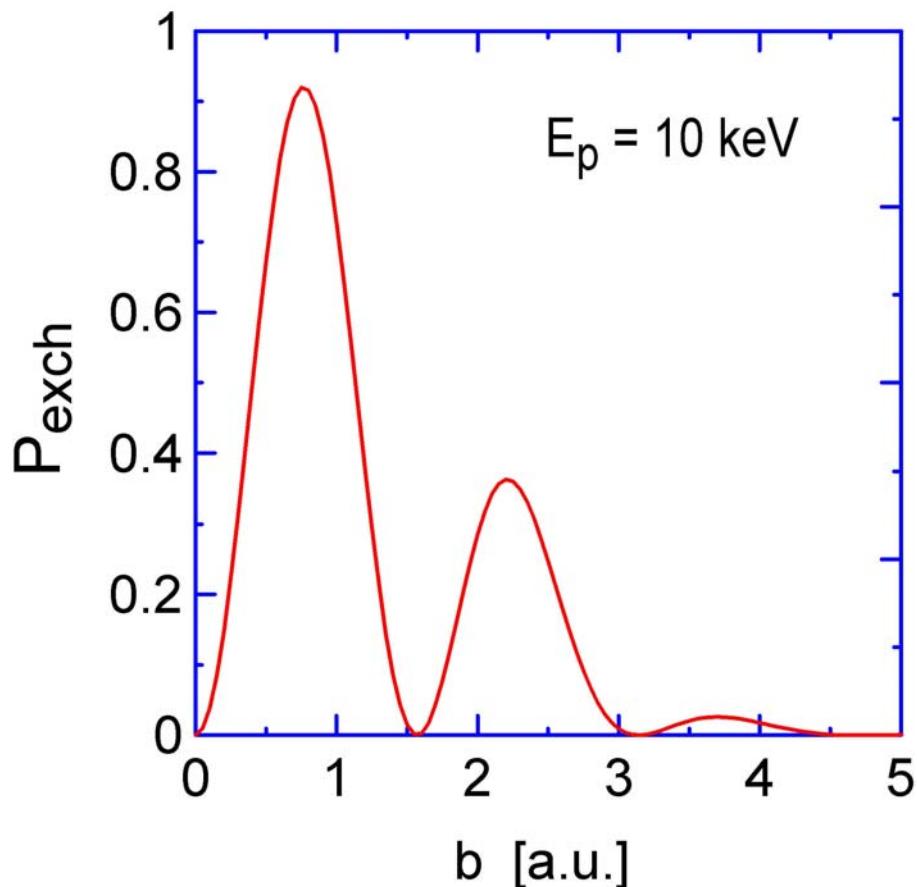


Separated atom	United atom
$\text{H}^+ + \text{He}(1s^2)$	$0\Sigma$
$\text{H}(1s) + \text{He}^+(1s)$	$1\Sigma$
$\text{H}^+(1s) + \text{He}(1s2s)$	$2\Sigma$
$\text{H}(n = 2) + \text{He}^+(1s)$	$1\Pi$
$\text{H}(n = 2) + \text{He}^+(1s)$	$3\Sigma$
$\text{H}(n = 2) + \text{He}^+(1s)$	$4\Sigma$
$\text{H}^+ + \text{He}(1s1p)$	$5\Sigma$
$\text{H}^+ + \text{He}(1s1p)$	$2\Pi$

Von Neumann – Wigner  
non-crossing rule

# Dynamics of $\text{H}^+ + \text{He}$ collisions

Damping of resonant exchange



$$P = e^{-y}$$

Landau-Zener

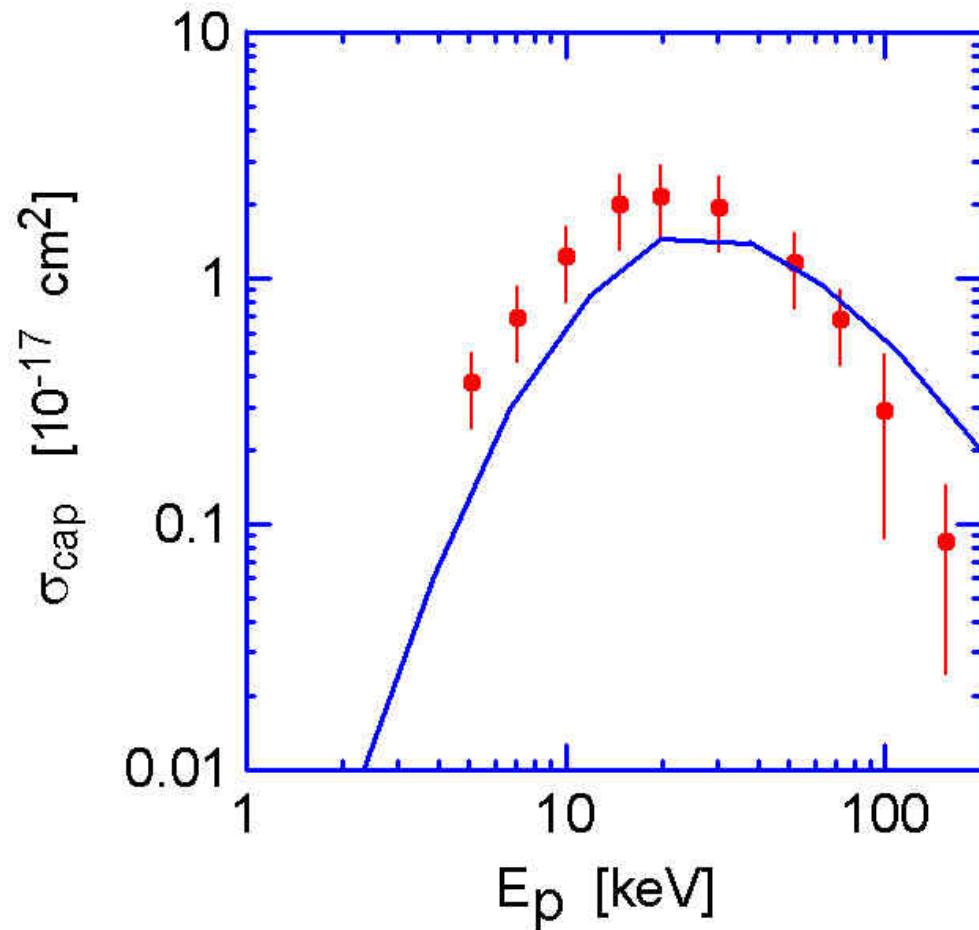
$$y = \frac{2\pi H_{12}^2}{\hbar |\dot{E}_1 - \dot{E}_2|} \sim \frac{2\pi^2 \Gamma^2 \Delta t_{\text{coll}}}{E_1 - E_2}$$

$$\frac{2\Gamma}{E_1 - E_2} \sim 0.1$$

$$P = e^{-\Gamma \Delta t_{\text{coll}}} \cos \left[ \frac{H_{12} a}{2v} \right]$$

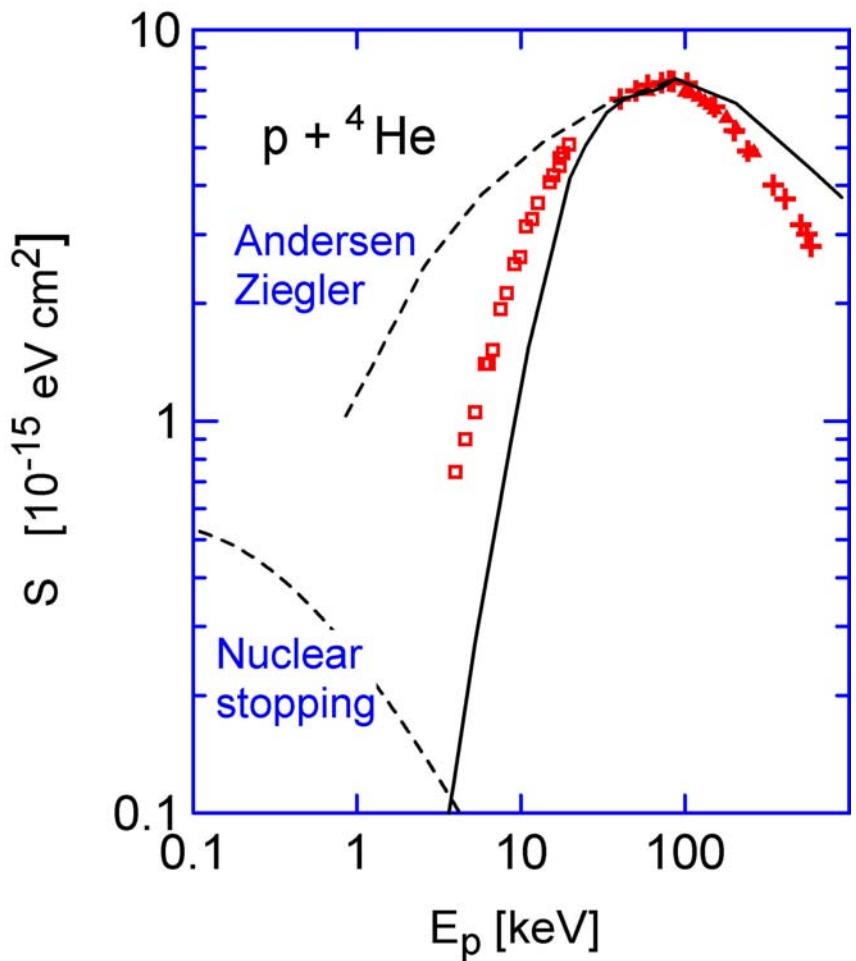
# $\text{H}^+ + \text{He}$ exchange cross sections

Data: Rudd et al, PRA 1983



# Threshold effect

Data: Golser & Semrad, NIM 1992



Minimum momentum transfer:

$$q_{\min} = \frac{\mu V}{\hbar} \left[ 1 - \sqrt{1 - \frac{2\Delta E}{\mu V^2}} \right]$$

$$\frac{\hbar^2 q_{\min}^2}{2m_e} \geq \Delta E$$

$$\Rightarrow E_P \geq \frac{\mu^2}{4M_P m_e} \Delta E$$

He:  $1s^2 (^1S_0) \rightarrow 1s2s (^1S) : 19.8 \text{ eV}$

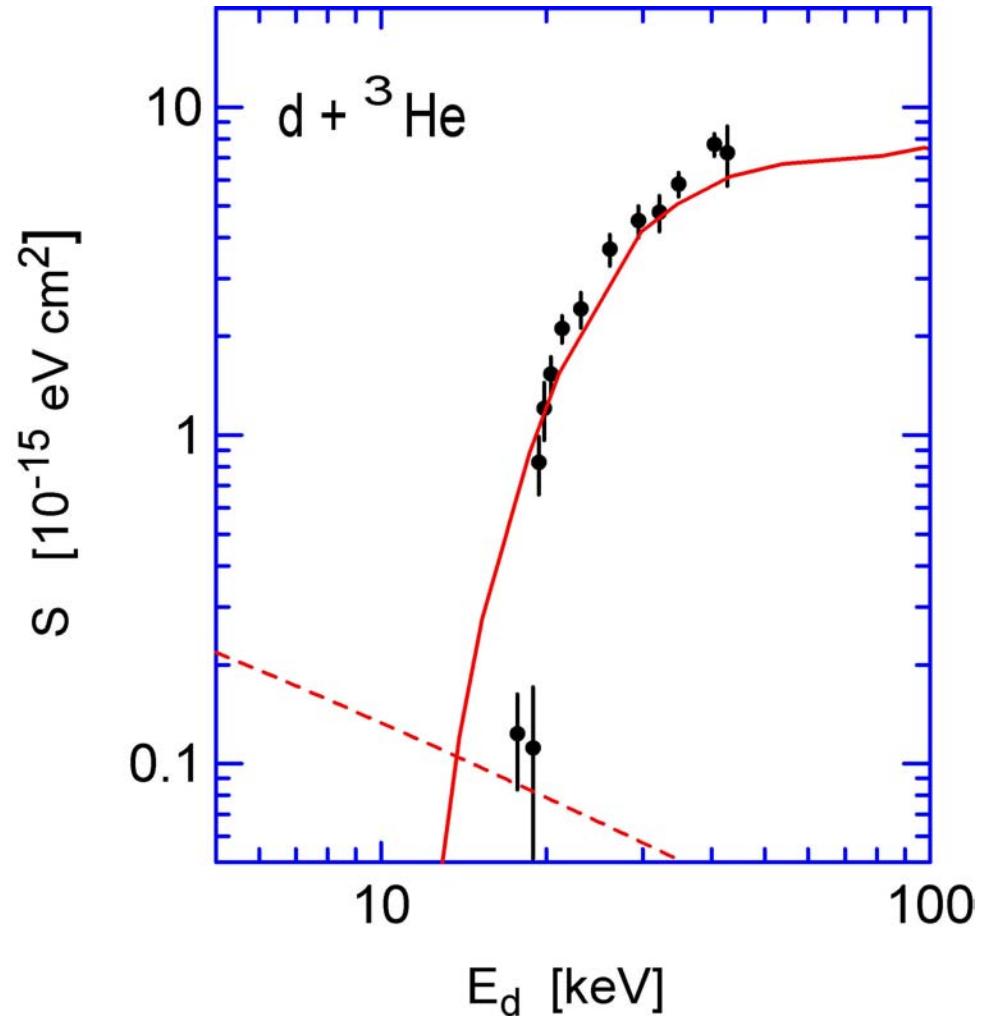
$$\Rightarrow E_P \geq 8 \text{ keV}$$

# Experimental Proof

Formicola et al, Eur. Phys. J. A 2000



How can we understand the plasma screening in the stars if we can not understand it in the laboratory?



## The role of NSCL/MSU: Indirect methods

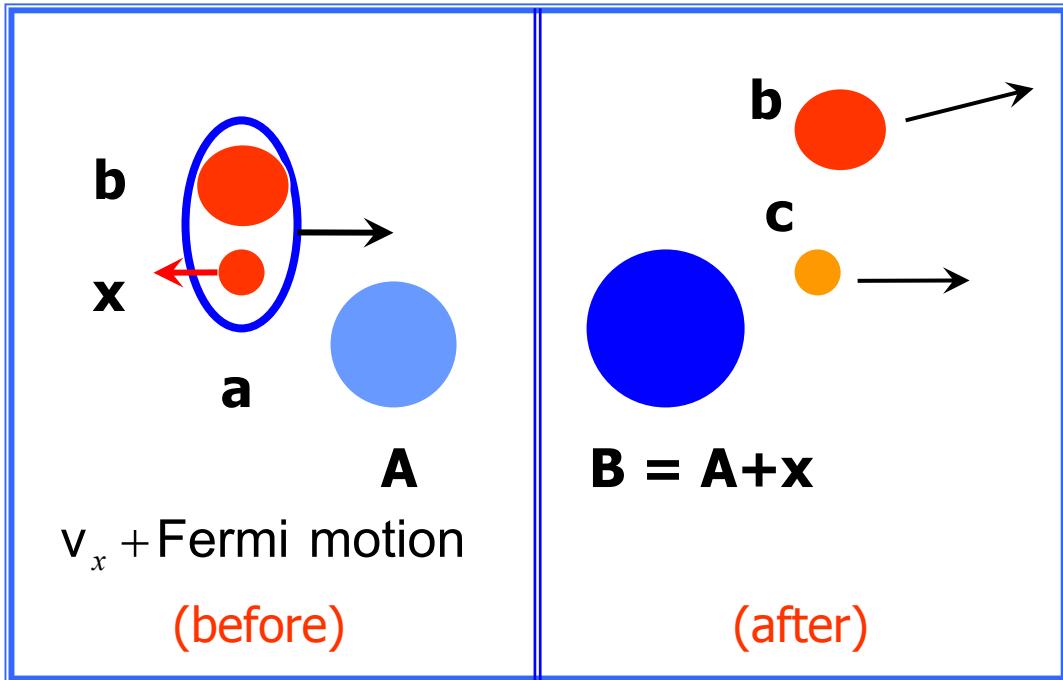
### **(A) Trojan horse method:**

Baur, 1986

## Measuring $A + a \rightarrow b + c + B$

with  $a = b + x \Rightarrow$

$A + x \rightarrow B + c$  (astrophysics)



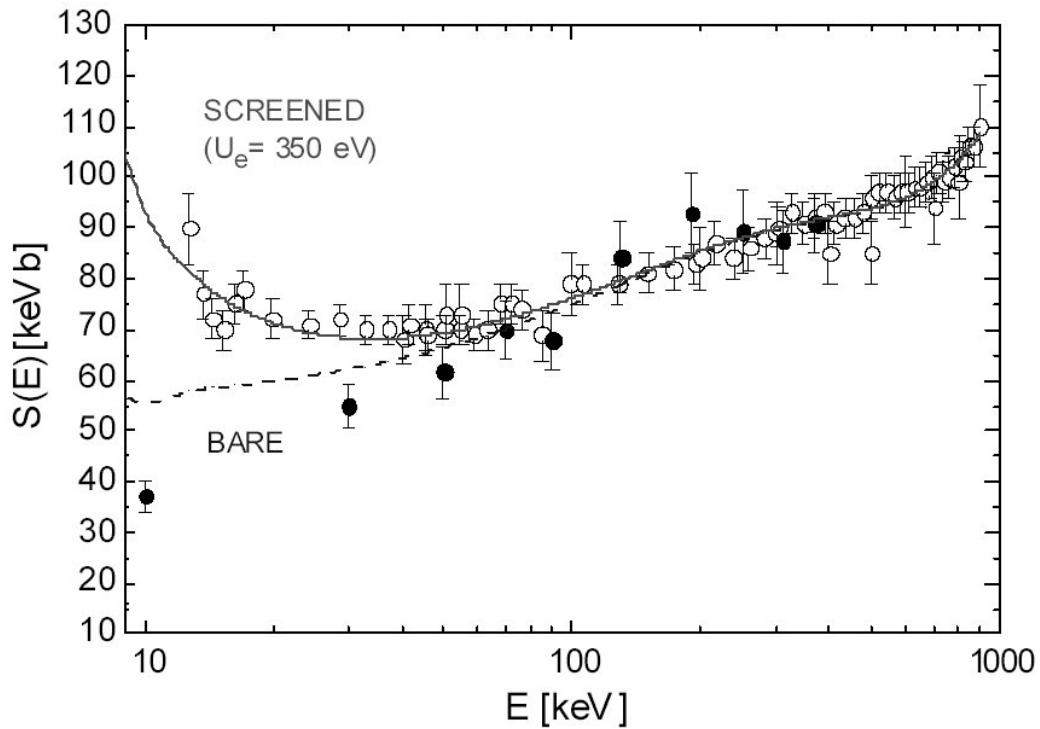
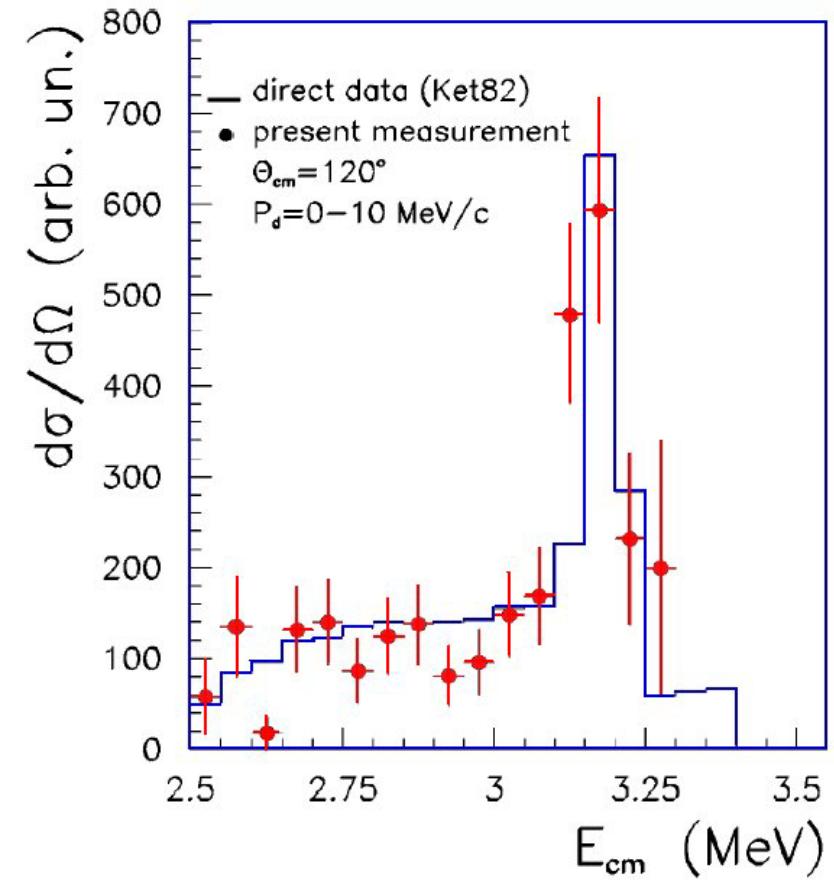
$$\frac{d\sigma}{d\Omega_c d\Omega_b} dE_b \sim \left| \sum_{lm} T_{lm}(\mathbf{k}_a, \mathbf{k}_b, \mathbf{k}_c) S_{lx} Y_{lm}(\mathbf{k}_c) \right|^2$$

where  $T_{lm} = \langle \chi_b^{(-)} Y_{lm} f_l | V_{bx} | \chi_b^{(+)} \phi_{bx} \rangle$

$$\text{But, } S_{lx} \sim e^{-2\pi\eta} \Rightarrow \sigma_{A+x \rightarrow B+c} \sim (1/k^2) e^{-2\pi\eta}$$

$$f_l(r) \sim (k_x r)^{1/2} e^{2\pi\eta} K_{2l+1}(0.53 Z_A Z_B \sqrt{r}) \rightarrow T_{lm} f_l \sim \text{const.}$$

Thus,  $d\sigma/d\Omega_a d\Omega_b dE_b \rightarrow \text{const.}$



Alliota et al, Eur. Phys. J. A 2000

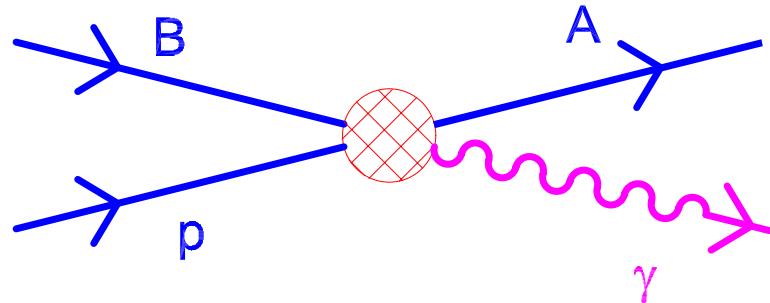
Spitaleri et al, Eur. Phys. J. A 2000

$^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  with  $^6\text{Li}(^{12}\text{C}, \alpha^{12}\text{C})^2\text{H}$

$^7\text{Li}(\text{p}, \alpha)\alpha$  with  $^2\text{He}(^7\text{Li}, \alpha\alpha)\text{n}$

## (B) Asymptotic normalization coefficients

$$\sigma \propto |M|^2 \quad [S(E) = E e^{2\pi\eta} \sigma]$$



$$\sigma_{capture} \propto (C_{Bp}^A)^2$$

$$M = \left\langle \Phi_A(\xi_B, \xi_p, \xi_{Bp}) \left| \hat{O}(r_{Bp}) \right| \Phi_B(\xi_B) \Phi_p(\xi_p) \Psi_i^{(+)}(r_{Bp}) \right\rangle$$

Integrate over  $\xi$ :

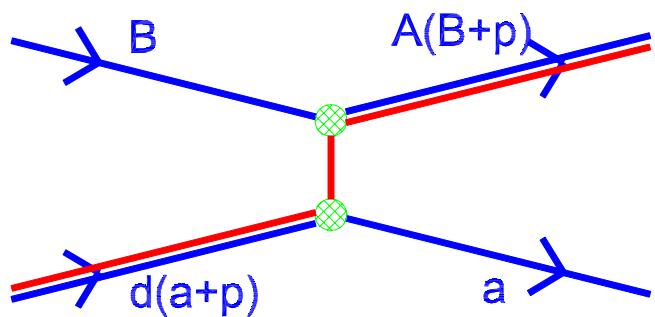
$$M = \left\langle I_{Bp}^A(r_{Bp}) \left| \hat{O}(r_{Bp}) \right| \Psi_i^{(+)}(r_{Bp}) \right\rangle$$

Low Energy:

$$I_{Bp}^A(r_{Bp}) \stackrel{r_B > R_N}{\approx} C_{Bp}^A \frac{W_{-\mathbf{n}_A, l + \frac{1}{2}}(2\kappa_{Bp} r_{Bp})}{r_{Bp}}$$

Mukhamedzanov, 1993: Transfer reactions

Texas A&M

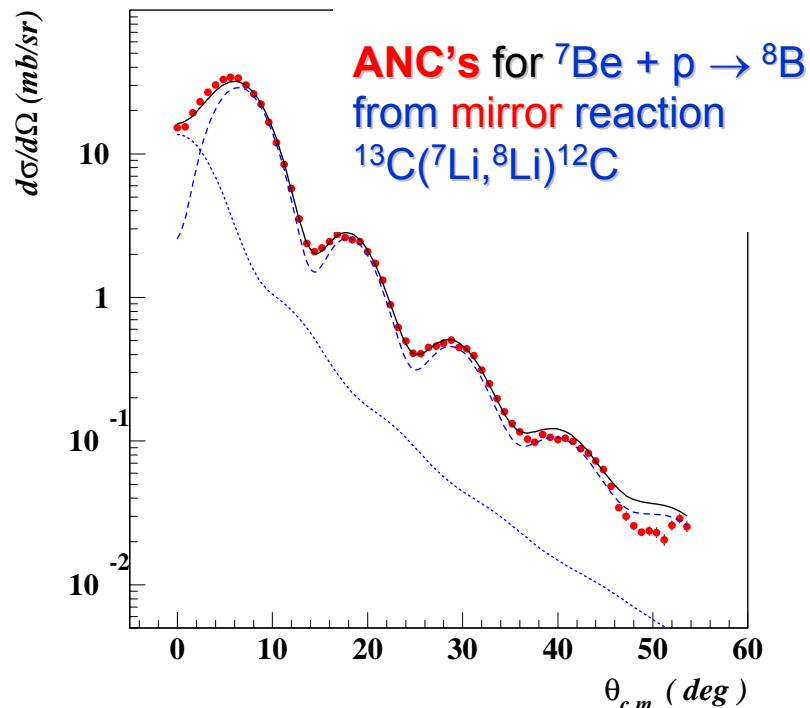


Peripherality:

$$M = \sum \left\langle \chi_f^{(-)} I_{Bp}^A | \Delta V | I_{ap}^d \chi_i^{(+)} \right\rangle$$

$$\frac{d\sigma}{d\Omega} = \frac{(C_{Bpl_A j_A}^A)^2 (C_{apl_d j_d}^d)^2}{b_{Bpl_A j_A}^2 b_{apl_d j_d}^2} \sigma_{l_A j_A l_d j_d}^{DW}$$

$$S_{17}(0) = 17.6 \pm 1.7 \text{ eV.b}$$



- separate  $p_{1/2}$  and  $p_{3/2}$
- Fits  $\Rightarrow$  ANC's

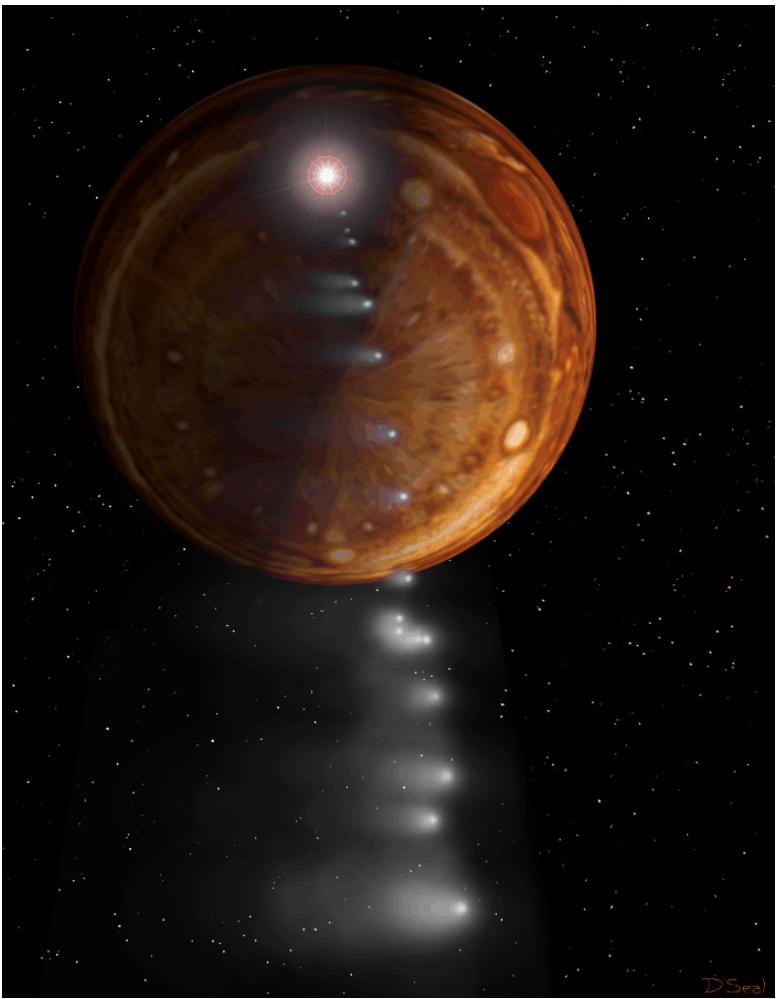
${}^7\text{Li} + \text{n} \rightarrow {}^8\text{Li}$ :

- $C^2(p_{3/2}) = .384 \pm .038 \text{ fm}^{-1}$
- $C^2(p_{1/2}) = .048 \pm .006 \text{ fm}^{-1}$

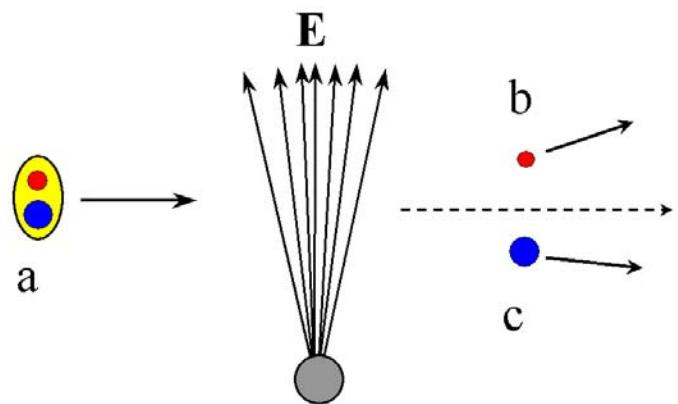
$\Rightarrow {}^7\text{Be} + \text{p} \rightarrow {}^8\text{B}$ :

- $C^2(p_{3/2}) = .405 \pm .041 \text{ fm}^{-1}$
- $C^2(p_{1/2}) = .050 \pm .006 \text{ fm}^{-1}$

### (C) Coulomb dissociation method



Shoemaker-Levy comet + Jupiter



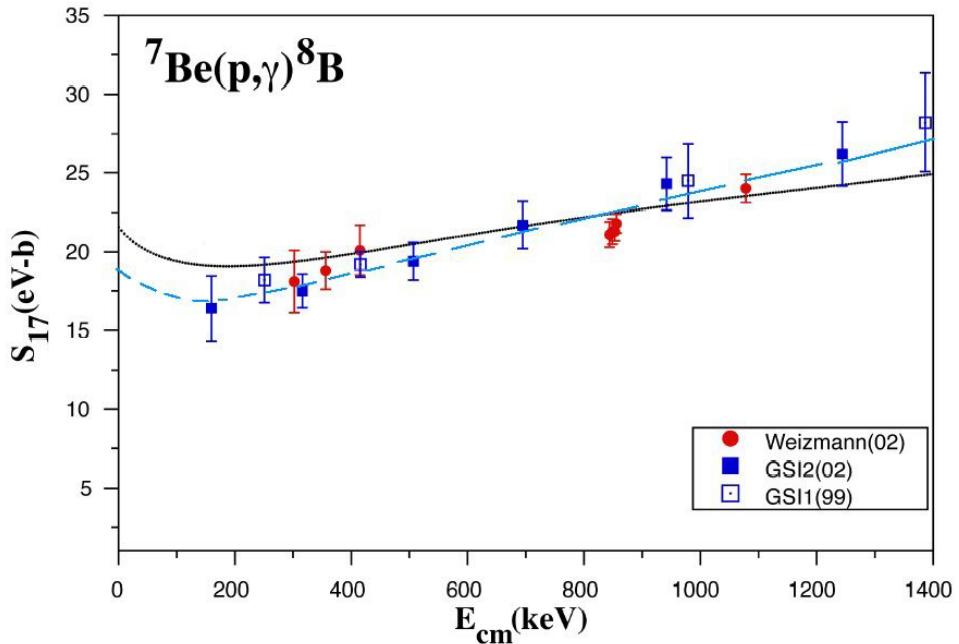
$$\frac{d\sigma}{dE_\gamma d\Omega} = \frac{1}{E_\gamma} \sum_l \frac{dn_l(E_\gamma, \Omega)}{dE_\gamma d\Omega} \sigma_{\gamma+a \rightarrow b+c}(E_\gamma)$$

Theory

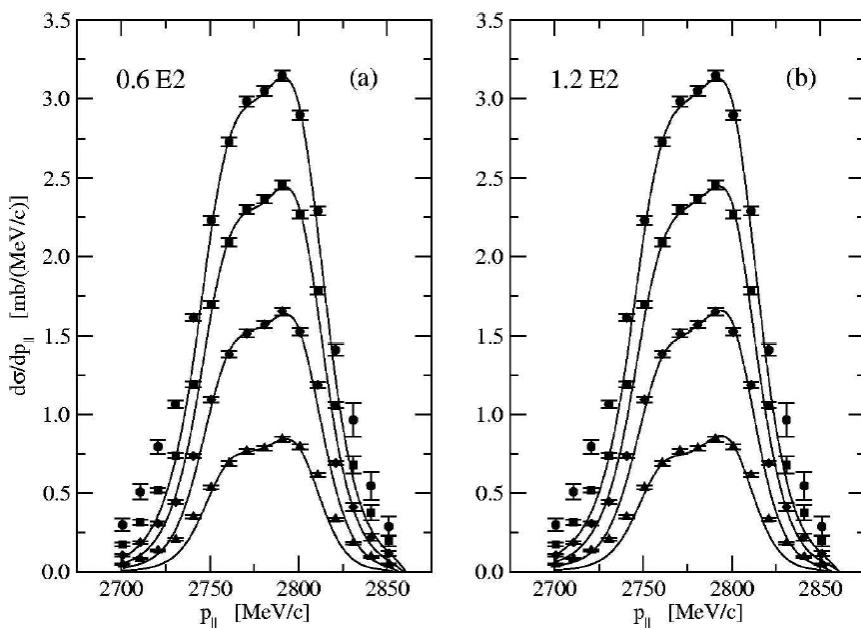
Detailed balance:

$$\sigma_{\gamma+a} = \frac{k^2}{k_\gamma^2} \sigma_{b+c}$$

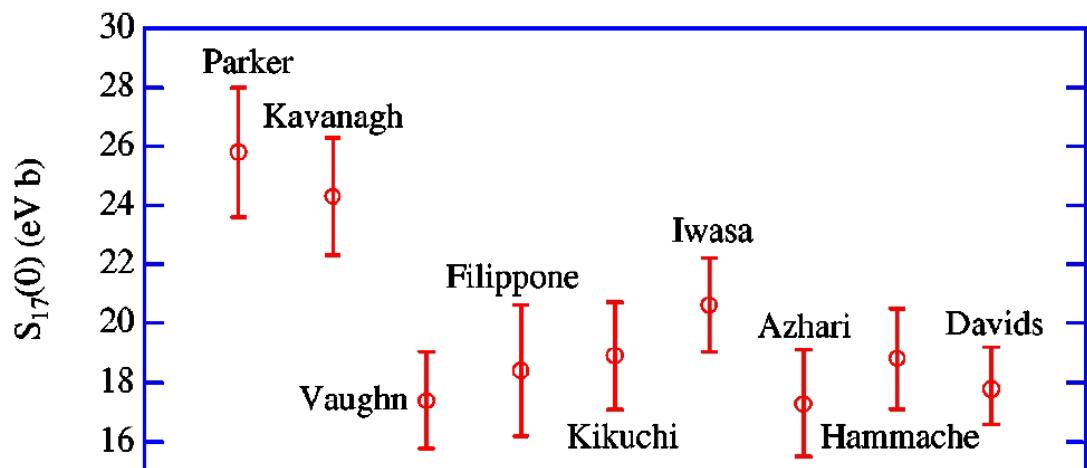
Baur, C.B. and Rebel, 1986



GSI, RIKEN: Invariant mass of fragments



Sam Austin: Longitudinal momentum distribution



$$S_{17}(0) = 18 \pm 1.1 \text{ eV.b}$$

# Conclusions

- Problems in nuclear astrophysics

- Atomic physics effects
- poor statistics due to small cross sections
- Some needed reactions will never be measured directly



- Indirect methods solve part of these problems

- Transfer of nucleons: Trojan horse, ANC's
- Coulomb dissociation method
- Charge-exchange experiments (Zegers, JINA Talk February 2003)

