

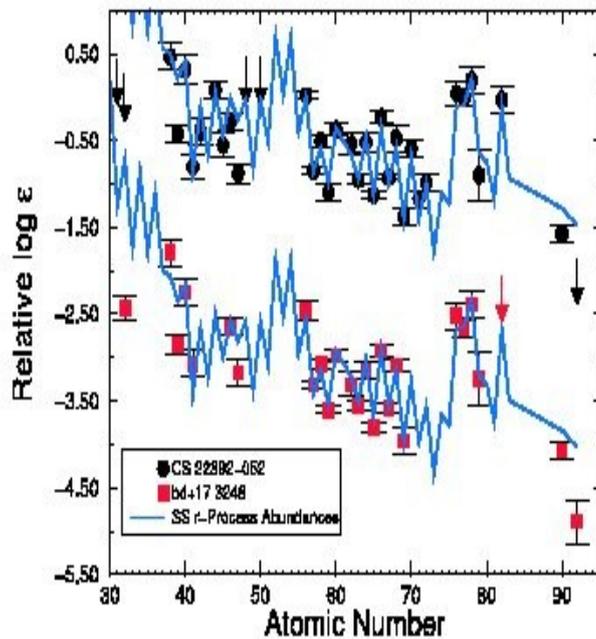
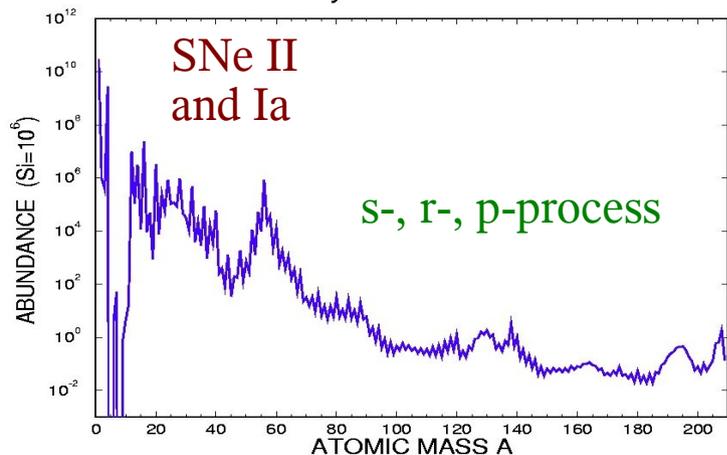
# Nucleosynthesis of Heavy and Neutron Capture Elements:

*A collection of problems at the interface of nuclear structure/reactions, reaction equilibria, stellar evolution/explosions and the origin of the elements*

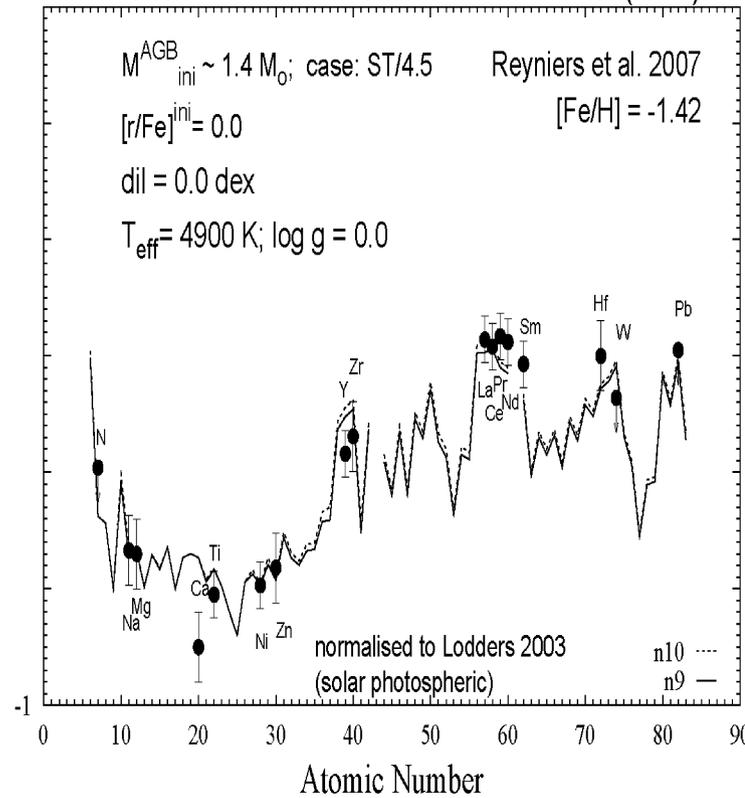
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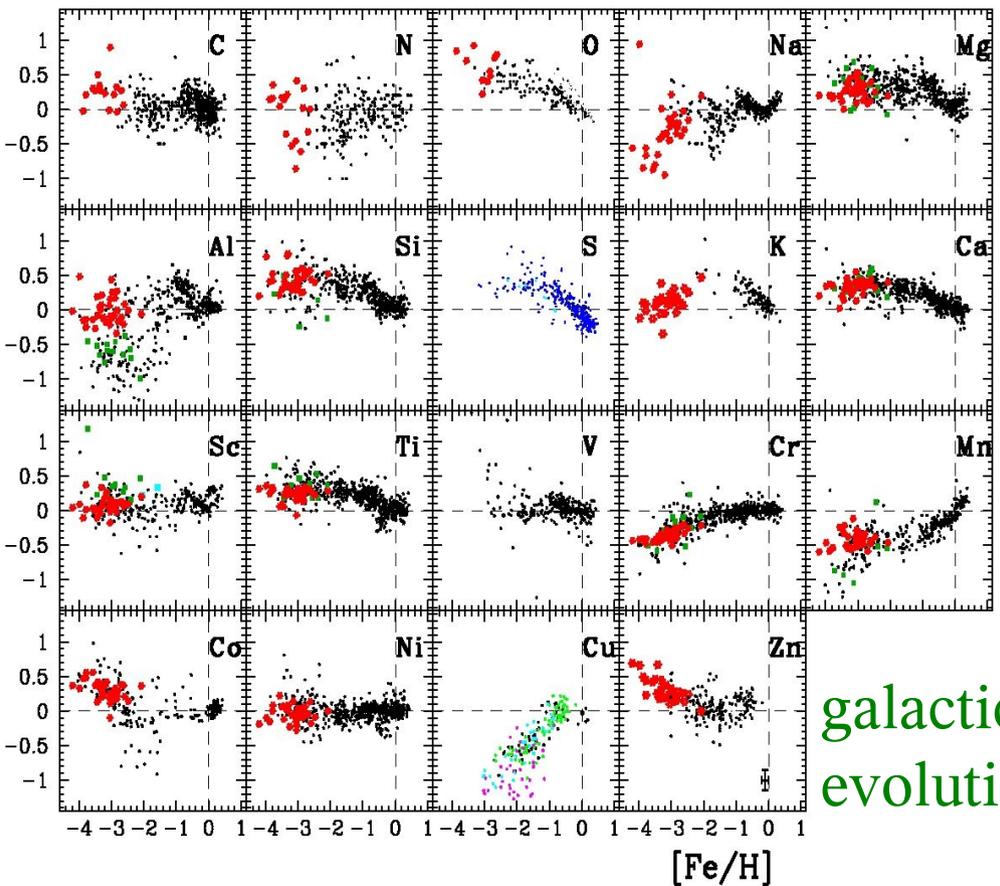
Solar System Abundances



MACHO 47.2496.8 (LMC)

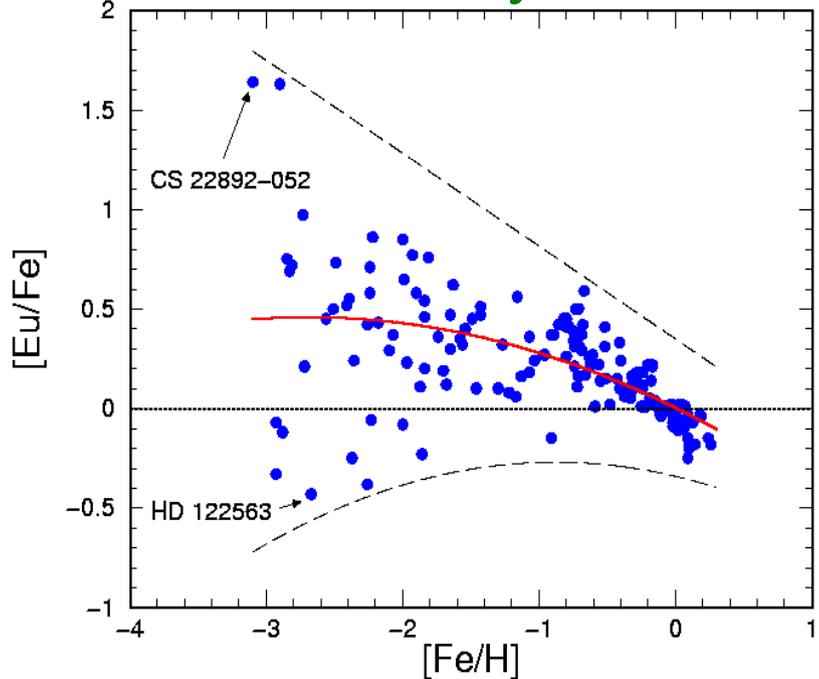


How do we understand:  
solar system abundances..

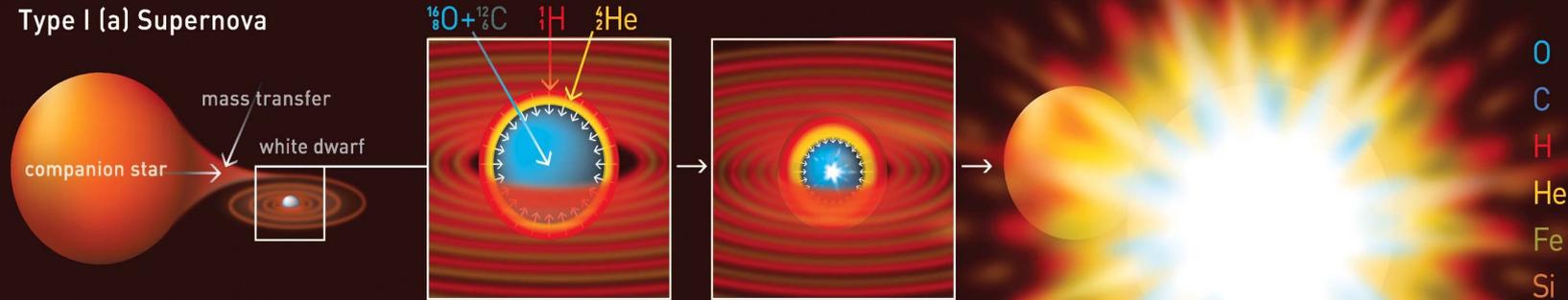


galactic  
evolution?

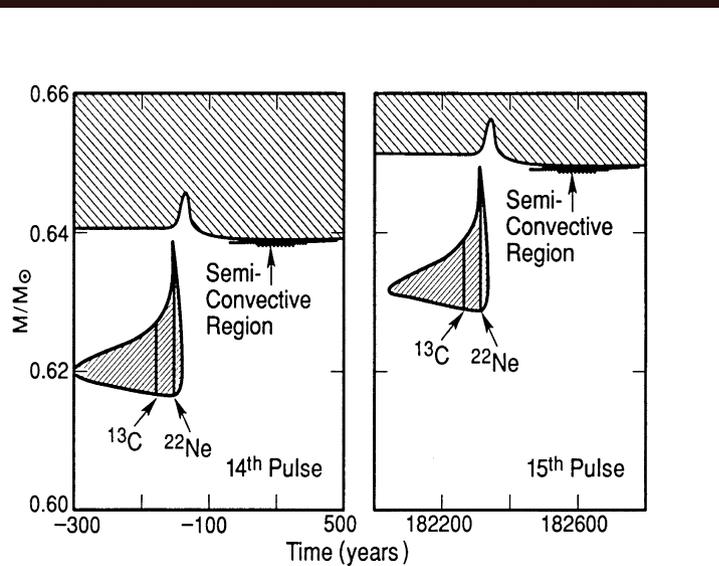
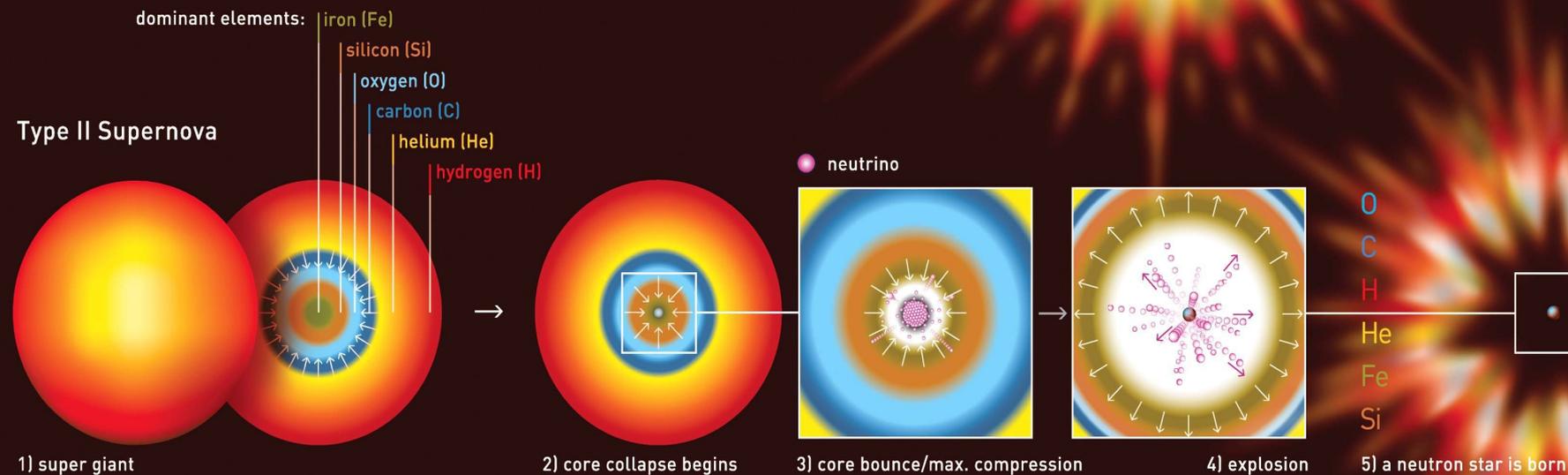
low metallicity stars ...



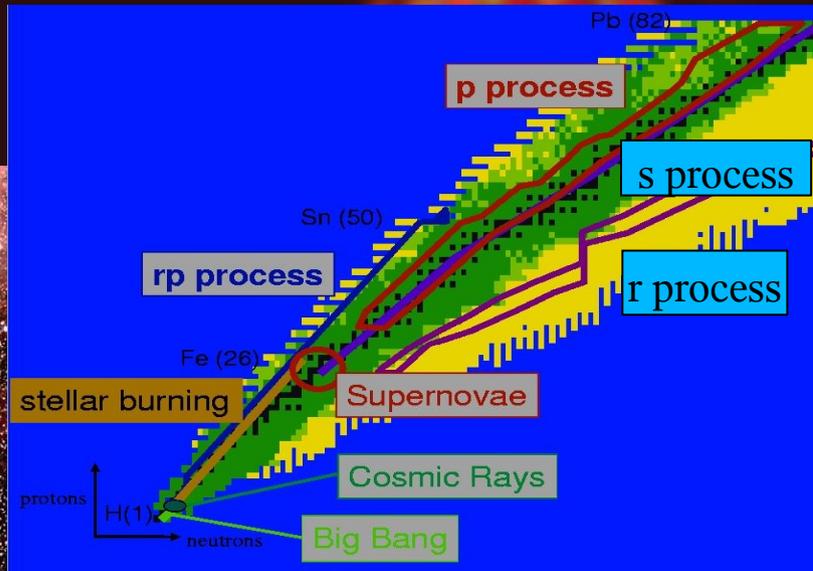
### Type I (a) Supernova



### Type II Supernova

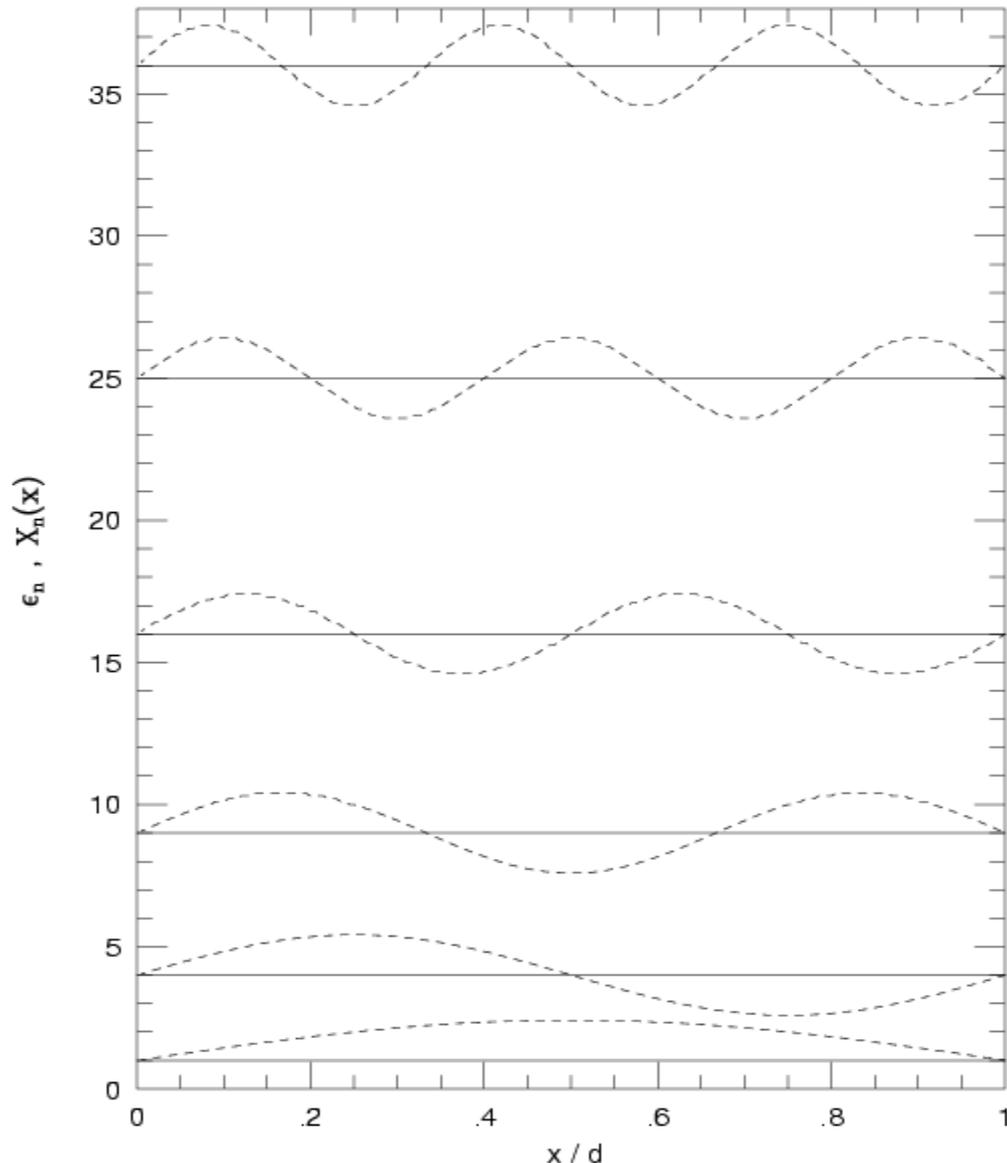


### SN 1987A



# The Basics: statistical mechanics of astrophysical plasmas/gases

first six energy states and wave functions in an infinite square well



a non-interacting gas can be represented by a 3D box in which it is contained (with impenetrable walls)

energy eigenvalues

$$\epsilon_{x,n_x} = \frac{\pi^2 \hbar^2}{2md^2} n_x^2$$

$$\epsilon_{n_x, n_y, n_z} = \frac{\pi^2 \hbar^2}{2md^2} (n_x^2 + n_y^2 + n_z^2)$$

all these states can be occupied!

# Total number of states and state density

$$\tilde{\Phi}(E) = g \frac{4\pi}{3} \frac{V}{h^3} (2m)^{3/2} E^{3/2}$$

$$\tilde{\omega}(E) = \frac{d\tilde{\Phi}(E)}{dE} = 2\pi g \frac{V}{h^3} (2m)^{3/2} E^{1/2}$$

total number of states in a given volume  $V=d^3$  up to energy  $E$ , and state density at that energy

$g$  measures degeneracy of state

$$\Phi(E) = \frac{4\pi}{3} \frac{g}{h^3} (2m)^{3/2} E^{3/2}$$

$$\omega(E) = 2\pi \frac{g}{h^3} (2m)^{3/2} E^{1/2}$$

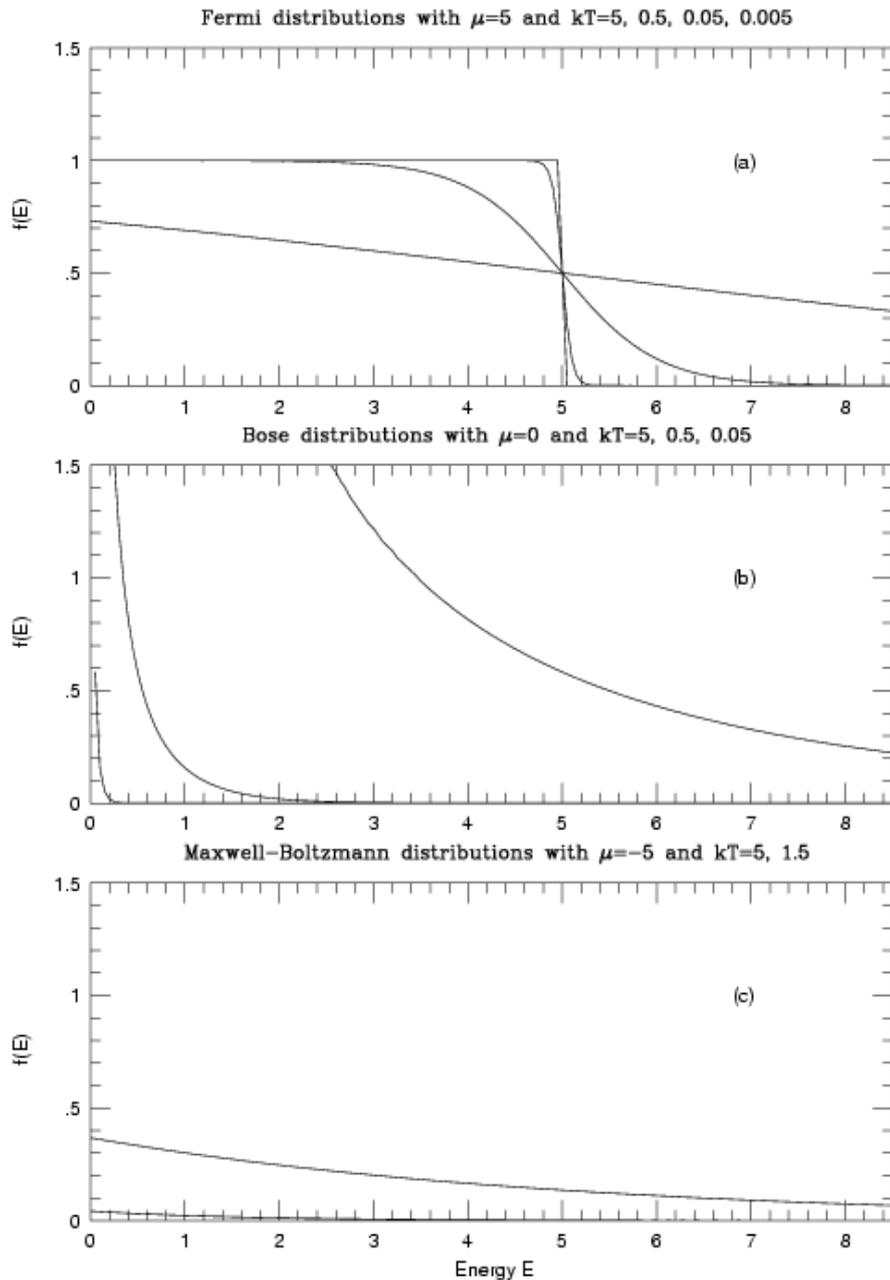
the same per volume

$$\Phi(p) = \frac{4\pi}{3} \frac{g}{h^3} p^3$$

$$\omega(p) = \frac{d\Phi(p)}{dp} = 4\pi \frac{g}{h^3} p^2$$

the same for momentum  $p$  with  $E=p^2/2m$

# Occupation probability for different statistics



$$f(p) = \begin{cases} [e^{(E(p)-\mu)/kT} + 1]^{-1} & \text{Fermions} \\ [e^{(E(p)-\mu)/kT} - 1]^{-1} & \text{Bosons} \\ e^{-(E(p)-\mu)/kT} & \text{Maxwell-Boltzmann.} \end{cases}$$

$f(p)$  measures the probability that a state at energy  $E$  or momentum  $p$  is occupied.

How is the chemical potential determined?

# Thermodynamic Properties

$$n = \frac{N}{V} = \int_0^\infty \omega(p) f(p) dp$$

$$u = \frac{U}{V} = \int_0^\infty E \omega(p) f(p) dp$$

$$P = \frac{1}{3} \int_0^\infty p v \omega(p) f(p) dp.$$

if not already known (0 for photons), the chemical potential can be determined from the first equation, as we know the number density  $n$  of gas particles.

$$\bar{\mu} = \mu + mc^2 = kT \ln \left( \frac{nh^3}{g (2\pi mkT)^{3/2}} \right) + mc^2$$

chemical potential and  $dn$  for a Maxwell-Boltzmann gas

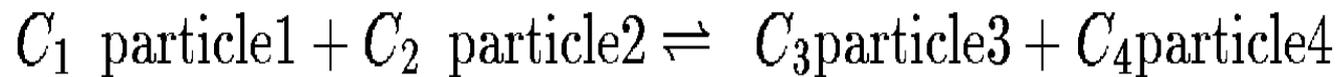
$$dn_j = n_j \frac{4\pi p_j^2}{(2\pi m_j kT)^{3/2}} \exp\left(-\frac{p_j^2}{2m_j kT}\right) dp_j$$

$dn$  for a photon (Planck) gas

$$dn_\gamma = \frac{8\pi}{c^3} \frac{\nu^2 d\nu}{\exp(h\nu/kT) - 1} = \frac{1}{\pi^2 (c\hbar)^3} \frac{E_\gamma^2 dE_\gamma}{\exp(E_\gamma/kT) - 1}$$

# Preview on chemical equilibria

a reaction involving particles 1 through 4 (with the C's being integer numbers) is in equilibrium, i.e. the forward and backward reactions occur on timescales shorter than the observing time. Then the following relation holds between the chemical potentials.



$$C_1 \bar{\mu}_1 + C_2 \bar{\mu}_2 = C_3 \bar{\mu}_3 + C_4 \bar{\mu}_4$$

$$\bar{\mu} = \mu + mc^2.$$

The chemical potential obtained from the total number density  $n$  provides information on energy/momentum distributions of particles. It is only determined up to a constant. If energy generation due to mass differences in reactions is involved, the above equation is correct, if the rest mass energy is added.

The above equation leads to solutions for the relative concentrations as a function of total (mass) density and temperature.

# A sketch on nuclear reactions

$$\sigma = \frac{\text{number of reactions target}^{-1}\text{sec}^{-1}}{\text{flux of incoming projectiles}} = \frac{r/n_i}{n_j v}$$

$$\sigma = \frac{\pi}{k^2} \sum_{l=0}^{\infty} (2l+1) T_l$$

if one neglects spins of participating particles, the fusion cross section can be determined just by the sum of partial waves with transmission coefficients  $T_l$  for angular momentum  $l$

$$\sigma \approx \frac{\pi}{k^2} T_{l=0}$$

for low energies the fusion cross section is dominated by s-waves ( $l=0$ )

$$T = \frac{j_{fin}}{j_{in}} = \frac{k_{fin} |\phi_{fin}|^2}{k_{in} |\phi_{in}|^2}$$

transmission coefficient determined by ratio of penetrating to incoming flux.

# Reactions with neutrons

“central collision”,  $l=0$

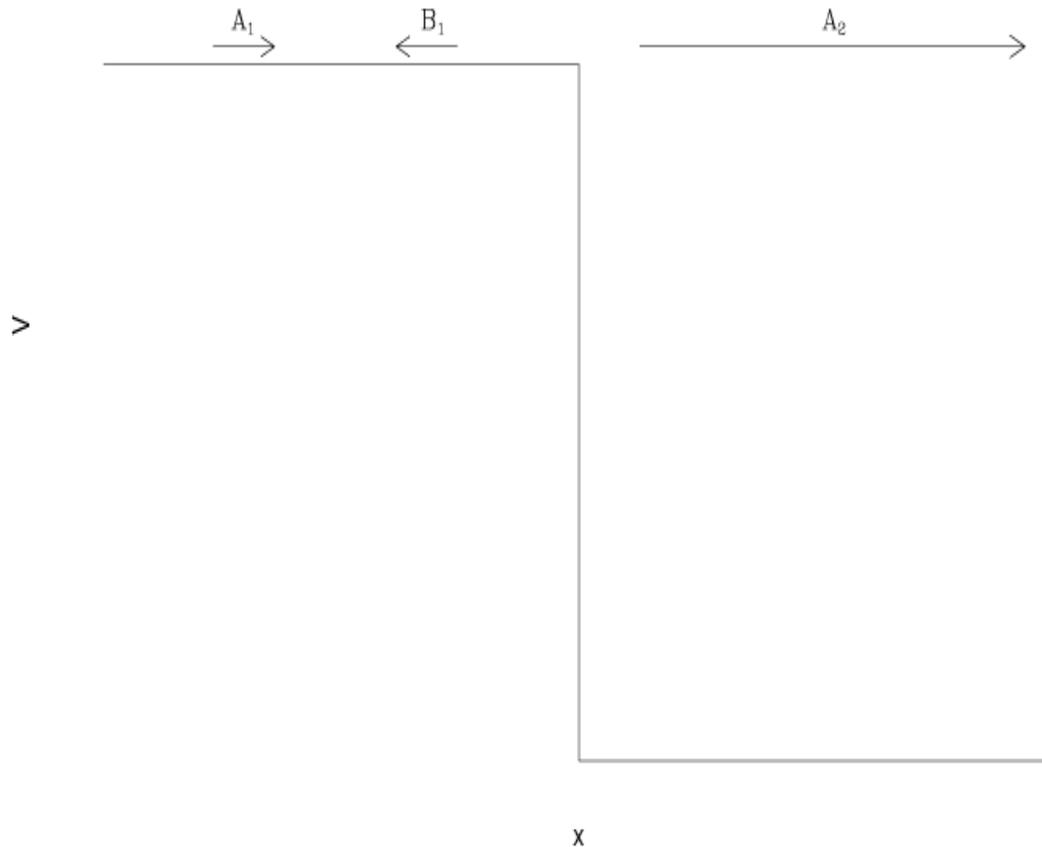
plane wave with momentum  $k_1$  approaches nucleus, partially reflected and partially entering nucleus (inside nucleus momentum  $k_2$ ).

$$T = \frac{k_2 |A_2|^2}{k_1 |A_1|^2} = \frac{4k_1 k_2}{|k_1 + k_2|^2}.$$

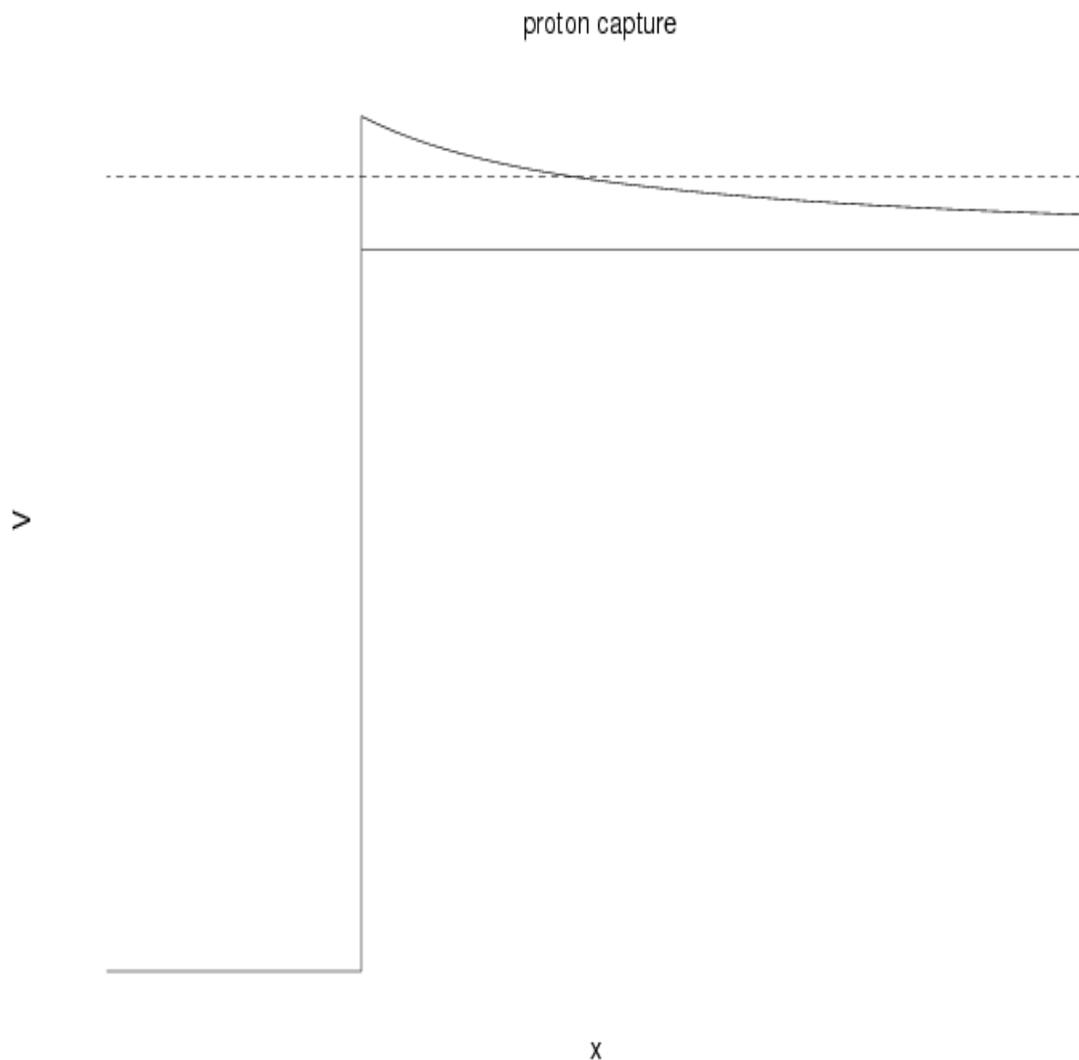
$k_2$  dominates over  $k_1$  due to potential depth

$$T \approx \frac{4k_1}{k_2}$$

neutron capture



# Cross sections with charged particles



$$T \approx e^{-\frac{2}{\hbar} \int_{x_1}^{x_2} \sqrt{2m(V(x)-E)} dx}$$

transmission coefficient  
from WKB approximation

$$T = e^{-2\pi\eta}$$

$$\eta = \sqrt{\frac{m}{2E}} \frac{Z_1 Z_2 e^2}{\hbar},$$

Sommerfeld parameter.

for Coulomb barrier  
penetration

# cross sections for neutrons and charged particles

(i) neutrons  $T_{n,0} \approx \frac{4k_1}{k_2}$

$$k_1 = \frac{\sqrt{2\mu E}}{\hbar} \quad k_2 = \frac{\sqrt{2\mu(E+Q)}}{\hbar} \approx \text{const} \quad \text{for } E \ll Q$$

$$\Rightarrow \sigma = \frac{\pi}{k_1^2} \cdot 4 \frac{k_1}{k_2} \propto \frac{1}{k_1}$$

$$\sigma \propto \frac{1}{\sqrt{E}} = \frac{1}{v}$$

declining as function of  
bombarding energy

(ii) charged particle captures  $T_{c,0} = e^{-2\pi\eta}$

$$\sigma = \frac{\pi}{k^2} e^{-2\pi\eta} = \frac{\hbar^2 \pi}{2\mu E} e^{-2\pi\eta}$$

$$\eta = \sqrt{\frac{\mu}{2E}} \frac{Z_i Z_j e^2}{\hbar}$$

increasing as function of energy  
by orders of magnitude due to  
Coulomb penetration

# Introduction to reaction rates

$$\sigma = \frac{\text{number of reactions target}^{-1}\text{sec}^{-1}}{\text{flux of incoming projectiles}} = \frac{r/n_i}{n_j v} \quad r = \sigma v n_i n_j$$

reaction rate  $r$  (per volume and sec) for a fixed bombarding velocity/energy (like in an accelerator)

$$r_{i;j} = \int \sigma \cdot |\vec{v}_i - \vec{v}_j| dn_i dn_j \quad \text{for thermal distributions in a hot plasma}$$

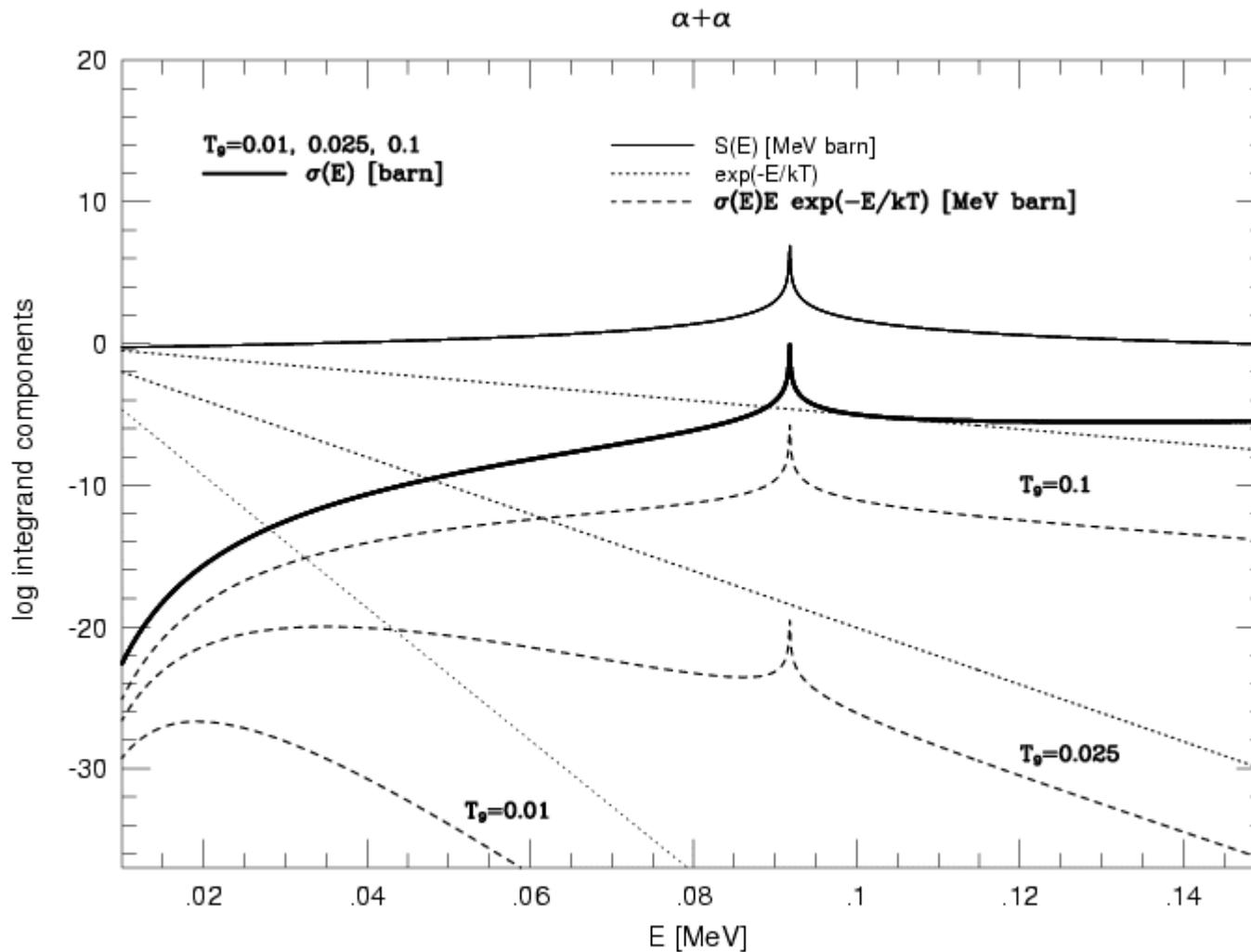
e.g. Maxwell-Boltzmann (nuclei/nucleons) or Planck (photons)

$$dn_j = n_j \frac{4\pi p_j^2}{(2\pi m_j kT)^{3/2}} \exp\left(-\frac{p_j^2}{2m_j kT}\right) dp_j \quad dn_\gamma = \frac{8\pi}{c^3} \frac{\nu^2 d\nu}{\exp(h\nu/kT) - 1} = \frac{1}{\pi^2 (c\hbar)^3} \frac{E_\gamma^2 dE_\gamma}{\exp(E_\gamma/kT) - 1}$$

for two MB-distributions for  $i$  and  $j$  one obtains after variable transformations

$$r_{i;j} = n_i n_j \langle \sigma v \rangle_{i;j} \quad \langle \sigma v \rangle (T) = \left(\frac{8}{\mu\pi}\right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^\infty E \sigma(E) \exp(-E/kT) dE$$

# Temperature dependence of rates



for neutron captures  
close to constant (at  
higher temperatures,  
i.e. higher velocities,  
multiplied with  $1/v$   
dependence of cross  
section)

for charged particles  
the contribution to  
the integral is  
strongly rising with  
temperature

# Reaction networks

reaction  $i+j \rightarrow m+o$   $i(j,o)m$  with reaction rate  $r_{i;j} = \frac{1}{1 + \delta_{ij}} n_i n_j \langle \sigma v \rangle$

(avoiding double counting for reactions of identical particles)

$$\left(\frac{\partial n_i}{\partial t}\right)_\rho = \left(\frac{\partial n_j}{\partial t}\right)_\rho = -r_{i;j}$$

$$\left(\frac{\partial n_o}{\partial t}\right)_\rho = \left(\frac{\partial n_m}{\partial t}\right)_\rho = +r_{i;j}$$

resulting changes in number densities of participating nuclei (for constant mass densities!)

Introducing abundances  $Y$  and mass fractions  $X$

$$\rho = \frac{1}{V} = \sum_i n_i m_i = \sum_i \frac{n_i}{N_A} m_i N_A$$

$$Y_i = \frac{n_i}{\rho N_A}$$

$$1 = \frac{\rho}{\rho} = \sum_i \frac{n_i}{\rho N_A} m_i N_A = \sum_i Y_i A_i = \sum_i X_i$$

# Reaction networks

i(j,o)m

decay i->m

$$\dot{Y}_i = \frac{1}{\rho N_A} \left( \frac{\partial n_i}{\partial t} \right)_\rho = -\frac{r_{i;j}}{\rho N_A} = -\frac{1}{1 + \delta_{ij}} \rho N_A \langle \sigma v \rangle_{i;j} Y_i Y_j$$

$$\dot{Y}_j = \frac{-1}{1 + \delta_{ij}} \rho N_A \langle \sigma v \rangle_{i;j} Y_i Y_j$$

$$\dot{Y}_o = \frac{1}{1 + \delta_{ij}} \rho N_A \langle \sigma v \rangle_{i;j} Y_i Y_j$$

$$\dot{Y}_m = \frac{1}{1 + \delta_{ij}} \rho N_A \langle \sigma v \rangle_{i;j} Y_i Y_j.$$

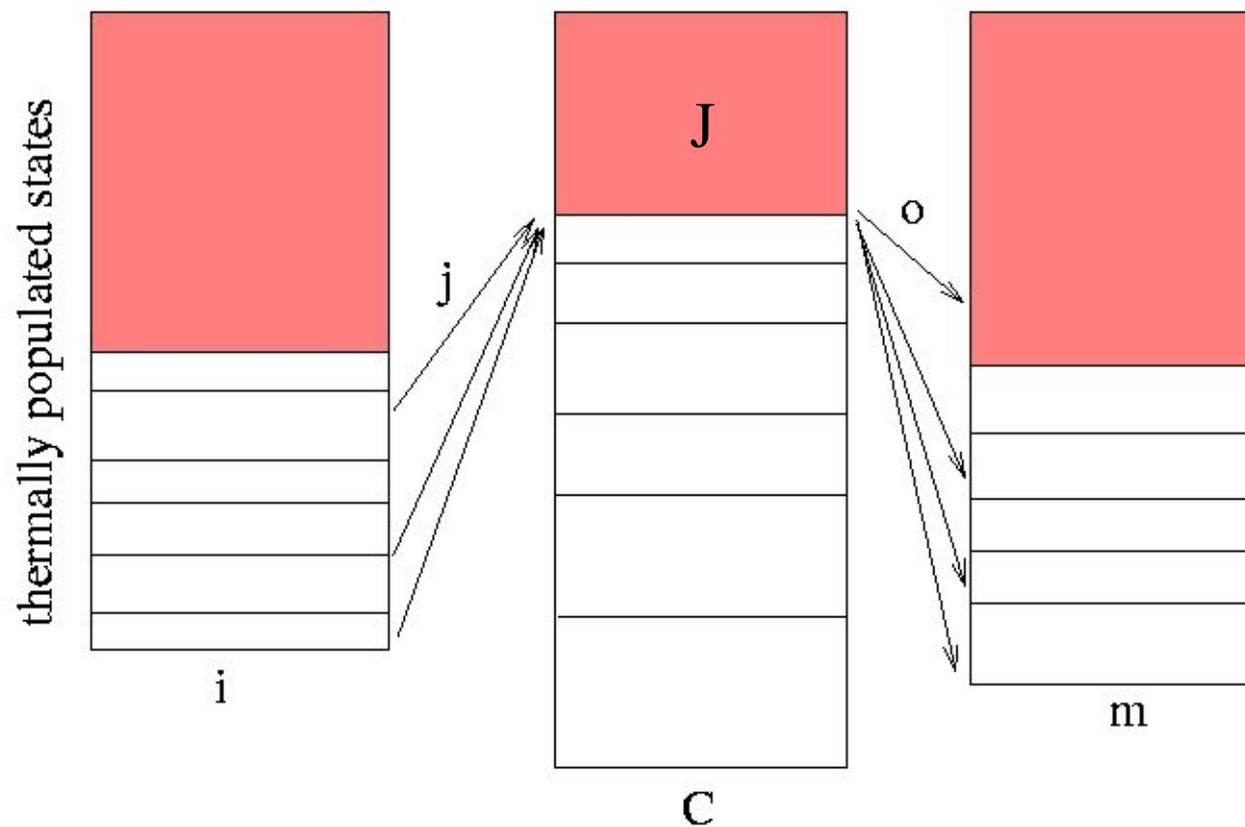
$$\dot{Y}_i = \left( \frac{\dot{n}_i}{\rho N_A} \right)_\rho = -\frac{r_i}{\rho N_A}$$

$$\Rightarrow \dot{Y}_i = -\lambda_i Y_i \quad \dot{Y}_m = \lambda_i Y_i$$

general: N's count number of particles produced/distroyed in the reaction (positive/negative)

$$\dot{Y}_i = \sum_j N_j^i \lambda_j Y_j + \sum_{j,k} \frac{N_{j,k}^i}{1 + \delta_{jk}} \rho N_A \langle \sigma v \rangle_{j;k} Y_j Y_k.$$

# General compound cross section



$$\sigma_i(j, o) = \frac{\pi}{k_j^2} \frac{(1 + \delta_{ij})}{(2I_i + 1)(2I_j + 1)} \sum_{J, \pi} (2J + 1) \frac{T_j(E, J, \pi) T_o(E, J, \pi)}{T_{tot}(E, J, \pi)}$$

including spin and parity dependence

[www.nucastro.org](http://www.nucastro.org)  
for statist. model  
cross sections

# Reverse rates

$$\sigma_m(o, j)_J = \frac{\pi (1 + \delta_{om})(2J + 1) T_o T_j}{k_o^2 (2I_m + 1)(2I_o + 1) T_{tot}}$$

$$\sigma_i(j, o)_J = \frac{\pi (1 + \delta_{ij})(2J + 1) T_j T_o}{k_j^2 (2I_i + 1)(2I_j + 1) T_{tot}}$$

## Detailed Balance

$$\frac{\sigma_i(j, o)_J}{\sigma_m(o, j)_J} = \frac{1 + \delta_{ij}}{1 + \delta_{om}} \frac{g_o g_m}{g_i g_j} \frac{k_o^2}{k_j^2}$$

going through a  
specific state J in the  
compound nucleus

$$k_o = \frac{p_o}{\hbar} = \frac{\sqrt{2\mu_{om} E_{om}}}{\hbar} \quad k_j = \frac{p_j}{\hbar} = \frac{\sqrt{2\mu_{ij} E_{ij}}}{\hbar}$$

$$g_x = (2I_x + 1) \quad E_{ij} = E_{om} + Q_{o,j}$$

but true for any state at that  
energy

$$\sigma_i(j, o; E_{ij}) = \frac{1 + \delta_{ij}}{1 + \delta_{om}} \frac{g_o g_m}{g_i g_j} \frac{k_o^2}{k_j^2} \sigma_m(o, j; E_{om})$$

# Reverse rates

$$\langle \sigma v \rangle_{i,j,o} = \frac{1 + \delta_{ij}}{1 + \delta_{om}} \left( \frac{8}{\mu_{ij}\pi} \right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^\infty E_{ij} \frac{g_o g_m}{g_i g_j} \frac{k_o^2}{k_j^2} \sigma_m(o, j; E_{om}) \times \exp(-E_{ij}/kT) dE_{ij}$$

$$= \frac{1 + \delta_{ij}}{1 + \delta_{om}} \frac{g_o g_m}{g_i g_j} \left( \frac{\mu_{om}}{\mu_{ij}} \right)^{3/2} \exp(-Q_{o,j}/kT)$$

$$\times \left( \frac{8}{\mu_{om}\pi} \right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^\infty E_{om} \sigma_m(o, j; E_{om}) \exp(-E_{om}/kT) dE_{om}$$

$$\langle \sigma v \rangle_{i,j,o} = \frac{1 + \delta_{ij}}{1 + \delta_{om}} \frac{G_m g_o}{G_i g_j} \left( \frac{\mu_{om}}{\mu_{ij}} \right)^{3/2} \exp(-Q_{o,j}/kT) \langle \sigma v \rangle_{m;o,j} \boxed{\phantom{0000}}$$

containing the Q-value of the reaction (nuclear mass differences)

# Reverse photodisintegrations

$$r_{i\gamma} = n_i \frac{1}{\pi^2 c^2 \hbar^3} \int_0^\infty \frac{\sigma_i(\gamma, o; E_\gamma) E_\gamma^2}{\exp(E_\gamma/kT) - 1} dE_\gamma$$

$$= n_i \lambda_{i;\gamma,o}(T)$$

$$\lambda_{i;\gamma,o}(T) = \frac{1}{\pi^2 c^2 \hbar^3} \int_0^\infty \frac{\sigma_i(\gamma, o; E_\gamma) E_\gamma^2}{\exp(E_\gamma/kT) - 1} dE_\gamma.$$

photodisintegration rates only T-dependent!

$$k_\gamma = \frac{\omega}{c} = \frac{\hbar\omega}{\hbar c} = \frac{E_\gamma}{\hbar c} \quad g_\gamma = 2$$

$$k_o = \frac{p}{\hbar} = \frac{\sqrt{2\mu_{om}E_{om}}}{\hbar} \quad E_\gamma = E_{om} + Q_{o,\gamma}$$

$$\sigma_i(\gamma, o; E_\gamma) = \frac{g_o g_m}{(1 + \delta_{om}) g_i} c^2 \frac{\mu_{om} E_{om}}{E_\gamma^2} \sigma_m(o, \gamma; E_{om})$$

$$\lambda_{i;\gamma,o} = \frac{1}{\pi^2 c^2 \hbar^3} \int_0^\infty \frac{g_o g_m}{(1 + \delta_{om}) g_i} c^2 \frac{\mu_{om} E_{om}}{E_\gamma^2} \sigma_m(o, \gamma; E_{om}) E_\gamma^2$$

$$\times \exp(-E_\gamma/kT) dE_\gamma$$

$$= \frac{1}{\pi^2 \hbar^3} \frac{g_o g_m}{g_i} \mu_{om} \exp(-Q_{o,\gamma}/kT)$$

$$\times \int_0^\infty E_{om} \sigma_m(o, \gamma; E_{om}) \exp(-E_{om}/kT) dE_{om}$$

$$\lambda_{i;\gamma,o}(T) = \frac{g_o G_m}{(1 + \delta_{om}) G_i} \left( \frac{\mu_{om} kT}{2\pi \hbar^2} \right)^{3/2} \exp(-Q_{o,\gamma}/kT) \langle \sigma v \rangle_{m;o} \square$$

relation between photodisintegration rate and reverse capture rate

# Reaction equilibria

reaction network for  $i(j,o)m$

$$\dot{Y}_i = \dot{Y}_j = -\rho N_A \langle \sigma v \rangle_{i,j,o} Y_i Y_j + \rho N_A \langle \sigma v \rangle_{m;o,j} Y_o Y_m$$

$$\dot{Y}_m = \dot{Y}_o = -\dot{Y}_i$$

$$\dot{Y}_i = \dot{Y}_j = -\rho N_A \langle \sigma v \rangle_{i,j,\gamma} Y_i Y_j + \lambda_{m;\gamma,j} Y_m$$

$$\dot{Y}_m = -\dot{Y}_i \quad \text{in this case } o \text{ is a photon}$$

if forward and backward reaction are in equilibrium, we have for all indices

$$\dot{Y} = 0$$

this leads to the following abundance relations

$$\frac{Y_m}{Y_i} = \frac{Y_j}{Y_o} \frac{\langle \sigma v \rangle_{i,j,o}}{\langle \sigma v \rangle_{m;o,j}}$$

$$= \frac{Y_j}{Y_o} \frac{g_o G_m}{g_j G_i} \left( \frac{m_o m_m}{m_i m_j} \right)^{3/2} \exp(Q_{j,o}/kT)$$

$$\frac{Y_m}{Y_i} = \frac{\rho N_A \langle \sigma v \rangle_{i,j,\gamma} Y_j}{\lambda_{m;\gamma,j}}$$

$$= \rho N_A Y_j \frac{G_m}{g_j G_i} \left( \frac{m_m}{m_i m_j} \right)^{3/2} \left( \frac{2\pi\hbar^2}{kT} \right)^{3/2} \exp(Q_{j,\gamma}/kT)$$

**The same results would have been obtained, if the equations for chemical equilibria would have been utilized which include the chemical potentials!!**

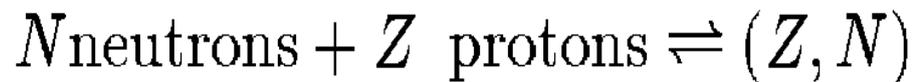
# Nuclear Statistical Equilibrium (NSE)

$$\bar{\mu}(Z, N) + \bar{\mu}_n = \bar{\mu}(Z, N + 1)$$

$$\bar{\mu}(Z, N) + \bar{\mu}_p = \bar{\mu}(Z + 1, N)$$

i.e. neutron or proton captures on nucleus (Z,N) are in chemical equilibrium with the reverse photodisintegrations.

If this is the case for all neutron and proton captures on all nuclei (hot enough to overcome all Coulomb barriers as well as having high energy photons...) this leads to



$$N \bar{\mu}_n + Z \bar{\mu}_p = \bar{\mu}_{Z,N}.$$

with

$$\bar{\mu}_i = kT \ln \left( \frac{\rho N_A Y_i}{G_i} \left( \frac{2\pi\hbar^2}{m_i kT} \right)^{3/2} \right) + m_i c^2$$

# Solving NSE

$$kT \ln \left( \frac{\rho N_A Y(Z, N)}{G_{Z, N}} \left( \frac{2\pi \hbar^2}{m_{Z, N} kT} \right)^{3/2} \right) + m_{Z, N} c^2$$

$$= N \left[ kT \ln \left( \frac{\rho N_A Y_n}{g_n} \left( \frac{2\pi \hbar^2}{m_n kT} \right)^{3/2} \right) + m_n c^2 \right]$$

$$+ Z \left[ kT \ln \left( \frac{\rho N_A Y_p}{g_p} \left( \frac{2\pi \hbar^2}{m_p kT} \right)^{3/2} \right) + m_p c^2 \right]$$

$$\times \ln \left( \frac{\rho N_A Y(Z, N)}{G_{Z, N}} \left( \frac{2\pi \hbar^2}{m_{Z, N} kT} \right)^{3/2} \right)$$

$$- N \ln \left( \frac{\rho N_A Y_n}{g_n} \left( \frac{2\pi \hbar^2}{m_n kT} \right)^{3/2} \right) - Z \ln \left( \frac{\rho N_A Y_p}{g_p} \left( \frac{2\pi \hbar^2}{m_p kT} \right)^{3/2} \right)$$

$$= \frac{1}{kT} (N m_n c^2 + Z m_p c^2 - m_{Z, N} c^2) = B_{Z, N} / kT.$$

# Solving NSE

with  $A = N + Z$   $m_n \approx m_u$   $m_p \approx m_u$   $m_{Z,N} \approx Am_u$

this leads to

$$Y(Z, N) = G_{Z,N}(\rho N_A)^{A-1} \frac{A^{3/2}}{2^A} \left( \frac{2\pi\hbar^2}{m_u kT} \right)^{\frac{3}{2}(A-1)} \exp(B_{Z,N}/kT) Y_n^N Y_p^Z$$

and can be solved via two equations  
(mass conservation and total proton  
to nucleon ratio  $Y_e$ ) for neutron and  
proton abundances

$$\sum_i A_i Y_i = 1$$

$$\sum_i Z_i Y_i = Y_e$$

# Brief Summary of Burning Stages (Major Reactions)

## 1. Hydrogen Burning

$$T = (1-4) \times 10^7 \text{K}$$

pp-cycles  $\rightarrow$



CNO-cycle  $\rightarrow$  slowest reaction



## 2. Helium Burning

$$T = (1-2) \times 10^8 \text{K}$$



## 3. Carbon Burning

$$T = (6-8) \times 10^8 \text{K}$$



## 4. Neon Burning

$$T = (1.2-1.4) \times 10^9 \text{K}$$



$$30kT = 4\text{MeV}$$

## 5. Oxygen Burning

$$T = (1.5-2.2) \times 10^9 \text{K}$$



## 6. "Silicon" Burning

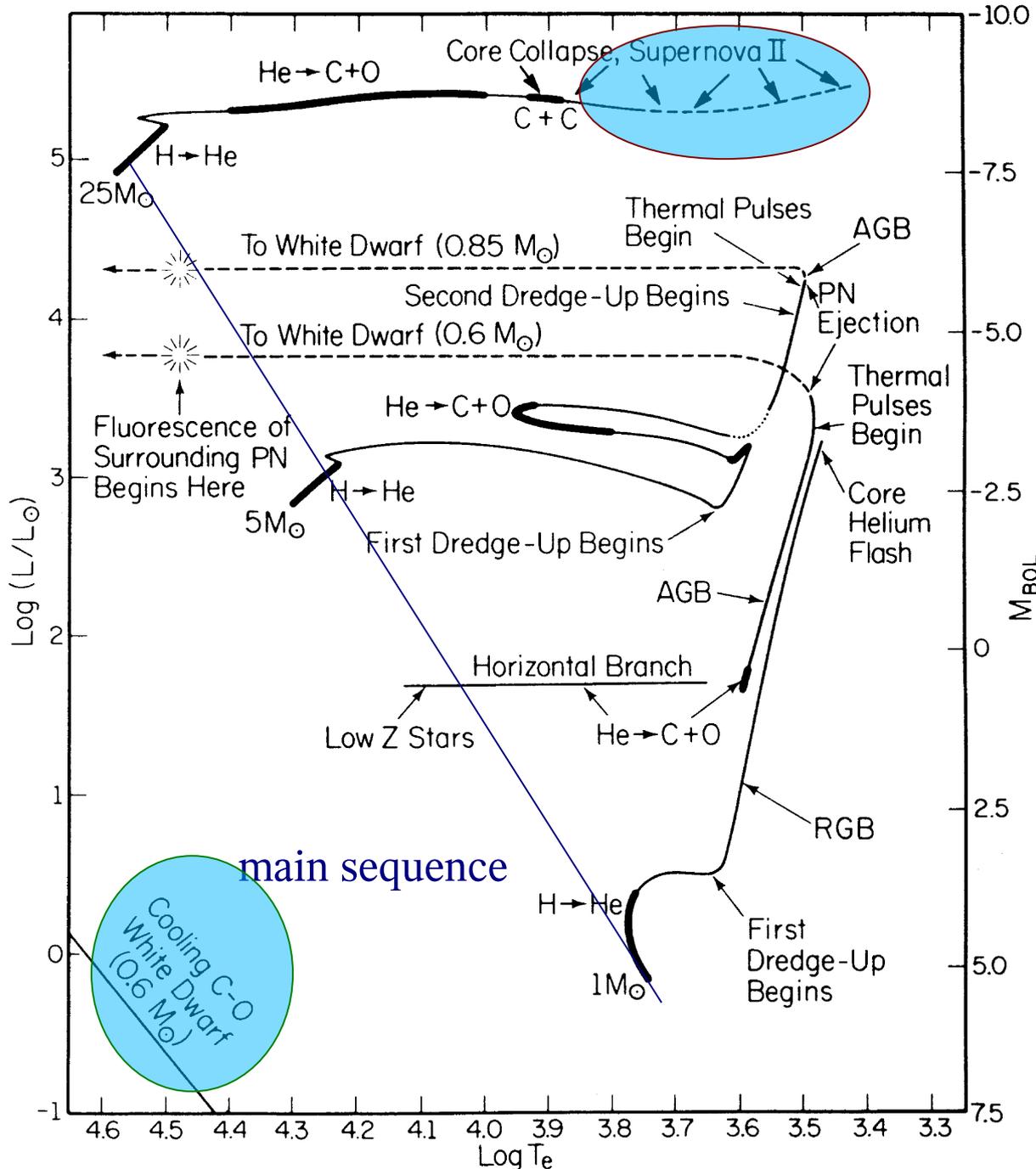
$$T = (3-4) \times 10^9 \text{K}$$

(all) photodisintegrations and capture reactions possible

$\Rightarrow$  thermal (chemical) equilibrium

ongoing  
measurements of  
key fusion  
reactions at low  
energies

# Astrophysical Sites



Hertzsprung-Russell Diagram of Stellar Evolution from Iben, showing as end stages

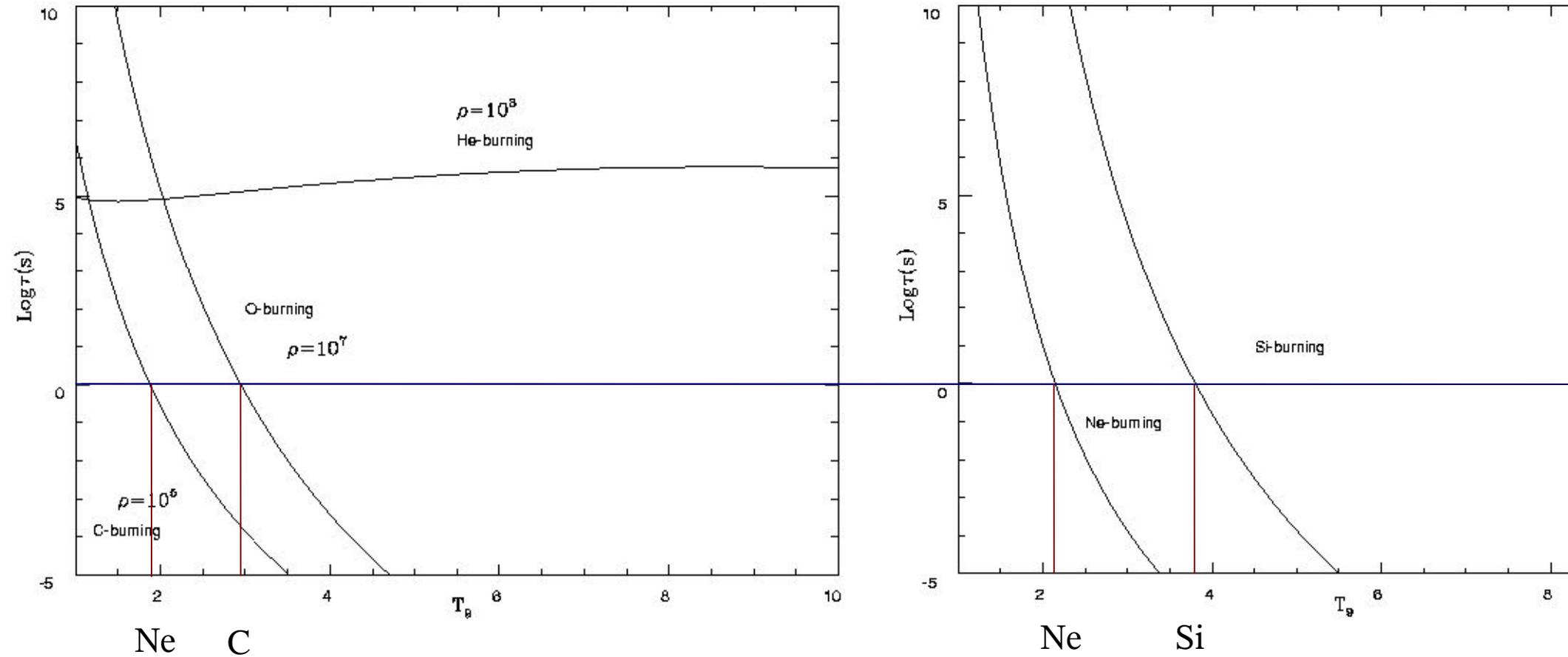
- white dwarfs

and

- core collapse (supernovae/neutron stars, black holes, GRBs?)

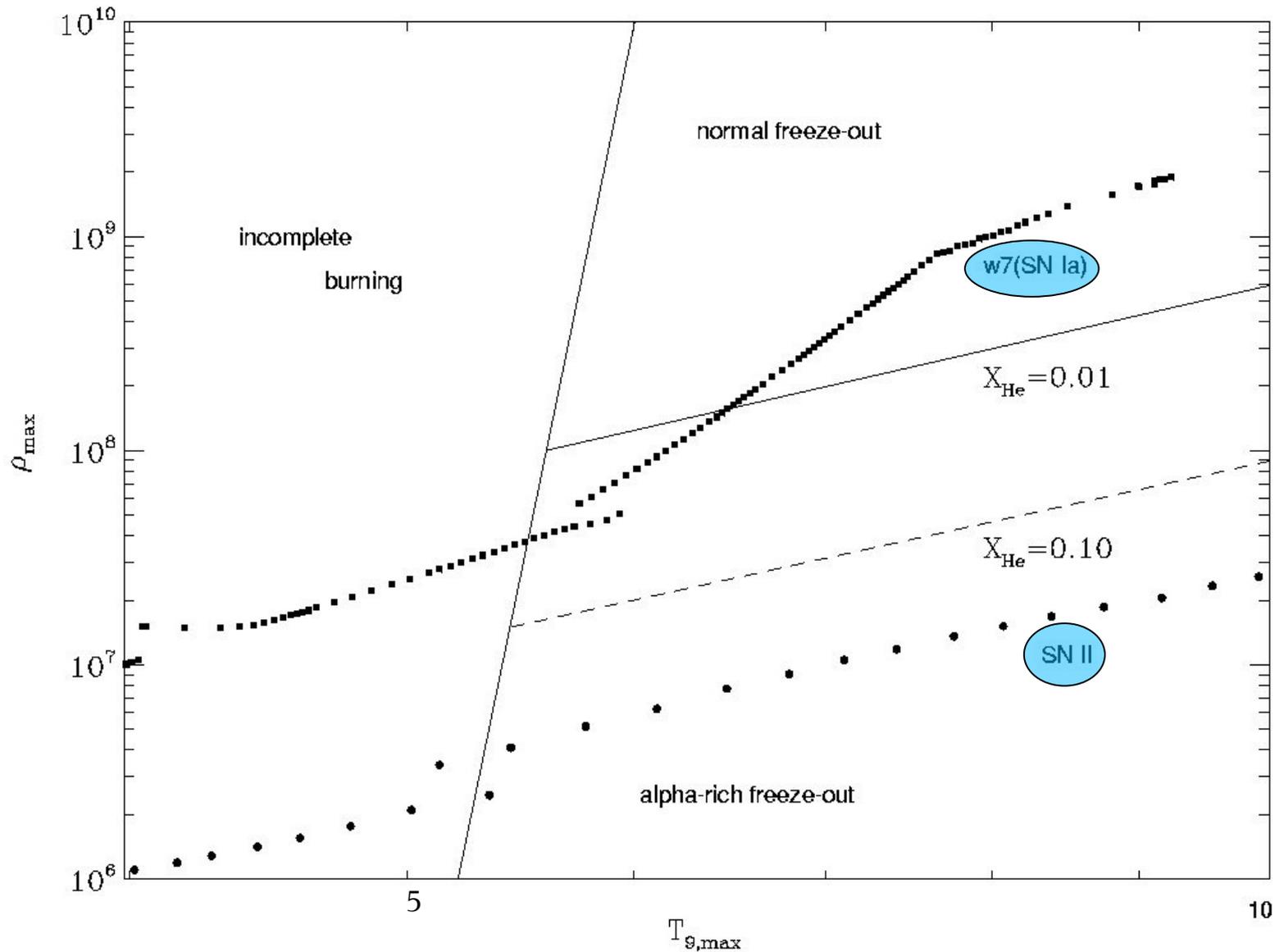
*influence of reaction cross sections, e-capture in late burning stages, metallicity, rotation, magnetic fields, stellar winds on final outcome*

# Explosive Burning



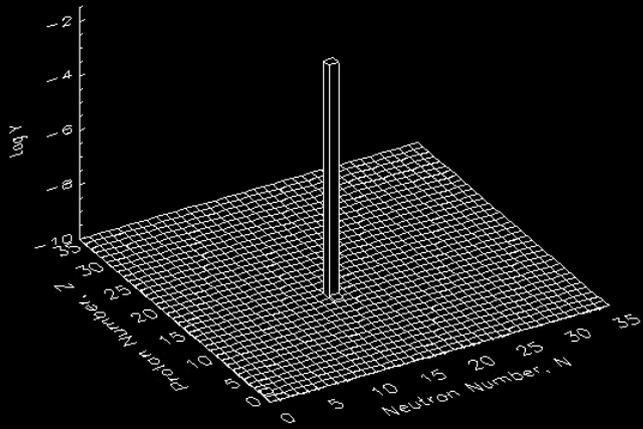
typical explosive burning process timescale order of seconds: fusion reactions (He, C, O) density dependent (He quadratic, C,O linear) photodisintegrations (Ne, Si) not density dependent

# Explosive Si-Burning



Explosive Burning above a critical temperature destroys (photodisintegrates) all nuclei and (re-)builds them up during the expansion. Dependent on density, the full NSE is maintained and leads to only Fe-group nuclei (normal freeze-out) or the reactions linking  $^4\text{He}$  to C and beyond freeze out earlier (alpha-rich freeze-out).

$t$  (s) = 4.78200e-15     $T_9$  = 5.50     $\rho$  (g/cc) = 1.00000e+07

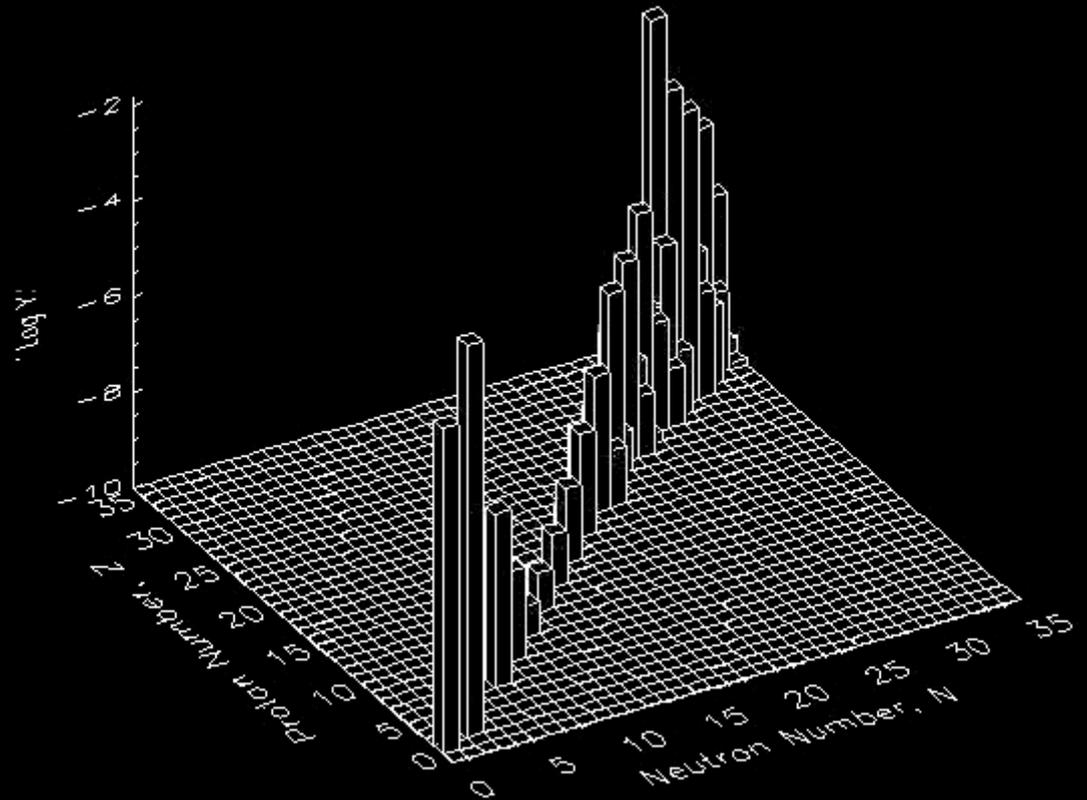
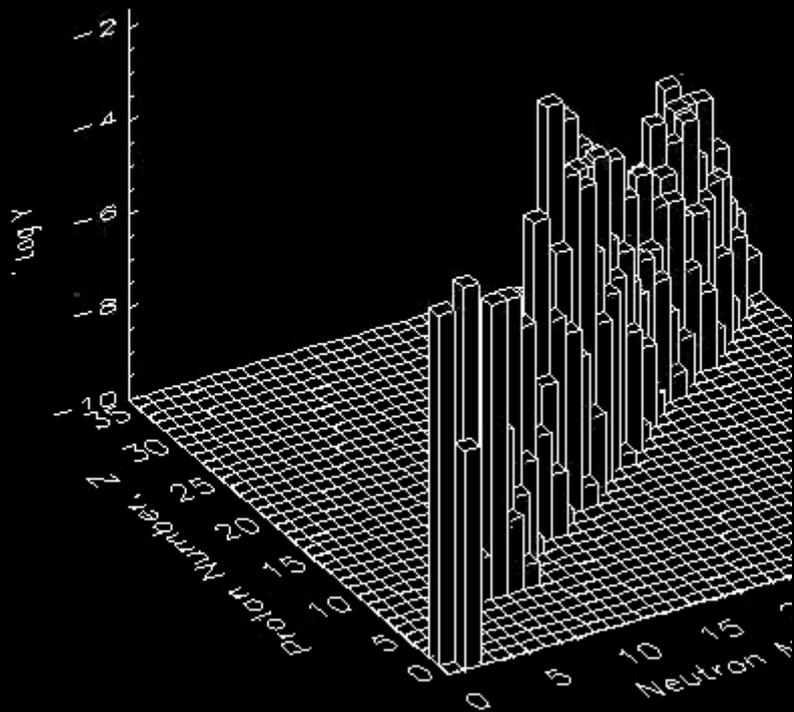


# Explosive Si-burning

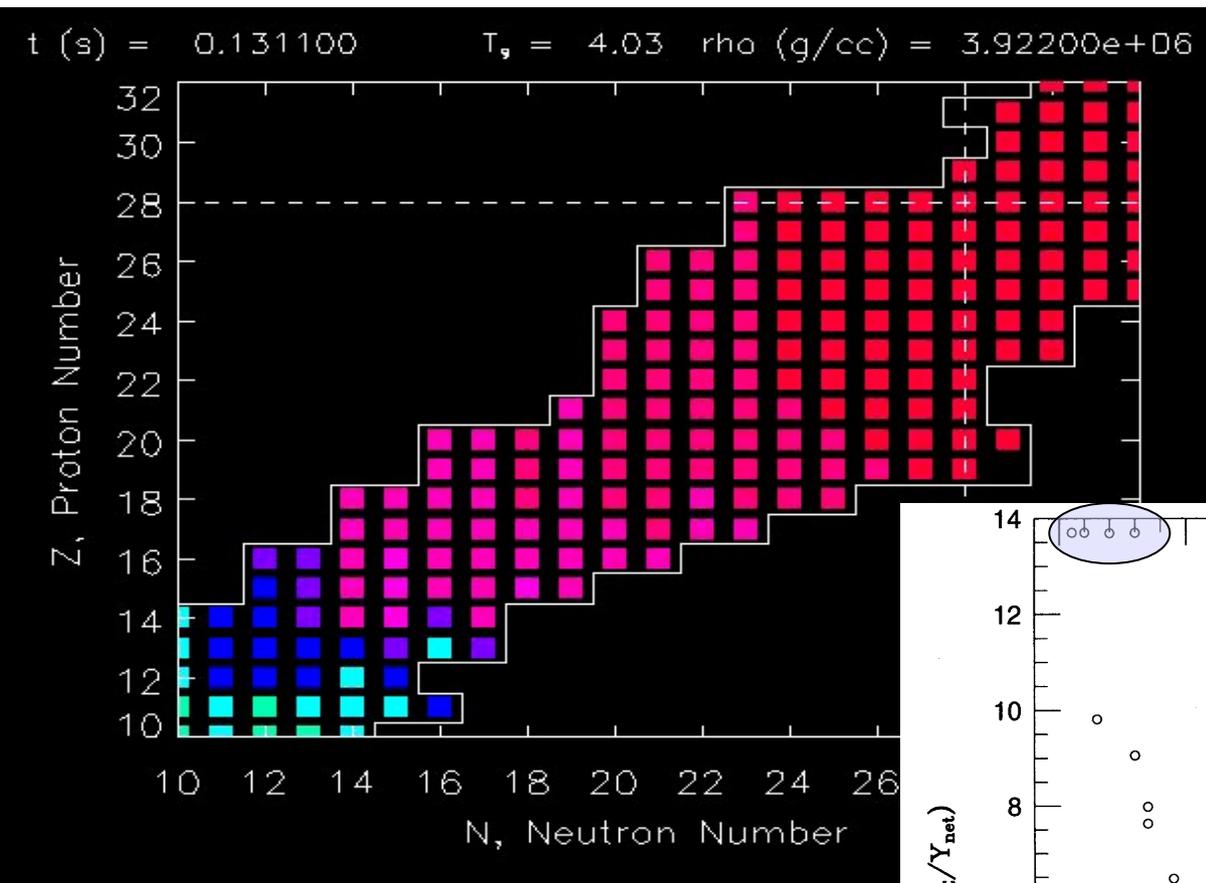
initially only  $^{28}\text{Si}$ , fully burned, finally alpha-rich freeze-out

visualization: B.S. Meyer

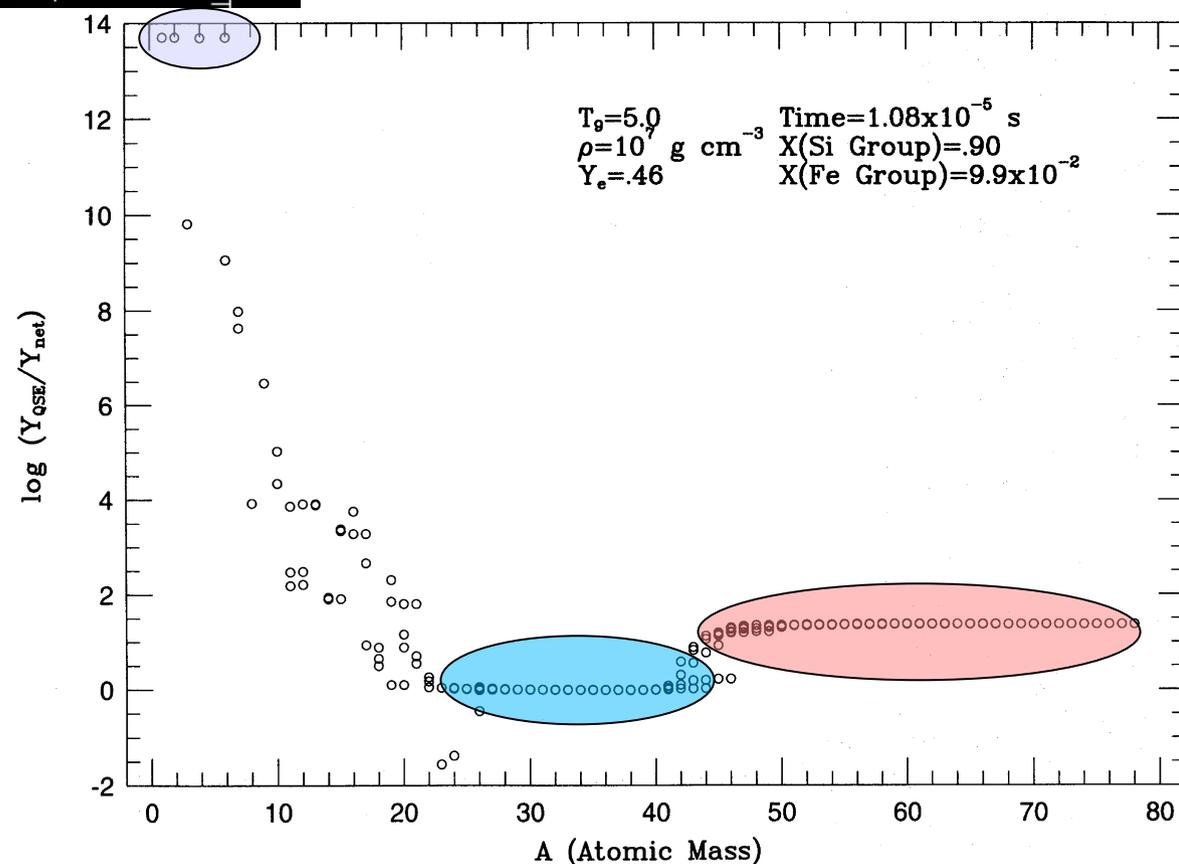
$t$  (s) = 5.90400e-07     $T_9$  = 5.50     $\rho$  (g,     $t$  (s) = 0.303400     $T_9$  = 2.67     $\rho$  (g/cc) = 1.14500e+06



# Quasi-Equilibrium (QSE)



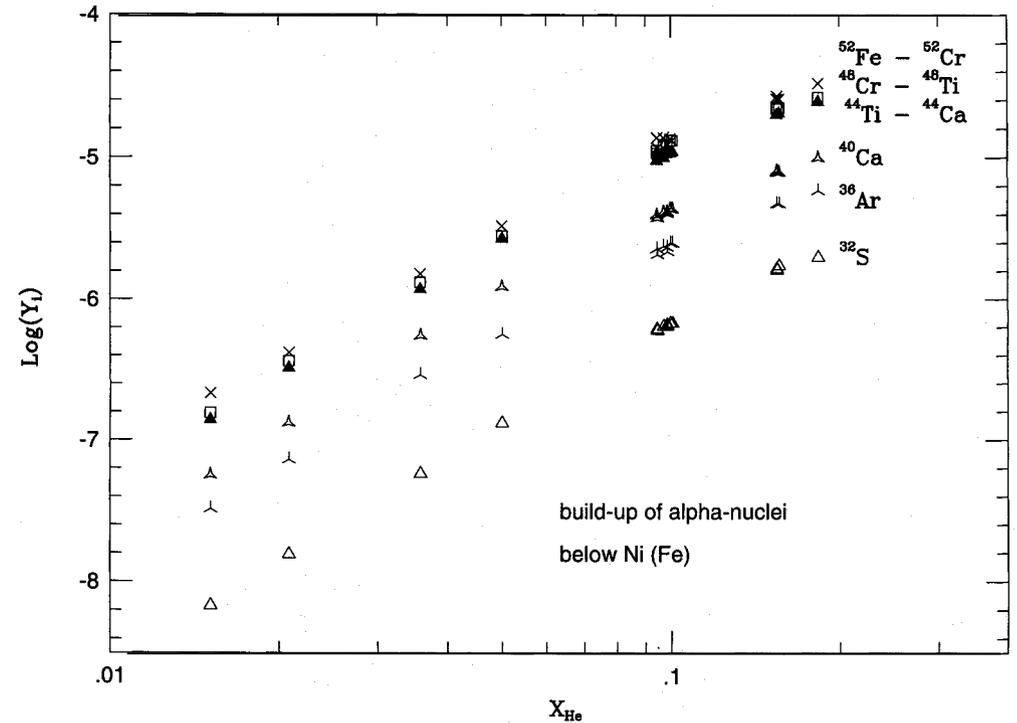
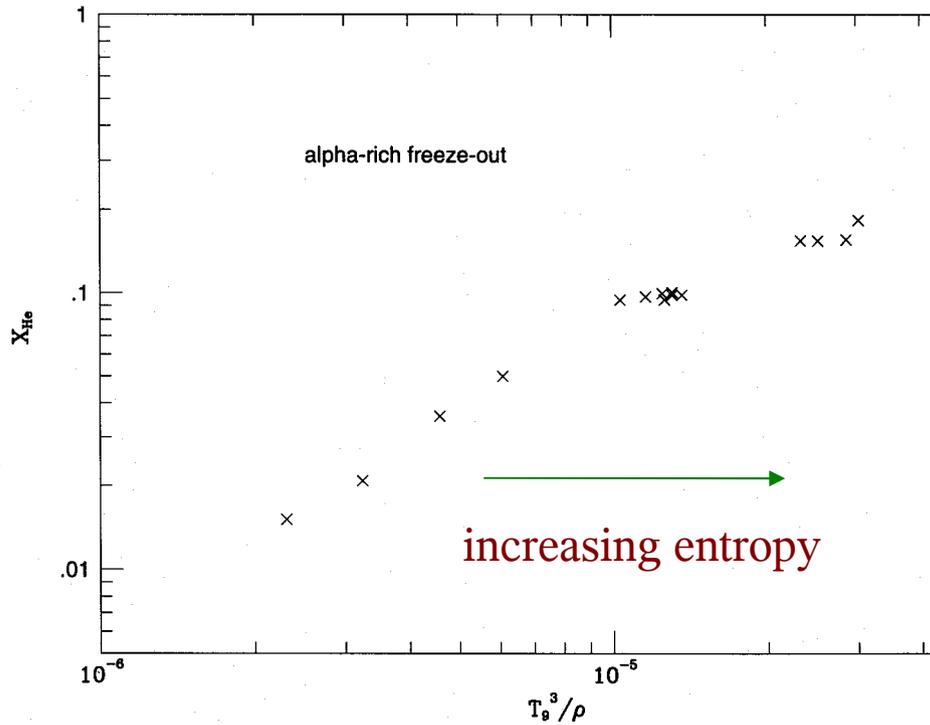
full NSE is not attained, but there exist equilibrium groups around  $^{28}\text{Si}$ ,  $^{56}\text{Ni}$  and  $n,p,^4\text{He}$ , which are separated by slow reactions



sample Calculations from

- B.S. Meyer and
- Hix and Thielemann
- Hix, Parete-Koon, Freiburghaus, FKT (2007, advantages in 3D nucleosynthesis)

# alpha-rich freeze-out



- Thielemann et al. (1996)
- alpha-rich freeze-out occurs at high temperatures and/or low densities and is a function of entropy  $S$  in radiation-dominated matter
- it leads to the enhancement of “alpha-elements”
  - and also to the extension of the Fe-group to higher masses ( $^{56}\text{Ni}$  to  $^{64}\text{Ge}$  and for very high entropies up to  $A=80$ )
- increasing entropy

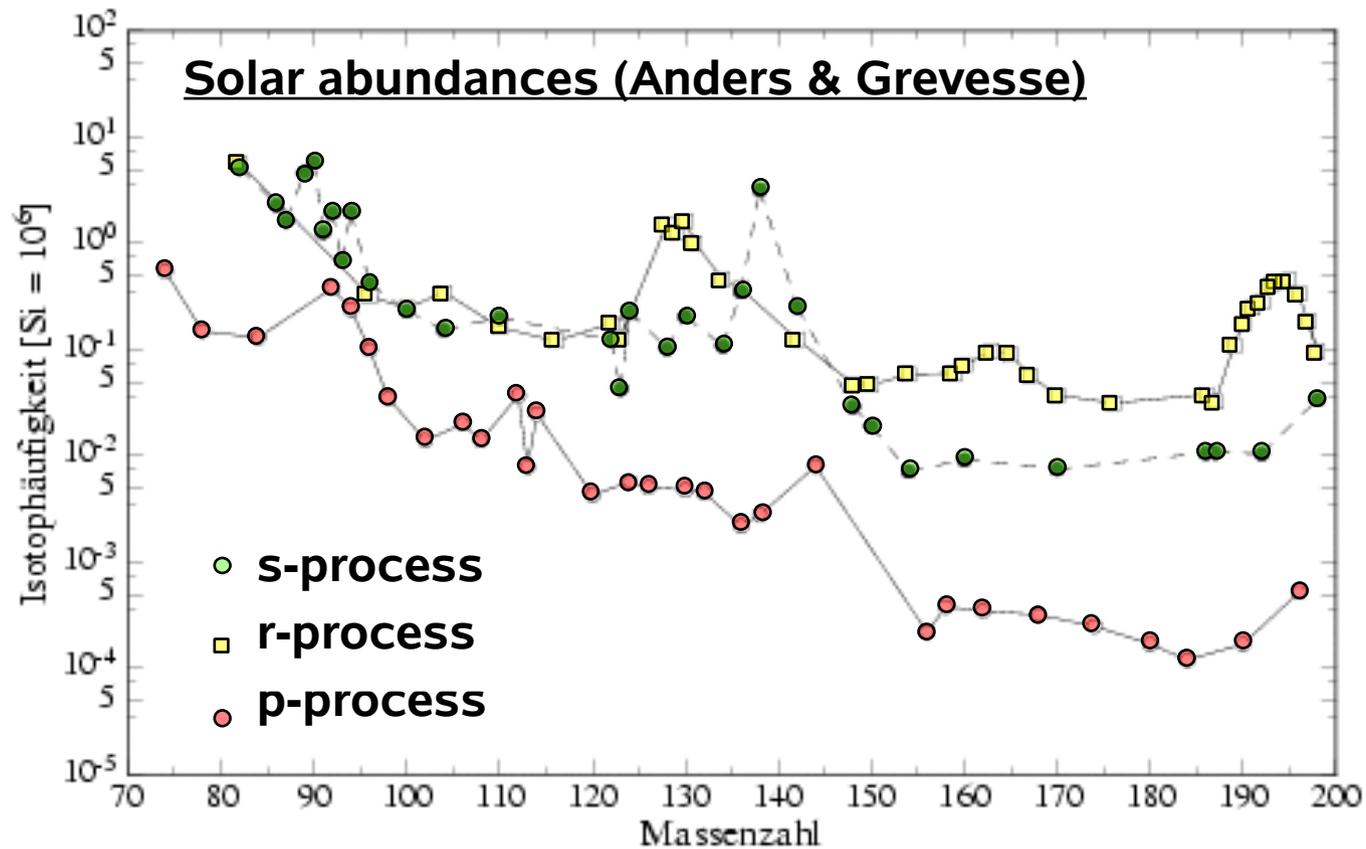
# “Historical” Burning Processes (B<sup>2</sup>FH)

- H-Burning
- He-Burning
- alpha-Process
- e-Process
- s-Process
- r-Process
- p-Process
- x-Process

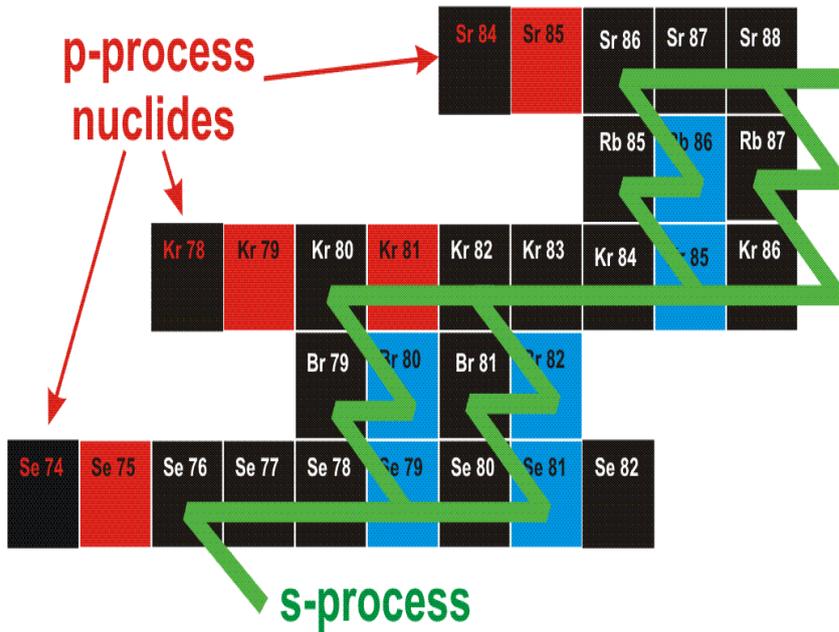
# Present Understanding

- H-Burning
- He-Burning
- expl. C, Ne, O-Burning, incomplete Si-Burning
- explosive Si-Burning
  - about 70% normal freeze-out,  $Y_e=0.42-47$ , about 30% alpha-rich freeze-out,  $Y_e=0.5$
- s-Process (core and shell He-burning, neutrons from alpha-induced reactions on <sup>22</sup>Ne and <sup>13</sup>C)
- r-Process (see below)
- p-Process (see below)
- x-Process (light elements D, Li, Be, B [big bang, cosmic ray spallation and neutrino nucleosynthesis])
- rp-Process and vp-Process not yet known

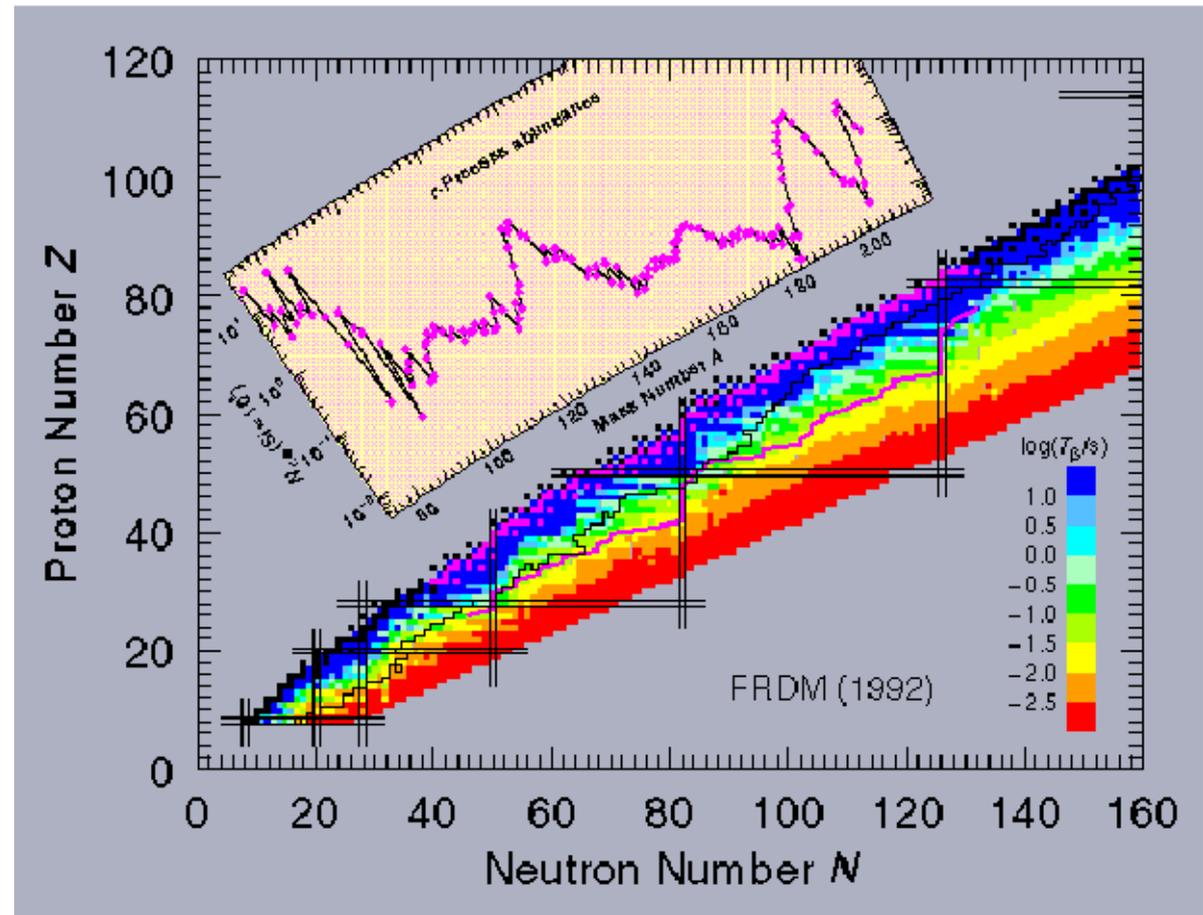
# The Heavy Elements



# s-, r- and p-Process

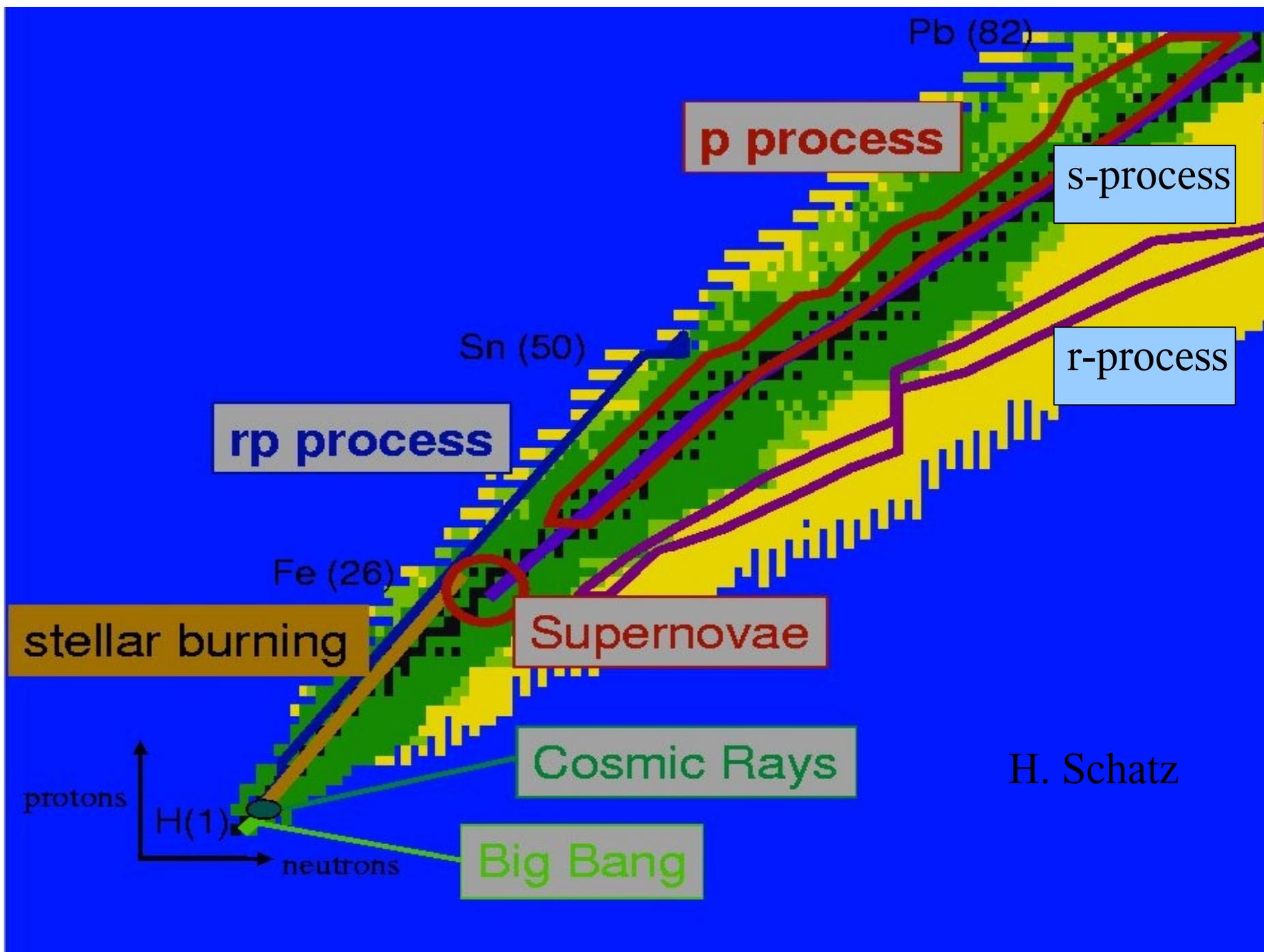


F. Käppeler



P. Möller

# Processes in the Nuclear Chart



# Types of Equilibria

- Steady Flow of Reactions
- Chemical Equilibrium of Reactions
- Complete Chemical Equilibrium (NSE)
- Clusters of Chemical Equilibrium (QSE)
- QSE Clusters linked by Steady Flow

# CNO(I)-Cycle in Steady Flow

The CNO-Cycles in Hydrogen Burning

cycle	reaction sequence
CNOI	$^{12}\text{C}(p,\gamma)^{13}\text{N}(e^+\nu)^{13}\text{C}(p,\gamma)^{14}\text{N}(p,\gamma)^{15}\text{O}(e^+\nu)^{15}\text{N}(p,\alpha)^{12}\text{C}$
CNOII	$^{15}\text{N}(p,\gamma)^{16}\text{O}(p,\gamma)^{17}\text{F}(e^+\nu)^{17}\text{O}(p,\alpha)^{14}\text{N}$
CNOIII	$^{17}\text{O}(p,\gamma)^{18}\text{F}(e^+\nu)^{18}\text{O}(p,\alpha)^{15}\text{N}$
CNOIV	$^{18}\text{O}(p,\gamma)^{19}\text{F}(p,\alpha)^{16}\text{O}$

$$\begin{aligned} \dot{Y}_1 &= -\rho N_A \langle 12, 1 \rangle Y_{12} Y_1 - \rho N_A \langle 13, 1 \rangle Y_{13} Y_1 - \rho N_A \langle 14, 1 \rangle Y_{14} Y_1 \\ &\quad - \rho N_A \langle 15, 1 \rangle Y_{15} Y_1 \\ &= -4C_{CNO} = -4\rho N_A \langle 14, 1 \rangle Y_{14} Y_1 = -\frac{1}{\tau_{1,14}} Y_1 \end{aligned}$$

$$\dot{Y}_4 = \rho N_A \langle 15, 1 \rangle Y_{15} Y_1 = C_{CNO}$$

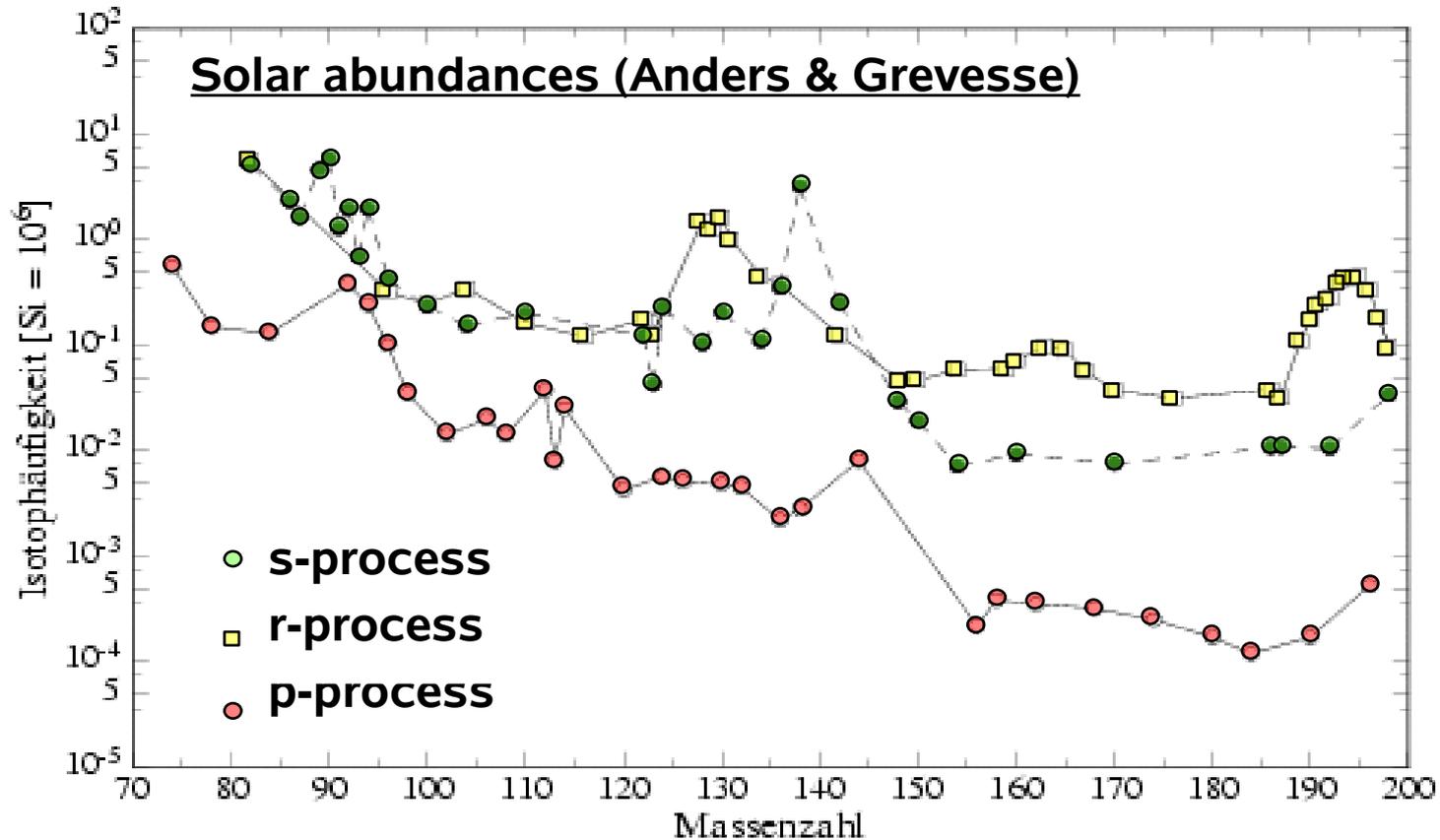
the network entry for nuclei with mass numbers  $A=12, 13, 14, 15$  is governed in each case by a production reaction (proton reaction on  $A-1$ ) and a destruction reaction (proton reaction on  $A$ ). In case of a steady flow they cancel and lead to  $Y=0$  for all  $A$ , linking all of these terms and identical to ( $A=14$  is useful as this encounters the slowest reaction and essentially all mass assembles in  $^{14}\text{N}$ )

$$C_{CNO} = \rho N_A \langle 14, 1 \rangle Y_{14} Y_1$$

$$Y_{14} \approx \frac{1.4 \times 10^{-2}}{14}$$

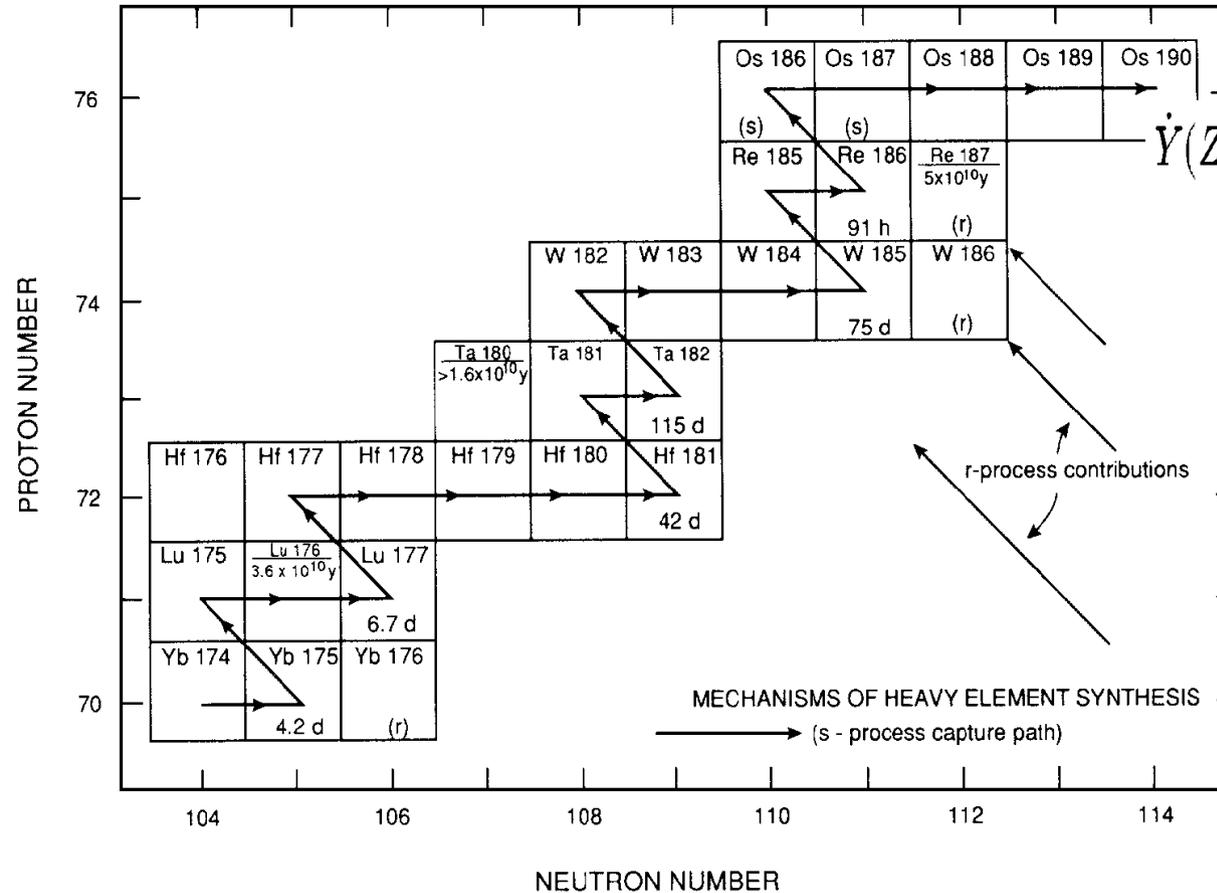
summing all mass fractions of CNO nuclei for solar metallicity

# s-process and steady flow



shown are s-, r-, and p-only nuclei!

# s-process and steady flow



possible destruction of nucleus (Z,A)

$$\begin{aligned} \dot{Y}(Z, A) &= -\lambda_{\beta}(Z, A)Y(Z, A) - \rho N_A \langle \sigma v \rangle_{n,\gamma} Y_n Y(Z, A) \\ &= -\lambda_{\beta}(Z, A)Y(Z, A) - \langle \sigma v \rangle_{n,\gamma} n_n Y(Z, A) \\ &= -\frac{1}{\tau_{\beta}} Y(Z, A) - \frac{1}{\tau_{n,\gamma}} Y(Z, A). \end{aligned}$$

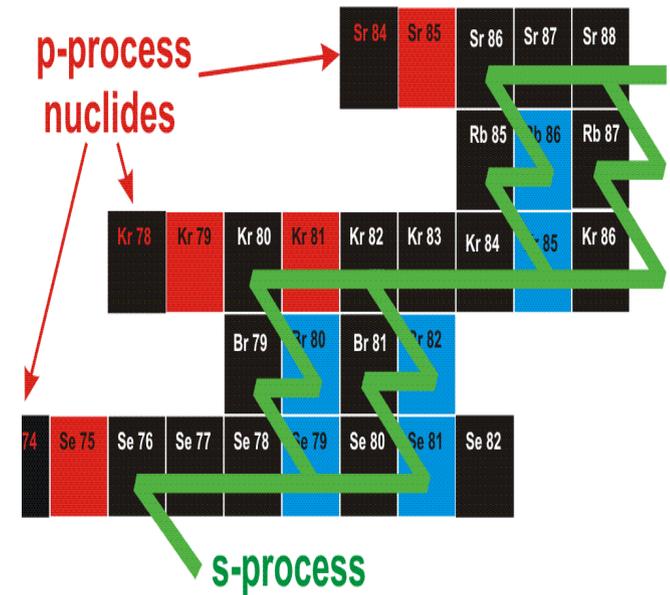
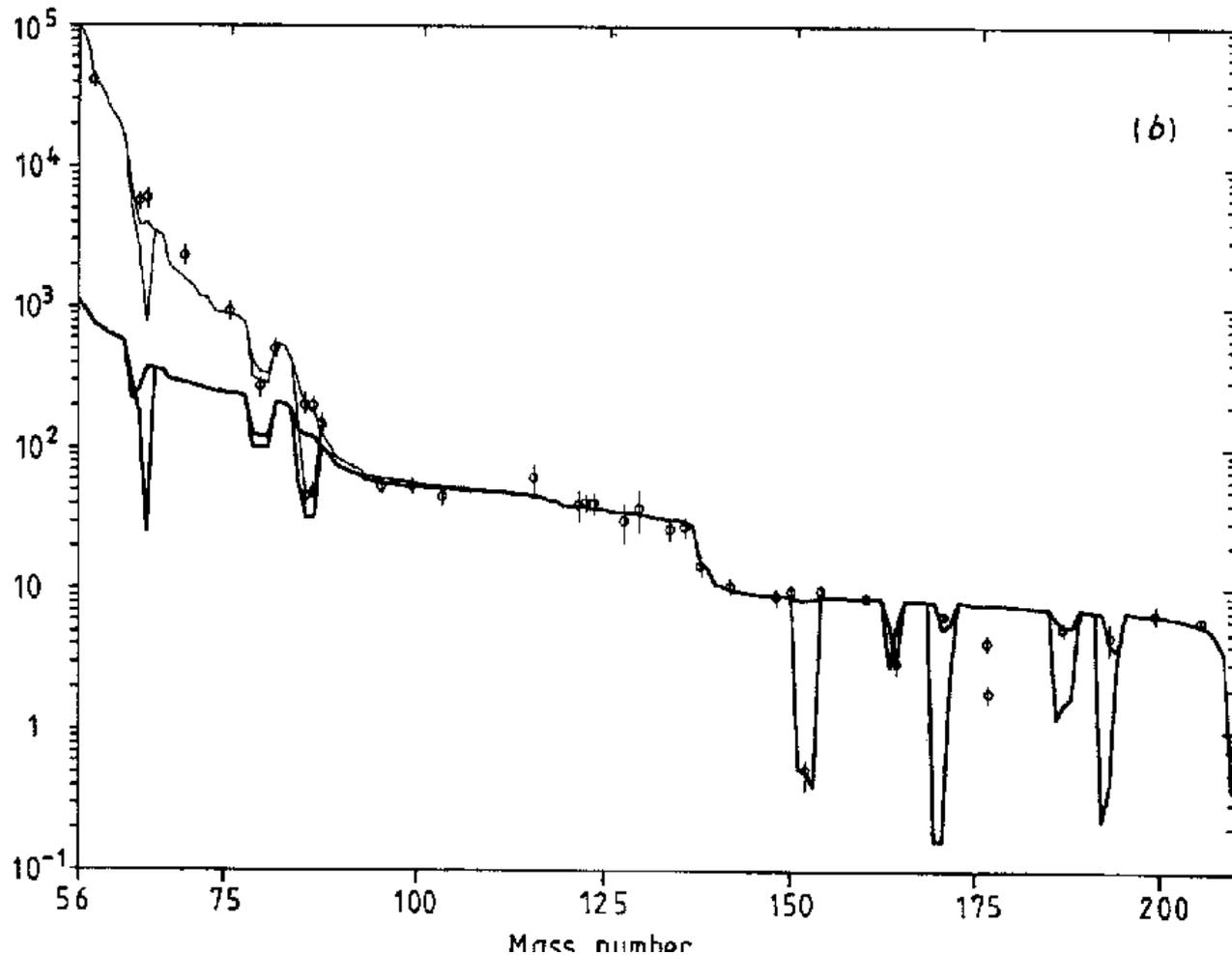
$\tau_n > \tau_{\beta}$  beta-decay to (Z+1,A)

only one nucleus per A  
needs to be considered!

$\dot{Y}(A) = n_n \langle \sigma v \rangle_{n,\gamma} Y(A-1) - n_n \langle \sigma v \rangle_{n,\gamma} Y(A)$  in case of steady flow =0

$\sigma \approx 1/v, \langle \sigma v \rangle = \sigma(v)v$  therefore  $\sigma(A-1, 30 \text{ keV})Y(A-1) = \sigma(A, 30 \text{ keV})Y(A)$

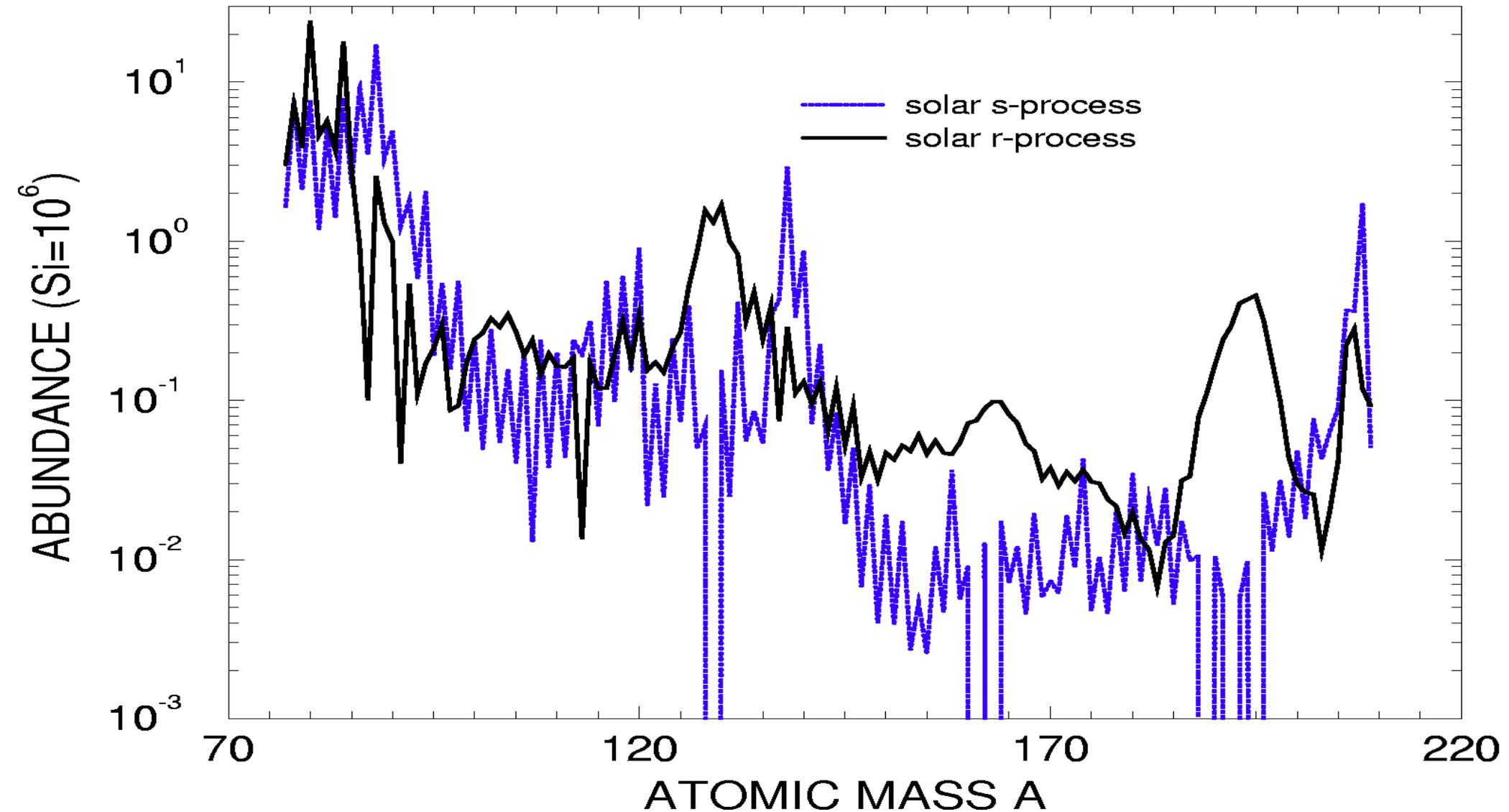
# The $\sigma \cdot N$ -curve



double values due to branchings

a complete steady flow is not given, but in between magic numbers (where the neutron capture cross sections are small) almost attained!

# s- and r-decomposition



the almost constant  $\sigma \cdot N$ -curve leads to a large odd-even staggering in the abundances (due to the odd-even staggering in n-capture cross sections!)

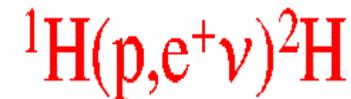
# Steady flows and chem. equilibrium in stellar burning

pp-cycles and CNO-cycle lead to steady flows in H-burning

## 1. Hydrogen Burning

$$T = (1-4) \times 10^7 \text{K}$$

pp-cycles  $\rightarrow$



CNO-cycle  $\rightarrow$  slowest reaction



## 2. Helium Burning

$$T = (1-2) \times 10^8 \text{K}$$

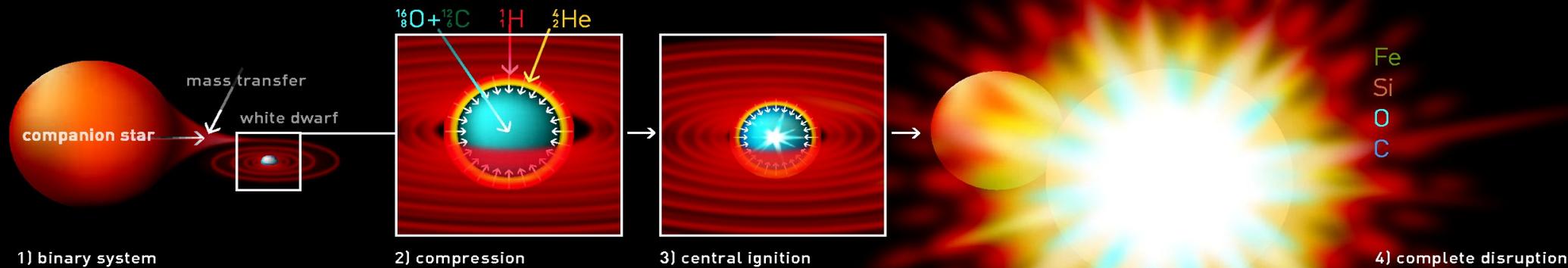


${}^4\text{He} + {}^4\text{He} \longleftrightarrow {}^8\text{Be}$  is in chemical equilibrium

released neutrons lead to steady flow in neutron capture

# Type Ia Supernovae from Accretion in Binary Stellar Systems

## Type I (a) Supernova

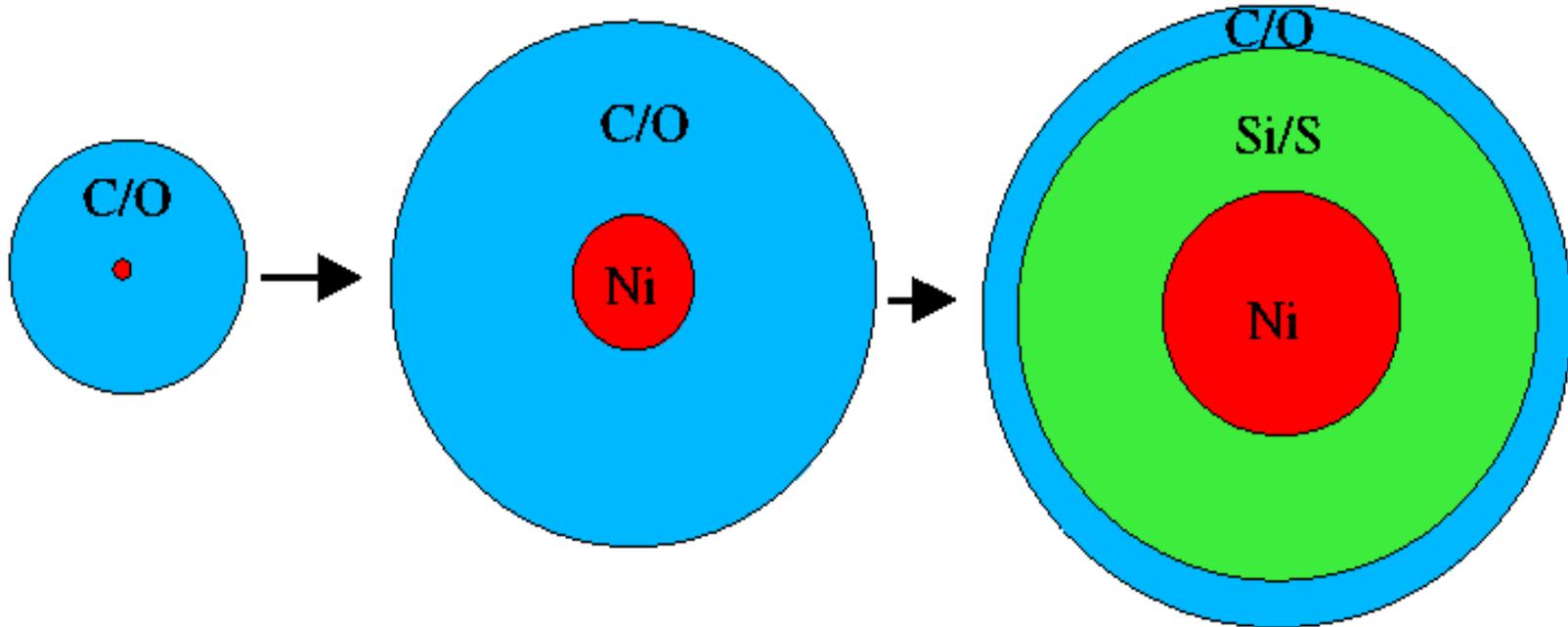


binary systems with accretion onto one compact object can lead (depending on accretion rate) to explosive events with thermonuclear runaway (under electron-degenerate conditions)

- white dwarfs (novae, type Ia supernovae)
- neutron stars (type I X-ray bursts, superbursts?)

# Back of the Envelope SN Ia

e.g. W7 (Nomoto, Thielemann, Yokoi 1984); delayed detonations (Khokhlov, Höflich, Müller; Woosley et al.)



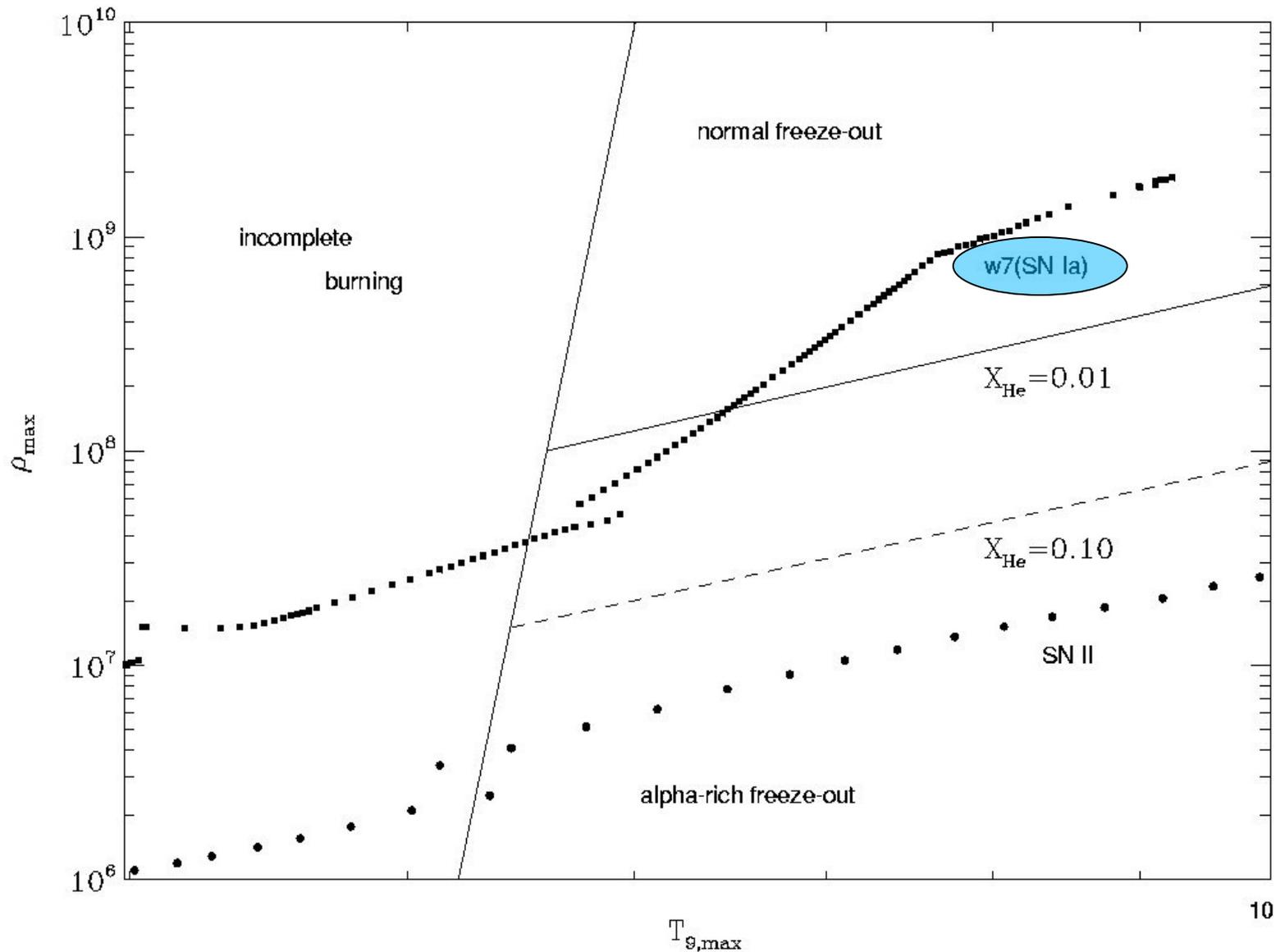
$M_{ch} \approx 1.4 M_{\odot}$  of  $^{12}\text{C}/^{16}\text{O}=1$  WD  $\rightarrow 1.398776 M_{\odot} \text{ } ^{56}\text{Ni}$

$\rightarrow 2.19 \times 10^{51}$  erg -  $E_{grav} \approx (5 - 6) \times 10^{50}$  erg

reduction due to intermediate elements like Mg, Si, S, Ca

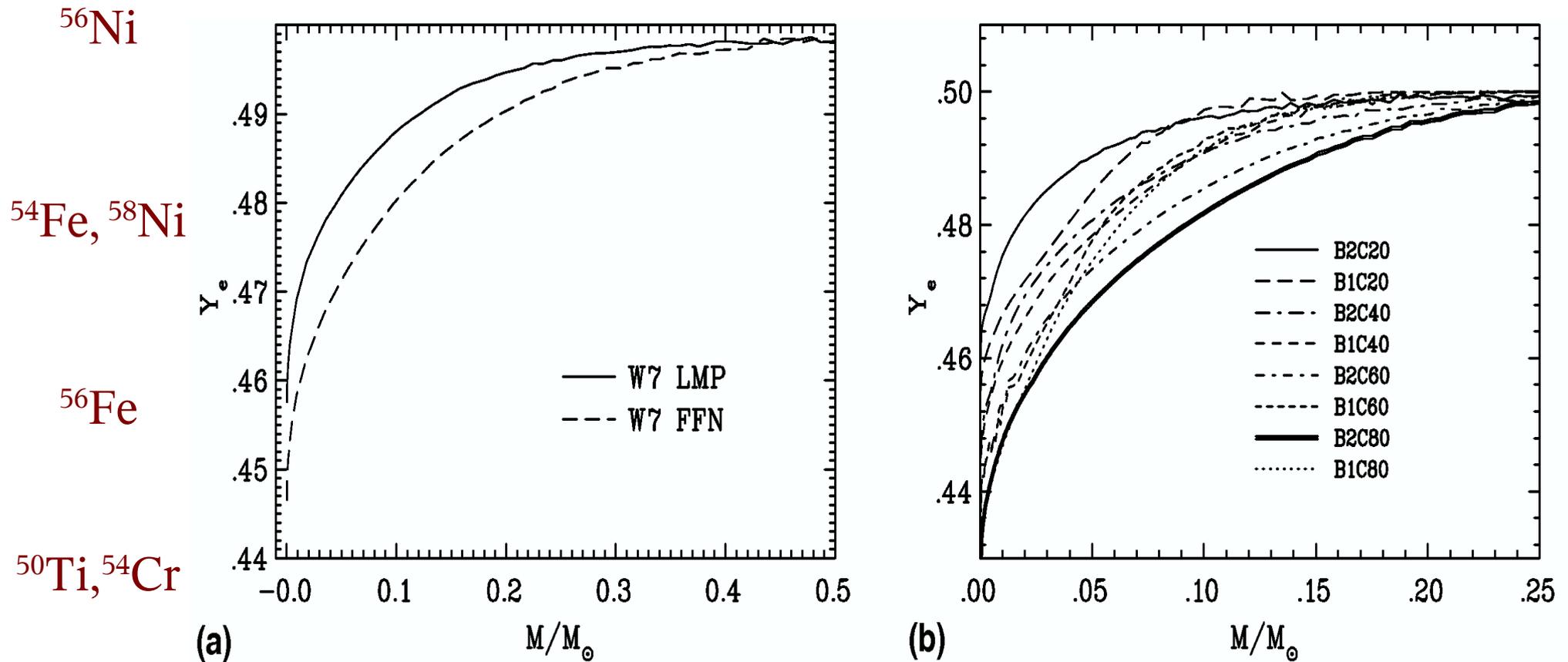
$\rightarrow 1.3 \times 10^{51}$  erg in spherically symmetric models description of the burning front propagation (with hydrodynamic instabilities) determines outcome!

# Complete chem. equilibrium (NSE)



Si-burning in stellar evolution and expl. Si-burning at high densities lead to NSE!

# Neutronization via electron capture (high Fermi energies at central densities)



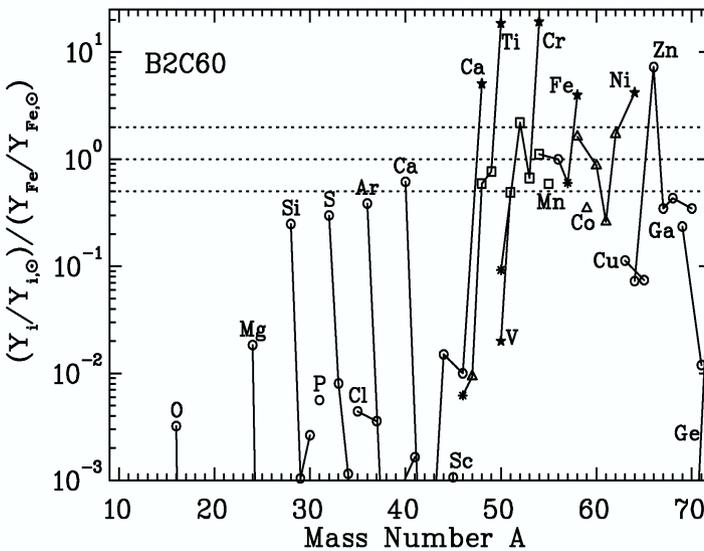
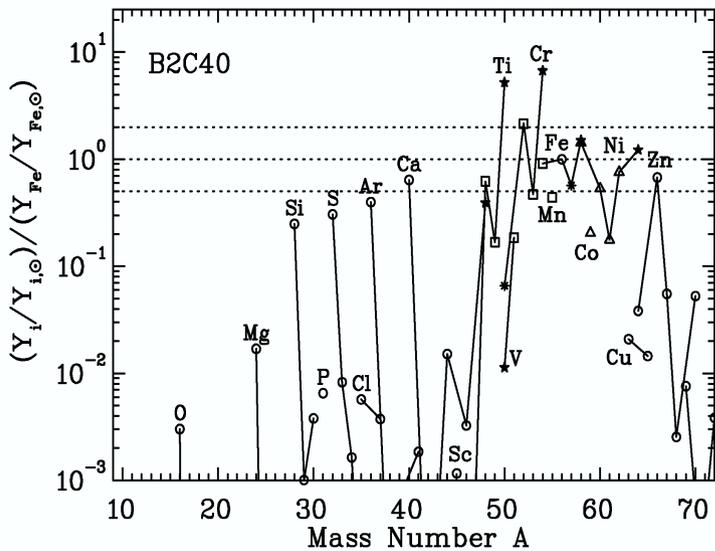
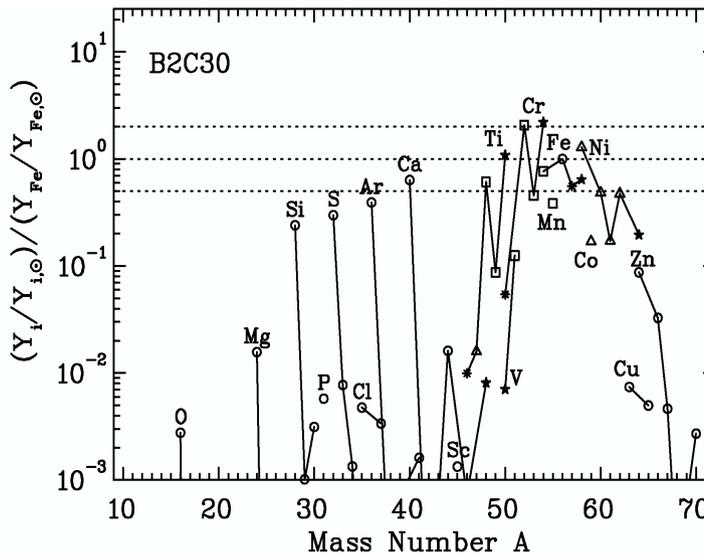
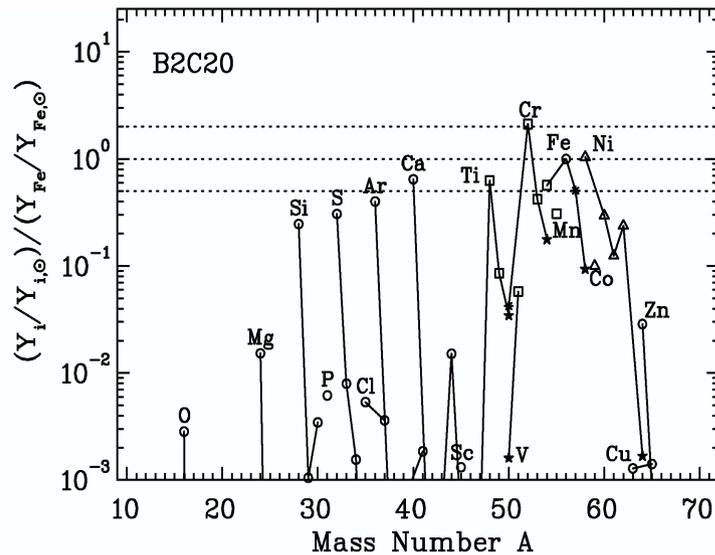
(a) Test for influence of new shell model electron capture rates (including pf-shell Langanke, Martinez-Pinedo 2003)

(b) Test for burning front propagation speed (Brachwitz et al. 2001)

*direct influence on dominant Fe-group composition resulting from SNe Ia*

# Ignition density determines $Y_e$ and neutron-richness of (60-70% of) Fe-group

FKT et al. (2004)

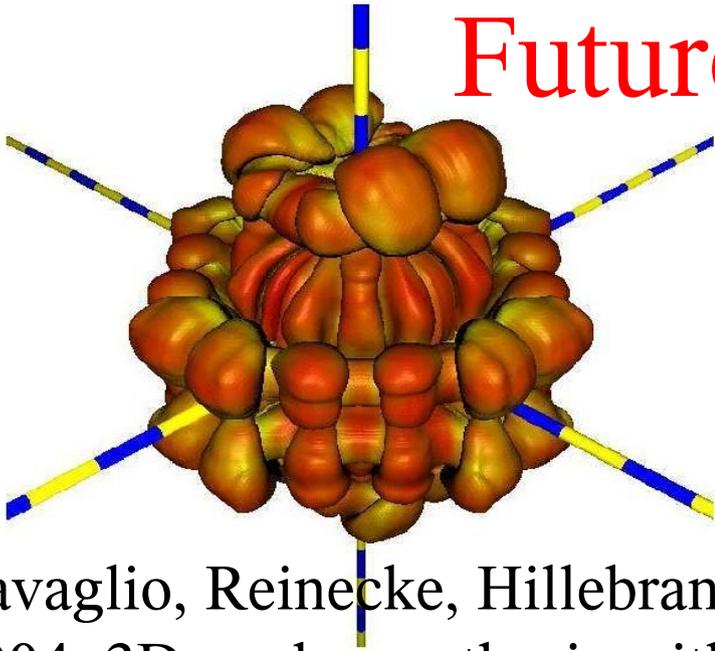


results of explosive C, Ne, O and Si-burning:  
Fe-group to alpha-elements 2/1-3/1

SNe Ia dominate Fe-group, overabundances by more than factor 2 not permitted

→ maximum central density  $3 \times 10^9 \text{ g cm}^{-3}$

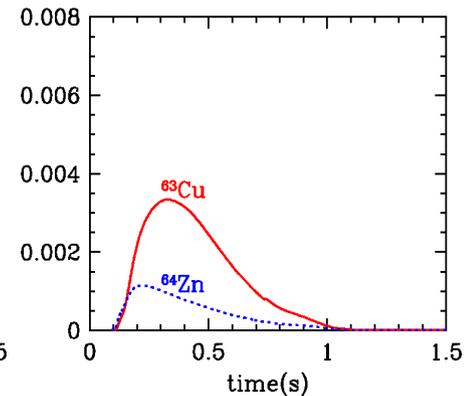
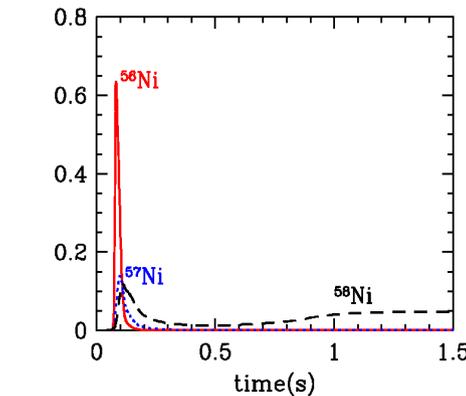
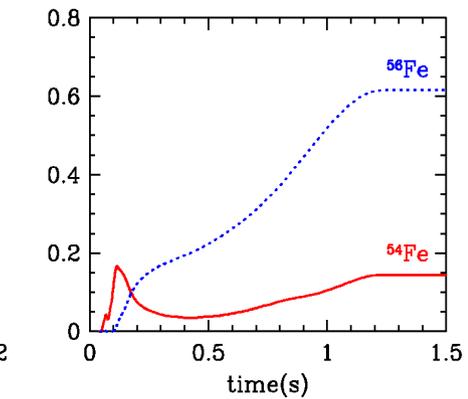
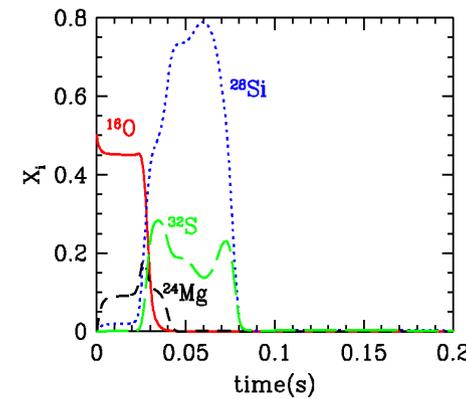
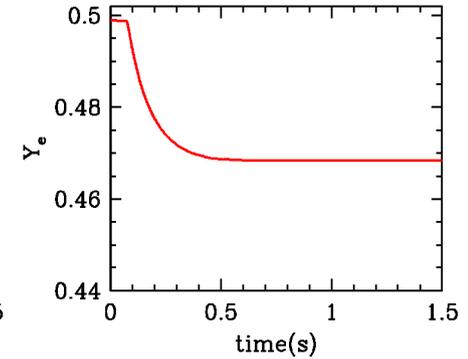
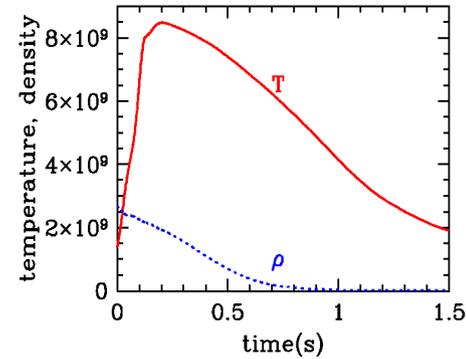
# Future 3D Models



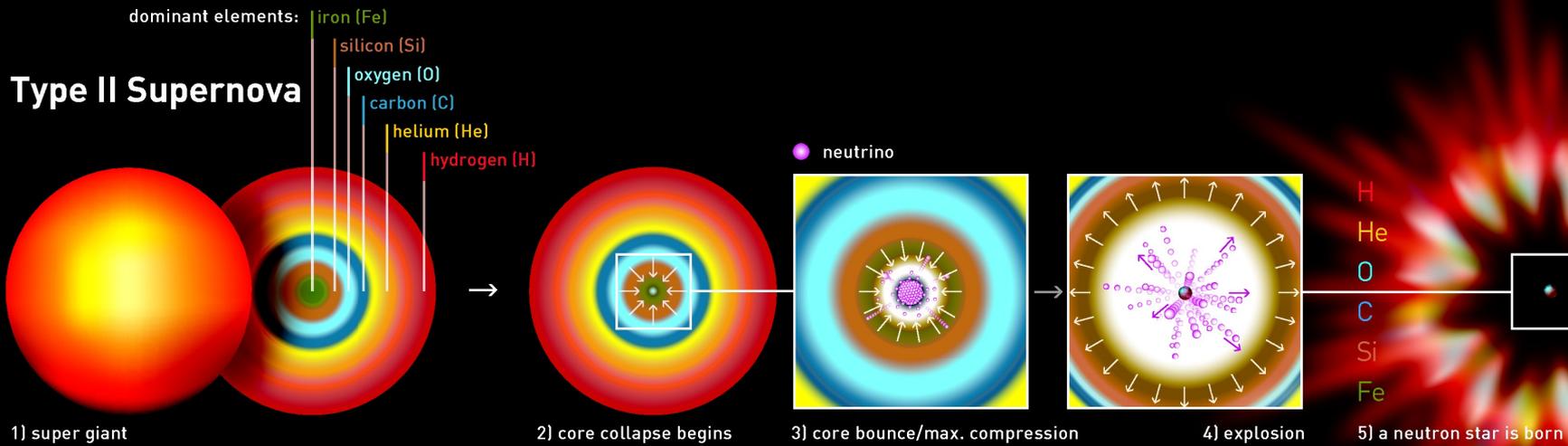
Travaglio, Reinecke, Hillebrandt, FKT (2004, 3D nucleosynthesis with tracer particles)

consistent treatment needed instead of parametrized spherical propagation, MPA Garching (Röpke et al. 2007), U. Chicago/SUNY Stony Brook (Calder et al. 2007)

- *distribution of ignition points uncertain*
- *hydrodynamic instabilities determine propagation of burning*
- *deflagration/detonation transition*

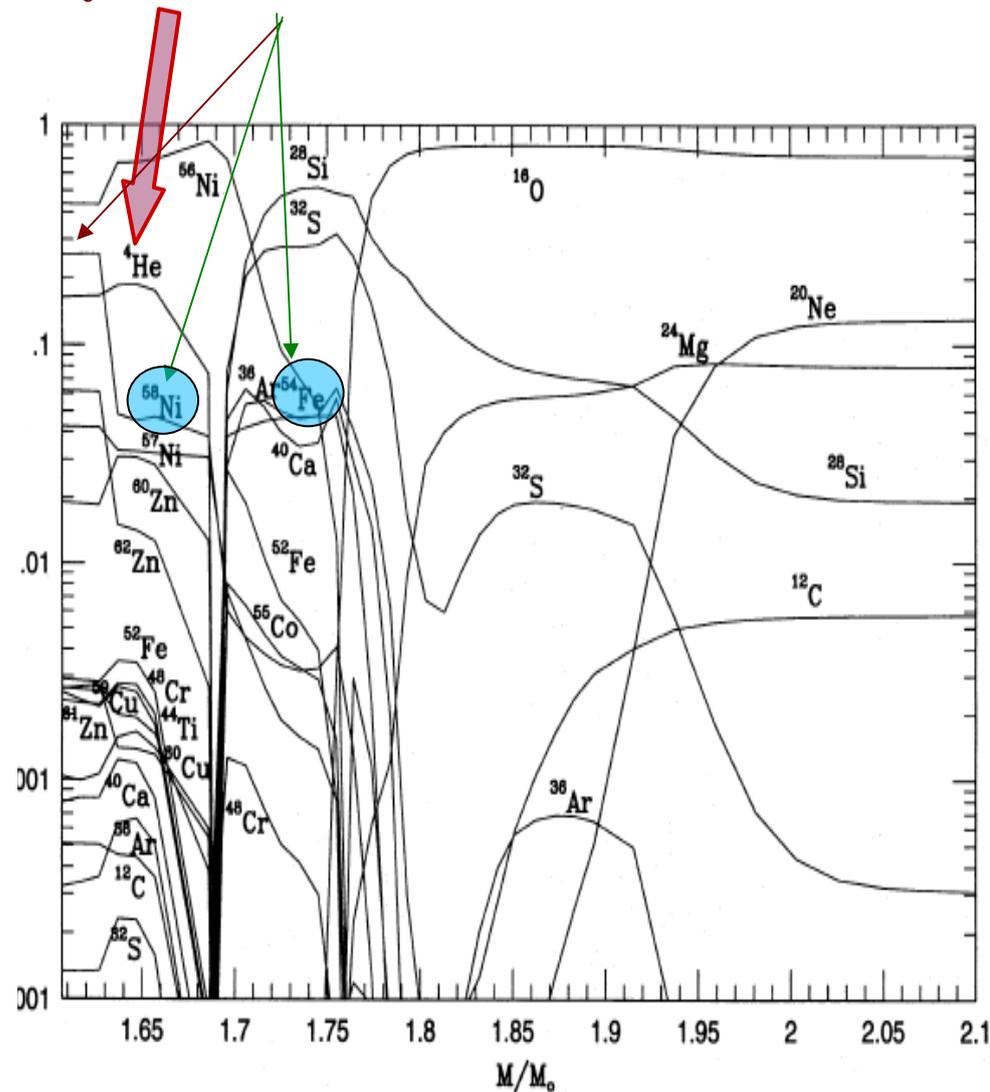
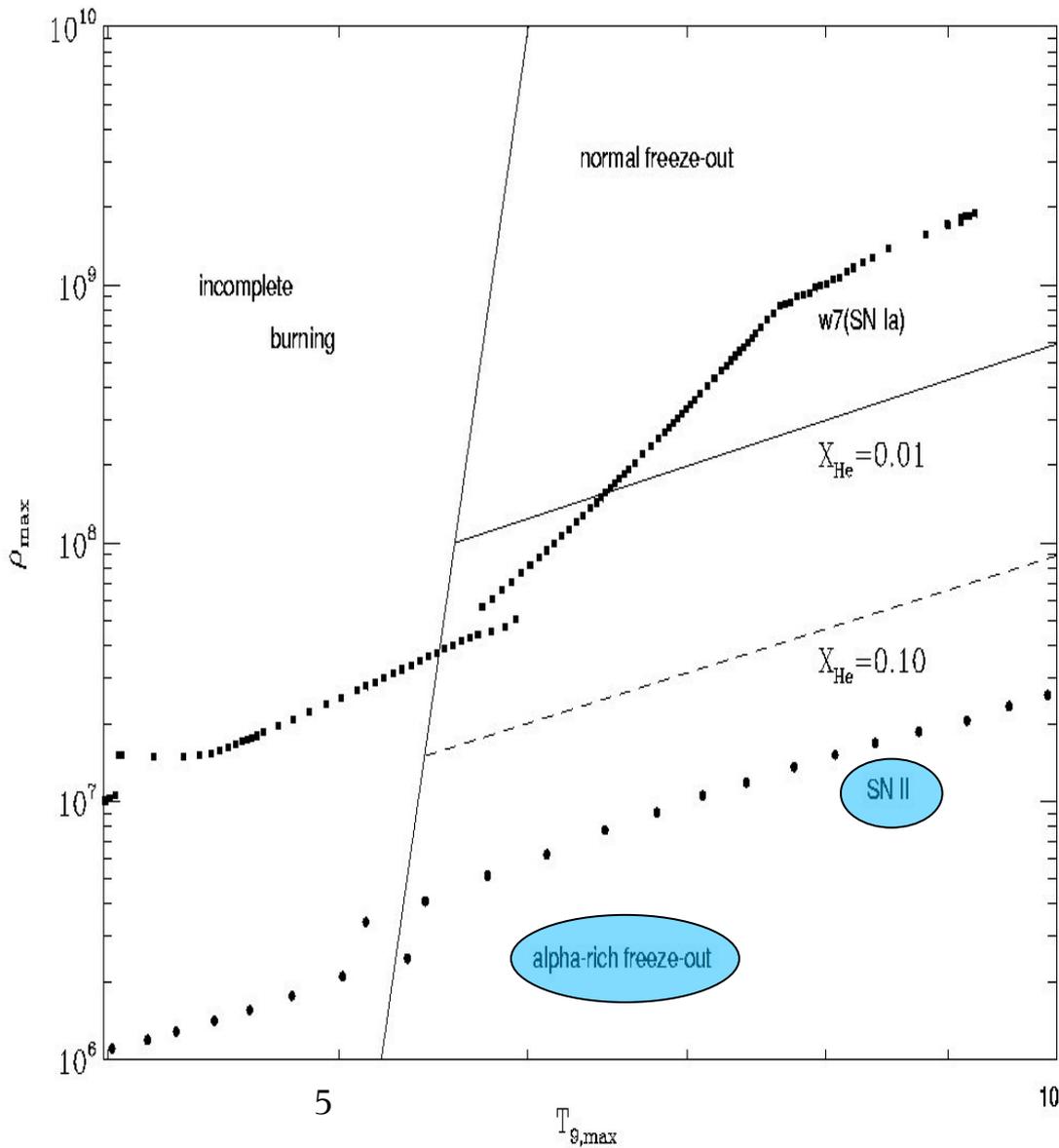


# Core Collapse Supernovae from Massive Stars



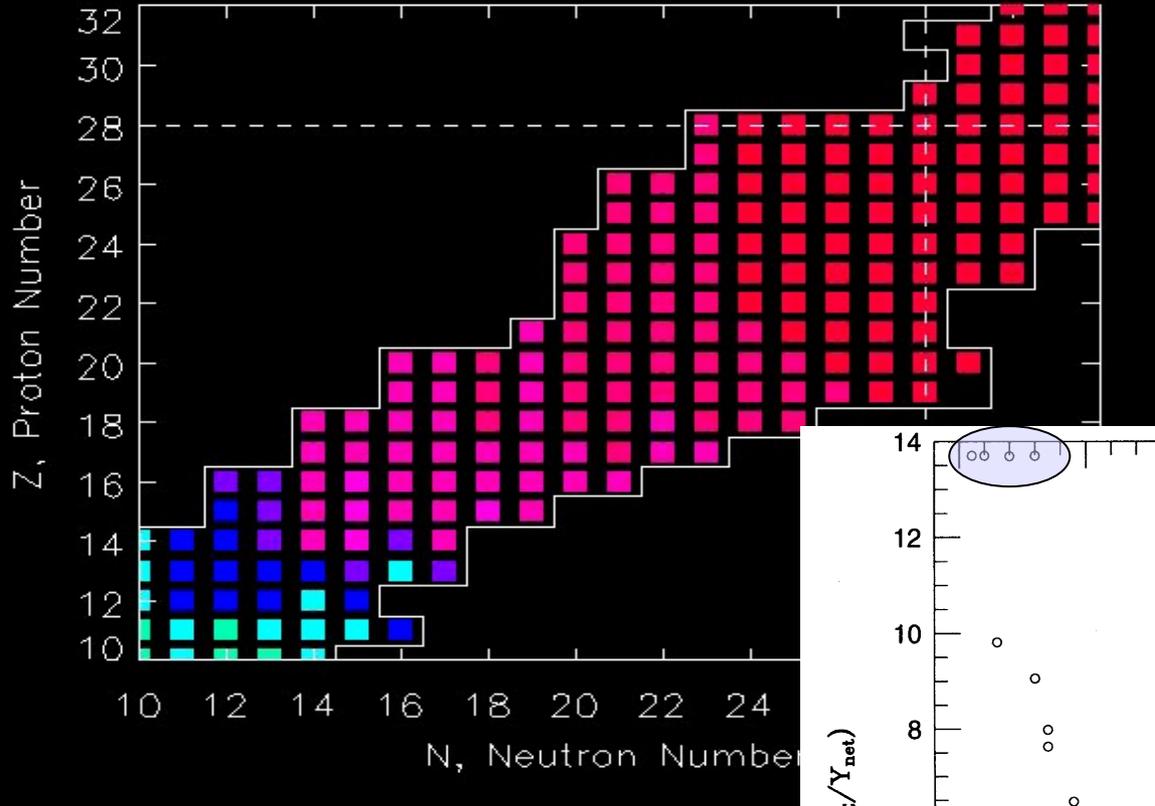
# QSE in low density expl. Si-burning

disconnected light element (n,p,He) and Si-Fe QSE-cluster, **high alpha-abundance** prefers alpha-rich nuclei ( $^{58}\text{Ni}$  over  $^{54}\text{Fe}$ ),  $Y_e$  determines dominant QSE-isotope.

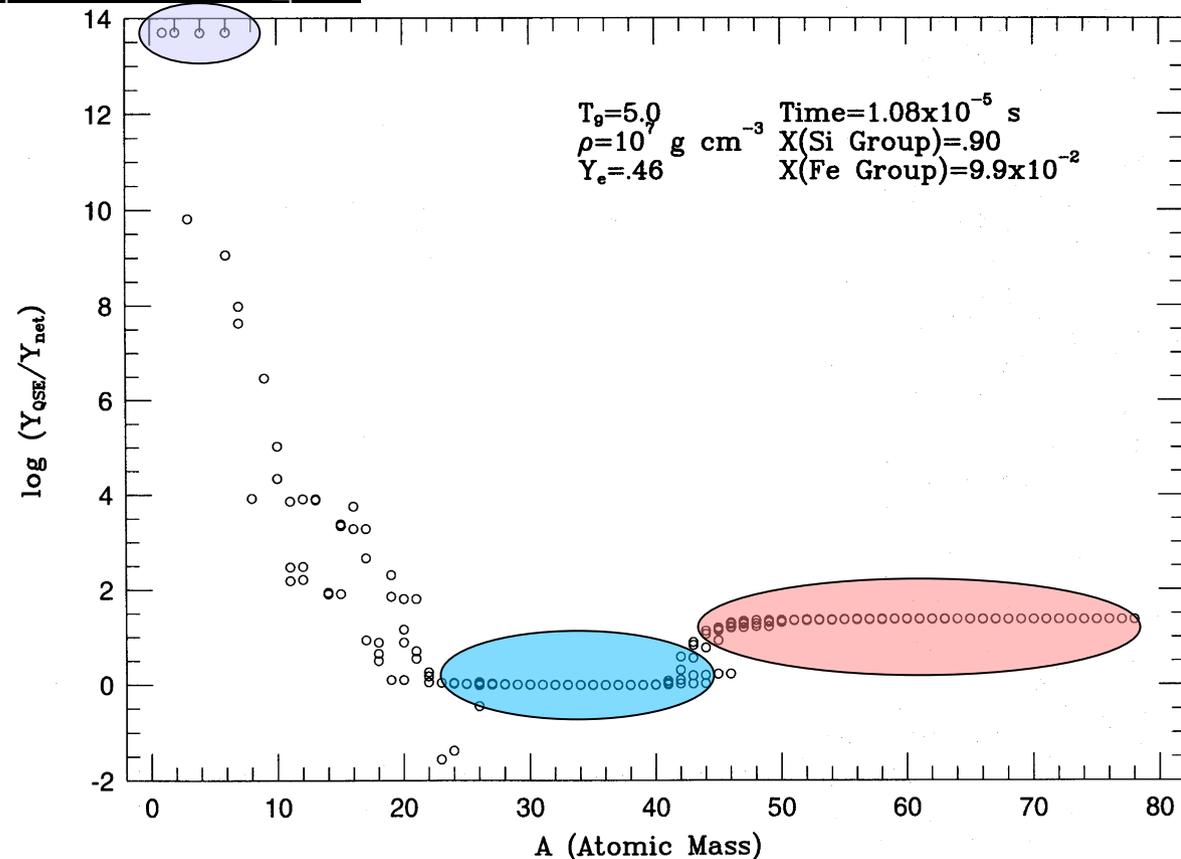


# QSE in explosive Si-burning

$t$  (s) = 0.131100       $T_9 = 4.03$        $\rho$  (g/cc) = 3.92200e+06



full NSE is not attained, but there exist equilibrium groups around  $^{28}\text{Si}$ ,  $^{56}\text{Ni}$  and  $n,p,^4\text{He}$ , which are separated by slow reactions



Sample Calculations from

- B.S. Meyer and
- Hix and Thielemann

small Q-values of reactions out of  $Z=20$ ,  $N=20$  cause small cross sections and hold up equilibrium

# QSE Formalism

light group

$$Y_{NSE}(^AZ) = C(^AZ) Y_n^N Y_p^Z$$

Si-group

$$Y_{QSE,Si}(^AZ) = \frac{C(^AZ)}{C(^{28}Si)} Y(^{28}Si) Y_p^{Z-14} Y_n^{N-14}$$

Ni/Fe-group

$$Y_{QSE,Ni}(^AZ) = \frac{C(^AZ)}{C(^{56}Ni)} Y(^{56}Ni) Y_p^{Z-28} Y_n^{N-28}$$

$$C(^AZ) = \frac{G(^AZ)}{2^A} \left( \frac{\rho N_A}{\theta} \right)^{A-1} A^{\frac{3}{2}} \exp \left( \frac{B(^AZ)}{k_B T} \right)$$

$$\theta = \left( \frac{m_u k_B T}{2\pi \hbar^2} \right)^{3/2}$$

binding energy differences, i.e. masses enter directly

$$Y_{NG} = \sum_{i \in Lt \text{ group}} N_i Y_i + \sum_{i \in Si \text{ group}} (N_i - 14) Y_i + \sum_{i \in Fe \text{ group}} (N_i - 28) Y_i,$$

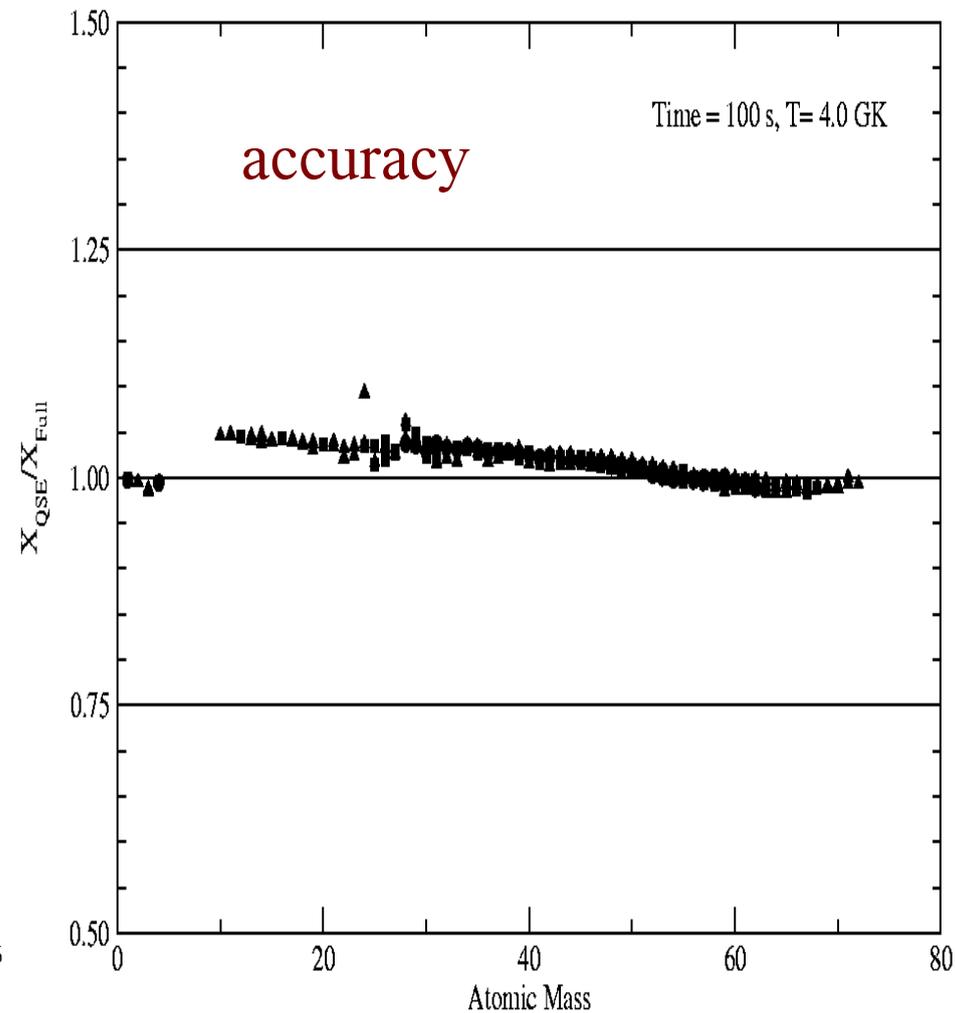
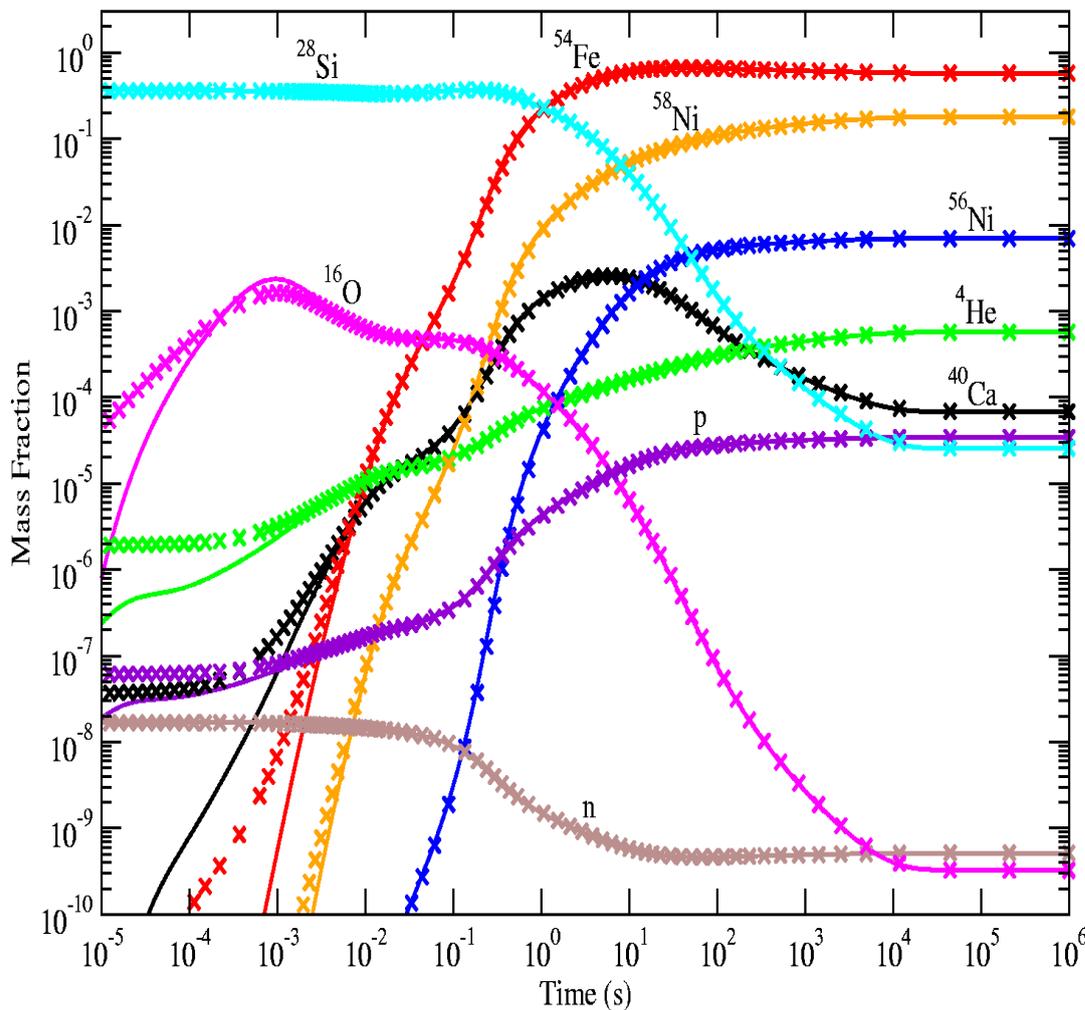
$$Y_{ZG} = \sum_{i \in Lt \text{ group}} Z_i Y_i + \sum_{i \in Si \text{ group}} (Z_i - 14) Y_i + \sum_{i \in Fe \text{ group}} (Z_i - 28) Y_i,$$

$$Y_{SiG} = \sum_{i \in Si \text{ group}} Y_i,$$

$$Y_{FeG} = \sum_{i \in Fe \text{ group}} Y_i.$$

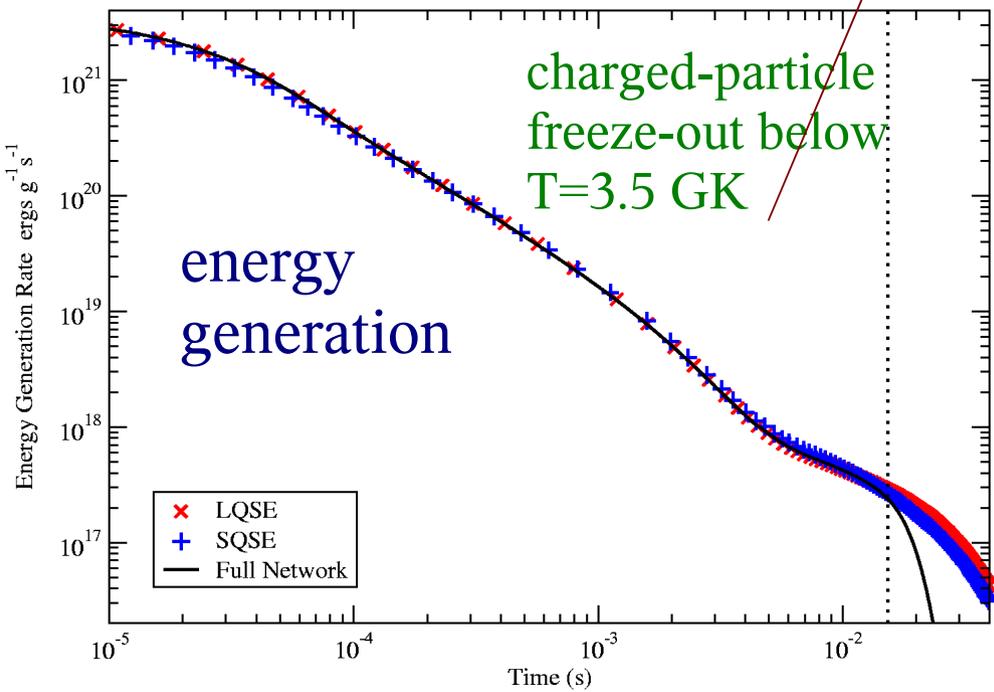
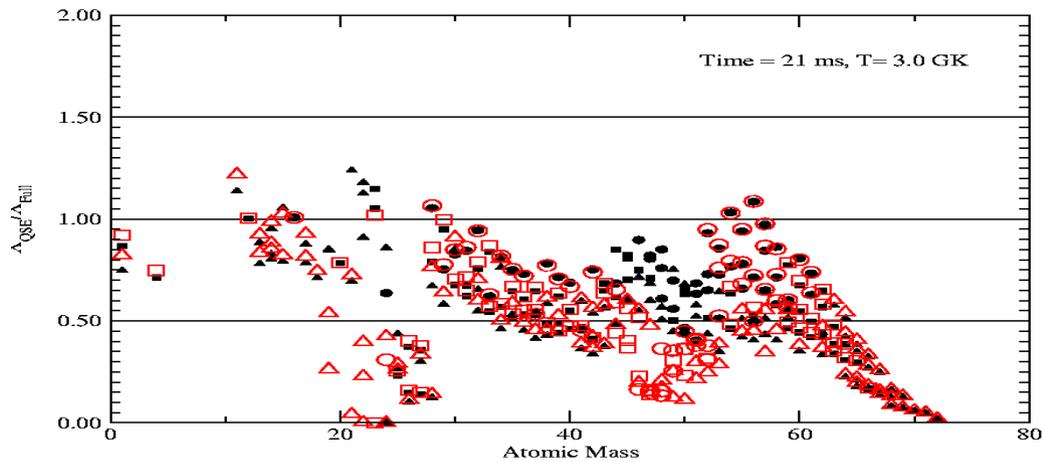
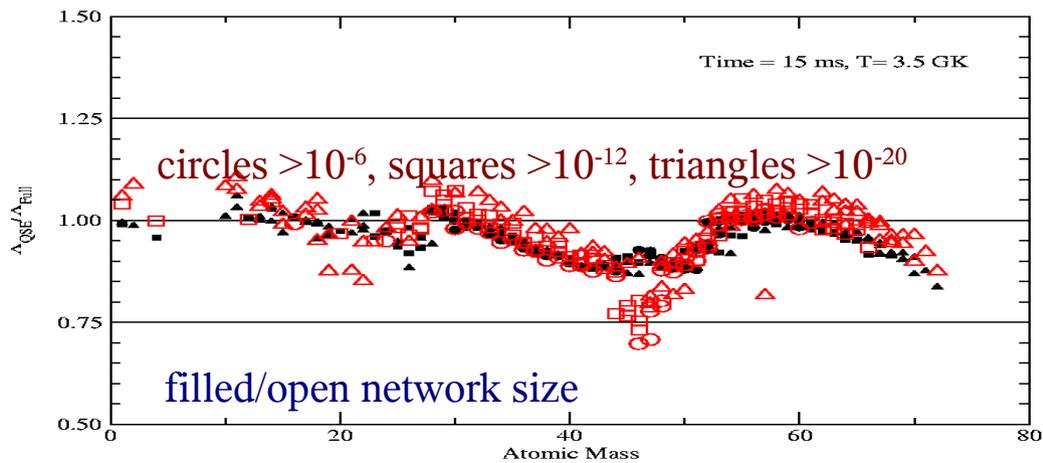
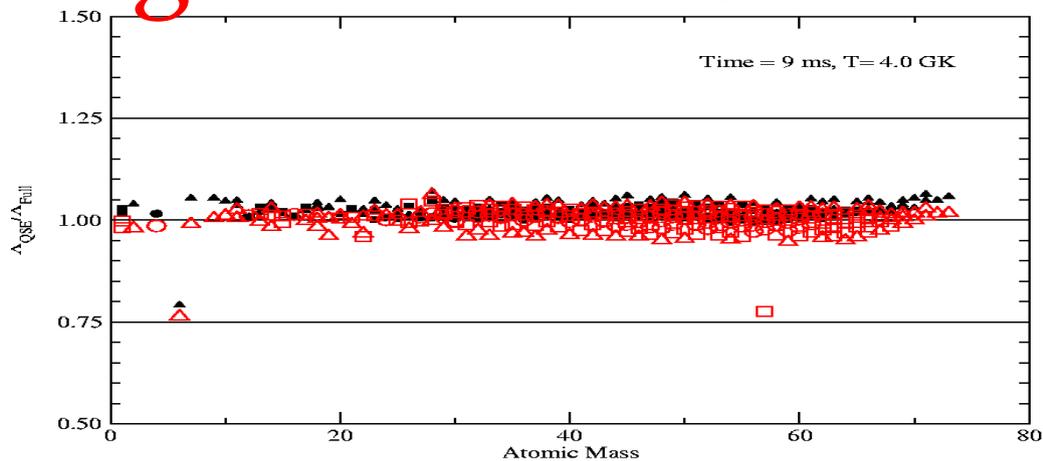
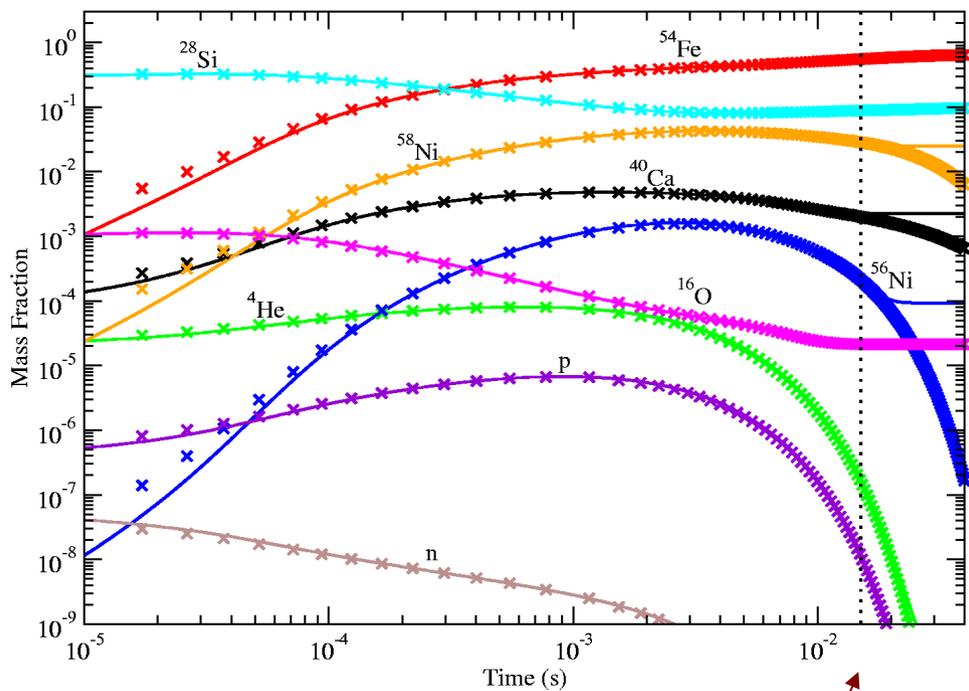
time evolution for those quantities which are in equilibrium and the individual abundances of nuclei with slow reactions which link equilibrium groups (Hix, Parete-Koon, Freiburghaus, Thielemann 2007)

# Obtaining equilibrium at high T

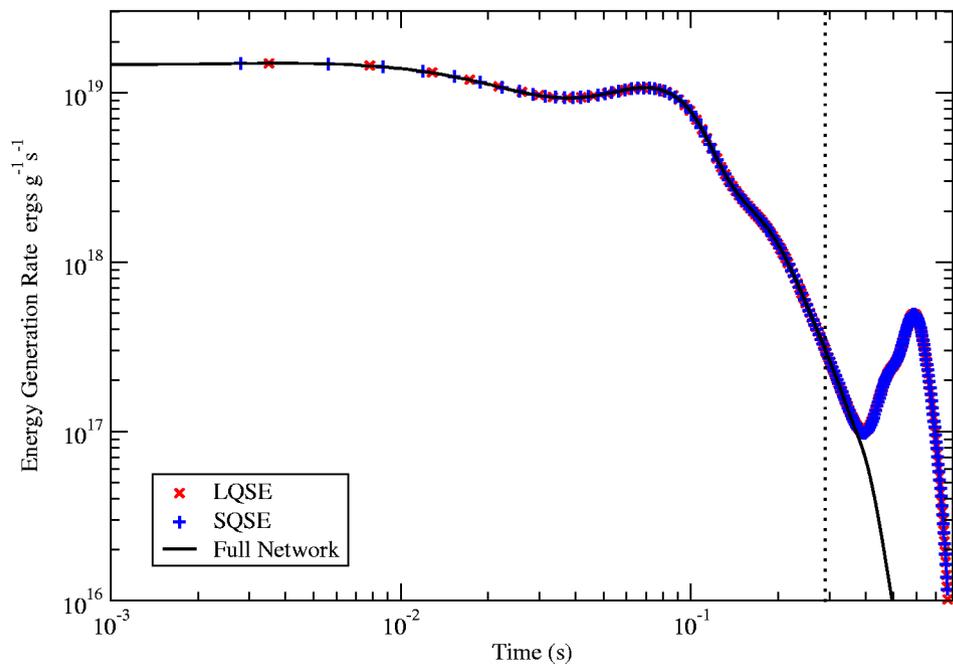
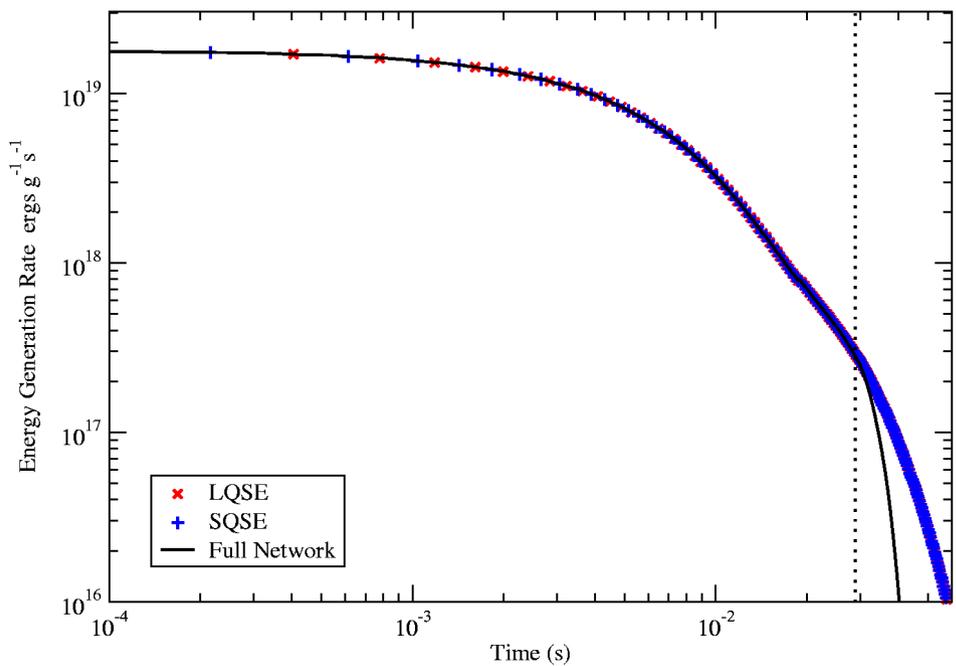
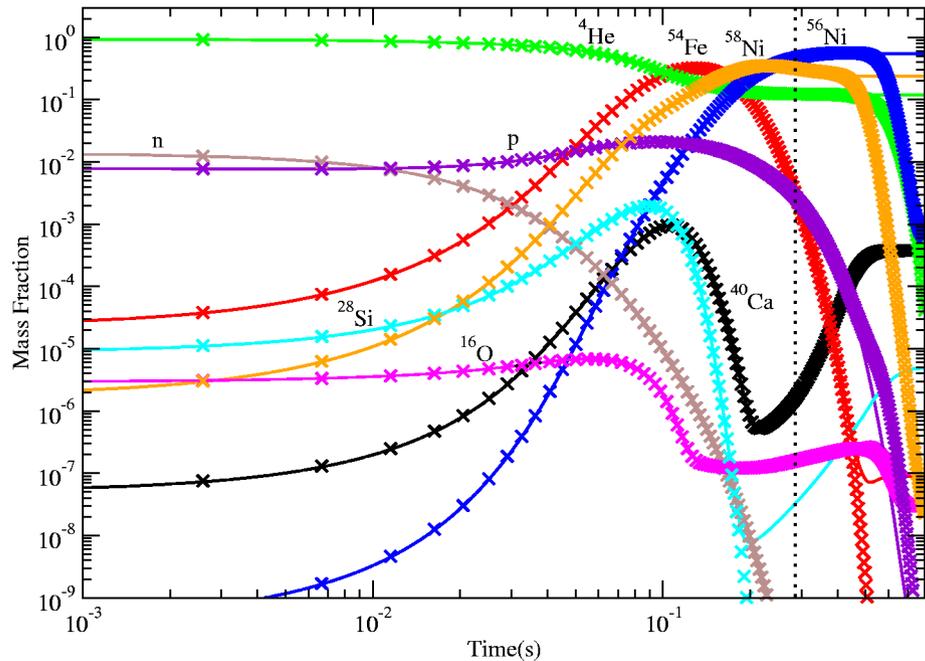
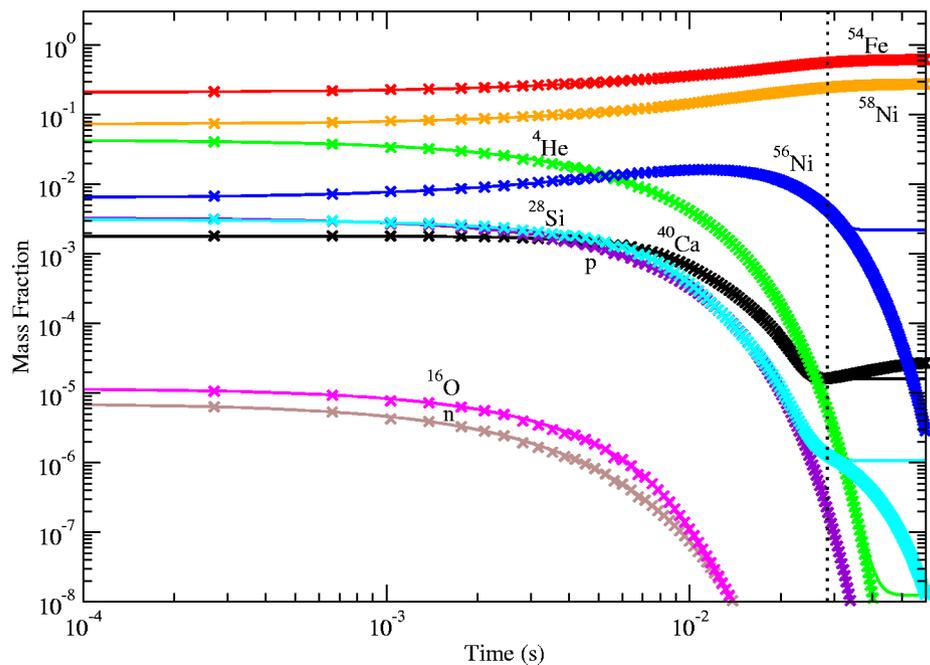


at  $T=4$  GK the equilibrium description is correct after about  $10^{-3}$  s!

# Incomplete Si-burning with freeze-out



# Normal and alpha-rich freeze-out



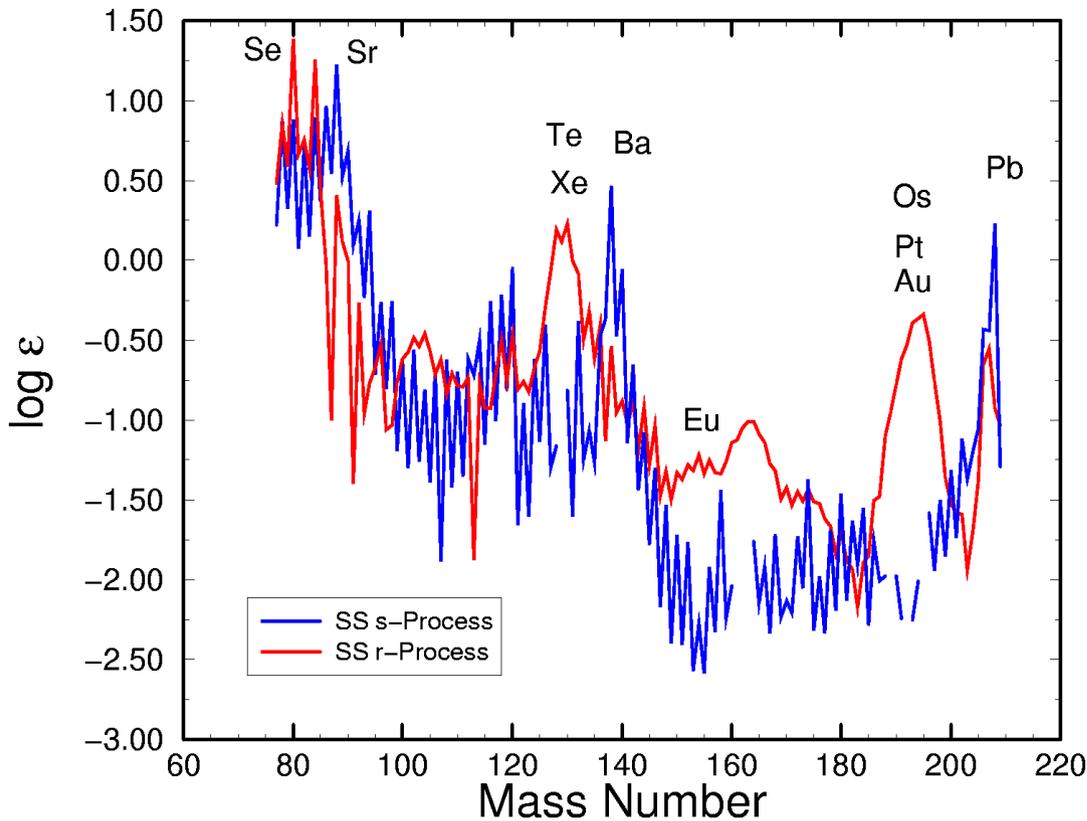
# Interim conclusions

- steady flows are approached in many hydrostatic burning stages during stellar evolution, including the s-process. They are determined by rates (often the smallest ones), which are/can be related to small Q-values.
- NSE/QSE equilibria are obtained in hydrostatic Si-burning and in explosive burning. Abundance distribution depends directly on mass differences, but for these applications mostly close to stability.
- How about QSE-equilibria linked by steady flows (and far from stability)?

# The classical r-process

- Assume conditions where after a charged-particle freeze-out the heavy QSE-group splits into QSE-subgroups containing each one isotopic chain  $Z$ , and a high neutron density is left over
- these QSE-groups are connected by beta-decays from  $Z$  to  $Z+1$
- neutrons are consumed to form heavier nuclei
- is a steady flow of beta-decays conceivable?

# s- and r-decomposition

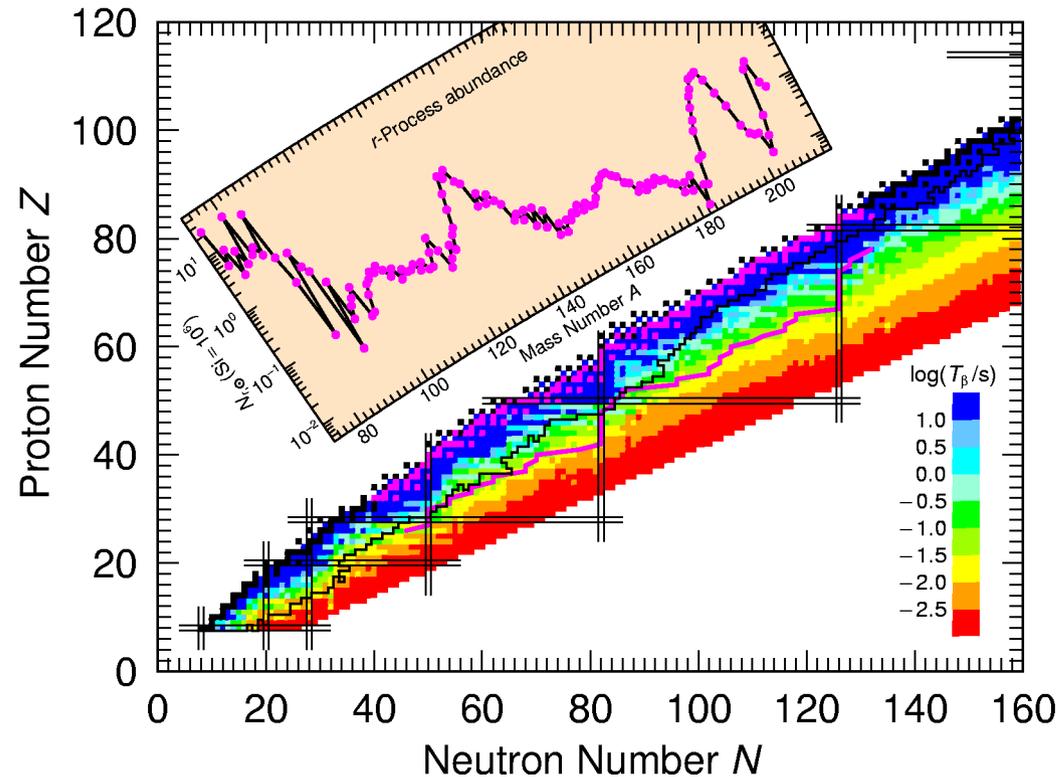


$$\begin{aligned} \dot{Y}(Z, A) &= -\lambda_{\beta^-}(Z, A)Y(Z, A) - \rho N_A \langle \sigma v \rangle_{n,\gamma} Y_n Y(Z, A) \\ &= -\lambda_{\beta^-}(Z, A)Y(Z, A) - \langle \sigma v \rangle_{n,\gamma} n_n Y(Z, A) \\ &= -\frac{1}{\tau_{\beta}} Y(Z, A) - \frac{1}{\tau_{n,\gamma}} Y(Z, A). \end{aligned}$$

which timescale is shorter? neutron capture inverse proportional to  $n_n$  !

Heavy Elements are made by **slow** and **rapid** neutron capture events

# High neutron densities lead to nuclei far from stability



Nuclear Reactions to be considered:  $(n, \gamma)$ ,  $(\gamma, n)$   
 $(\beta, xn)$ ,  $(\beta, f)$ ,  $(n, f)$ , inelastic  $\nu$ -scattering,  $(\nu_e, e^-)$  .....

# The classical r-process

How to predict abundance changes?

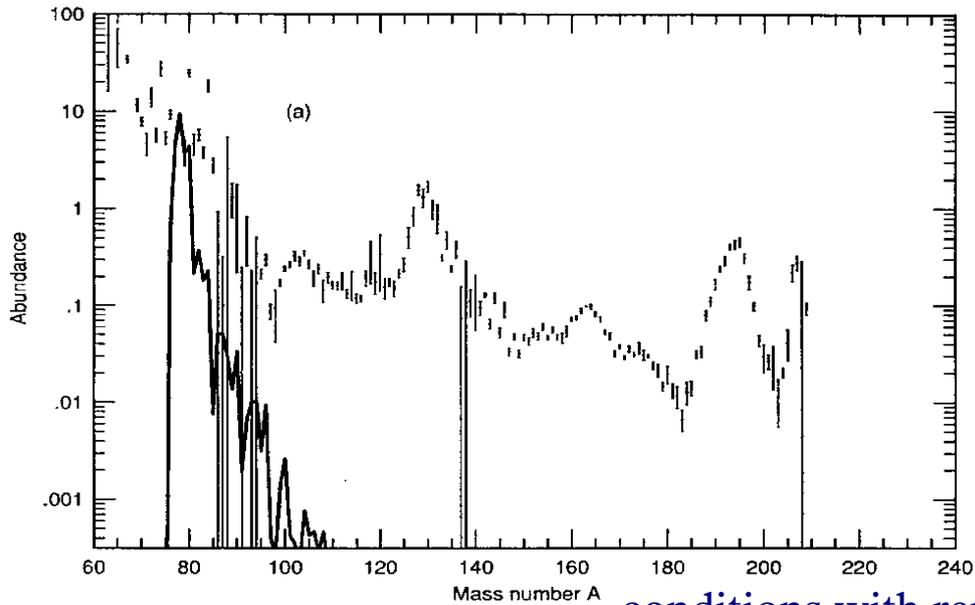
- $\dot{Y}(Z, A) = \sum \lambda_{Z', A'} Y_{Z', A'} + \sum \rho N_A \langle \sigma v \rangle_{Z', A'} Y_{Z', A'} Y_n$   
with  $n_n = \rho N_A Y_n$
- $\dot{Y}(Z, A) \approx \lambda_\gamma(Z, A + 1)Y(Z, A + 1) - \langle \sigma v \rangle_{Z, A} Y_{Z, A} n_n$  in case  $(n, \gamma)$ ,  $(\gamma, n)$  rates dominate
- $\dot{Y}(Z, A) = 0$  in chemical equilibrium,  
 $Y(Z, A + 1)/Y(Z, A) = f(n_n, T, S_n)$  due to detailed balance relation between  $\lambda_\gamma(Z, A + 1)$  and  $\langle \sigma v \rangle_{Z, A}$
- abundance **maxima** for all Z's at **same**  $S_n$
- $\dot{Y}(Z) = \lambda_\beta(Z - 1)Y(Z - 1) - \lambda_\beta(Z)Y(Z)$  for summed abundances in isotopic chain and averaged decay rates

$$\frac{Y(Z, A + 1)}{Y(Z, A)} = \frac{\langle \sigma v \rangle_{n, \gamma}(A)}{\lambda_{\gamma, n}(A + 1)} n_n \quad \lambda_{\gamma, n}(A + 1) = \frac{2G(Z, A)}{G(Z, A + 1)} \left[ \frac{A}{A + 1} \right]^{3/2} \left[ \frac{m_u kT}{2\pi \hbar^2} \right]^{3/2} \langle \sigma v \rangle_{n, \gamma}(A) \exp(-S_n(A + 1)/kT)$$

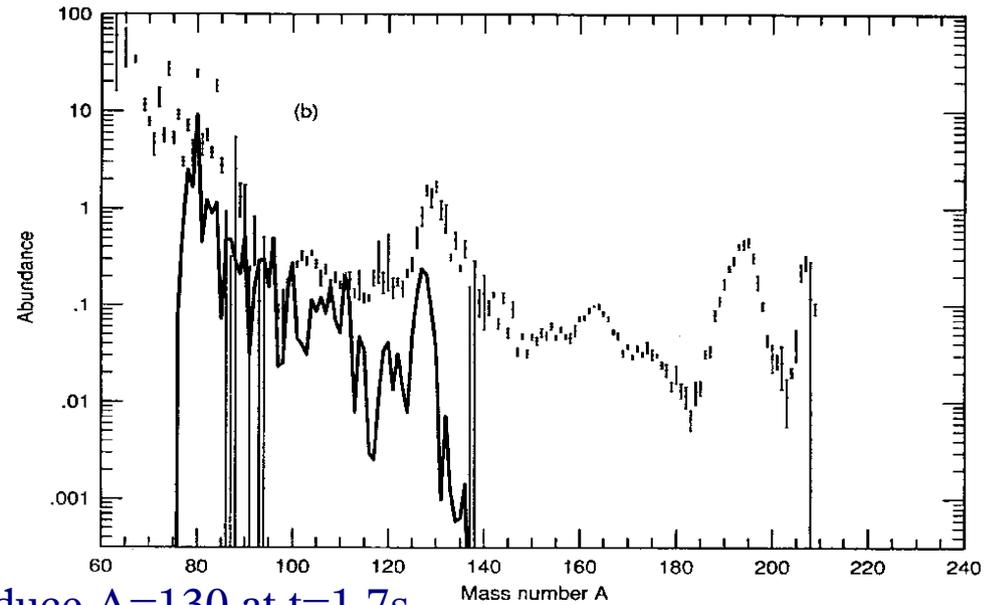
$$\frac{Y(Z, A + 1)}{Y(Z, A)} = n_n \frac{G(Z, A + 1)}{2G(Z, A)} \left[ \frac{A + 1}{A} \right]^{3/2} \left[ \frac{2\pi \hbar^2}{m_u kT} \right]^{3/2} \exp(S_n(A + 1)/kT)$$

# classical calculation with $n_n = \text{const}$ and $T = \text{const}$

Abundances (after beta decay) at  $t=0.3\text{s}$

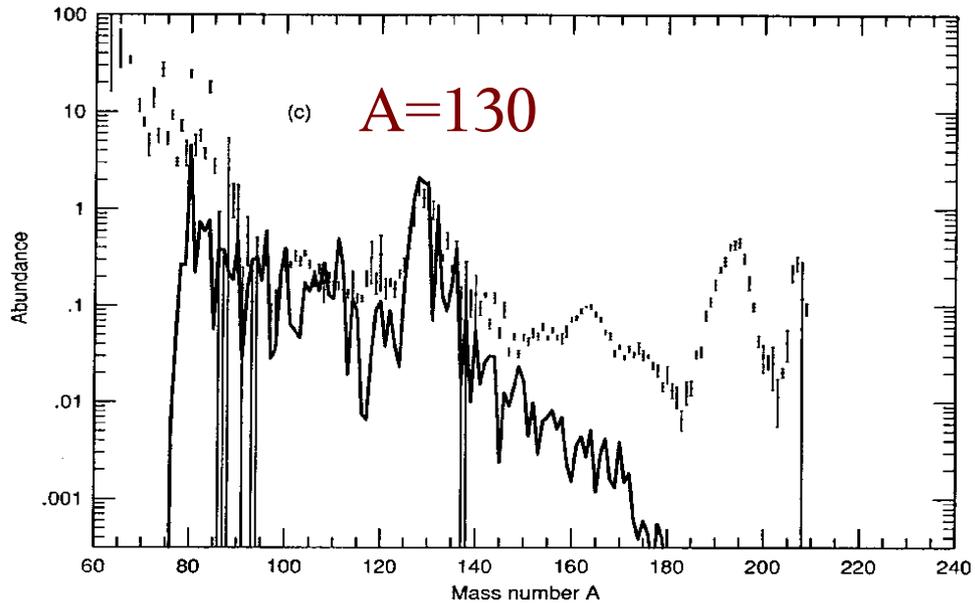


Abundances (after beta decay) at  $t=0.9\text{s}$

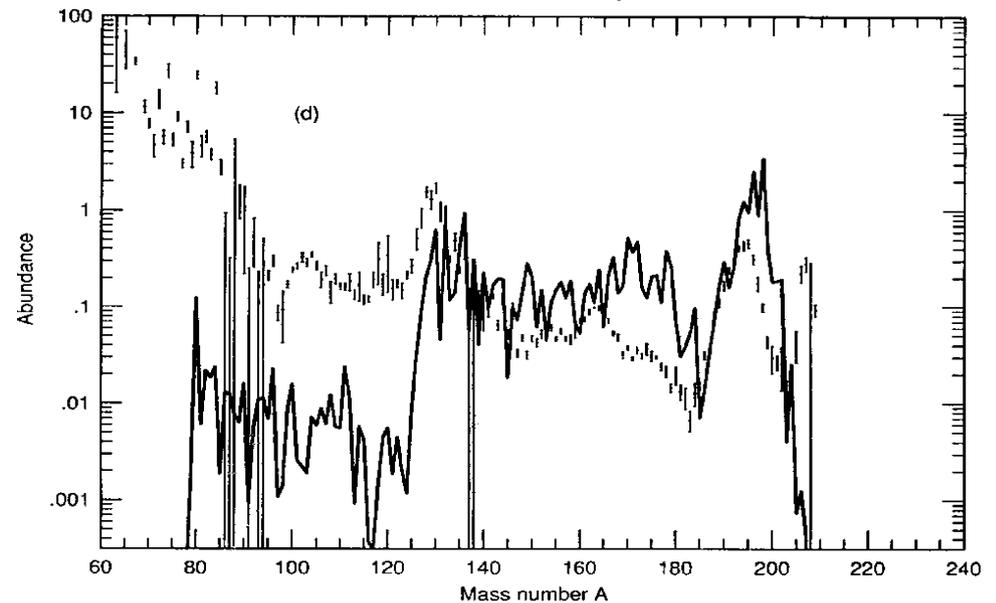


conditions with reproduce  $A=130$  at  $t=1.7\text{s}$

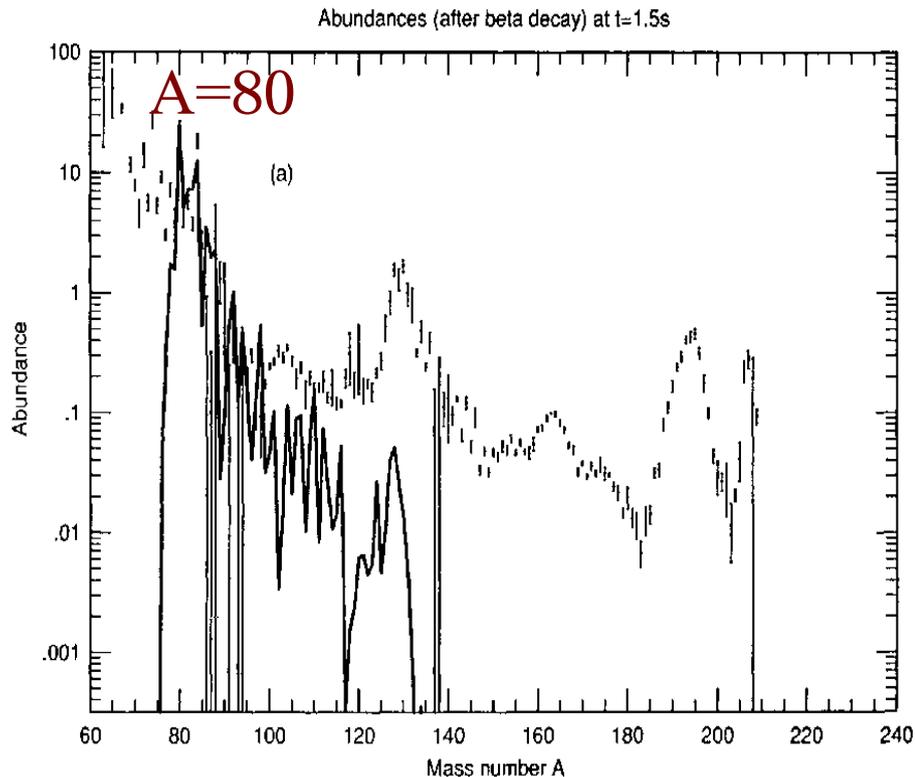
Abundances (after beta decay) at  $t=1.7\text{s}$



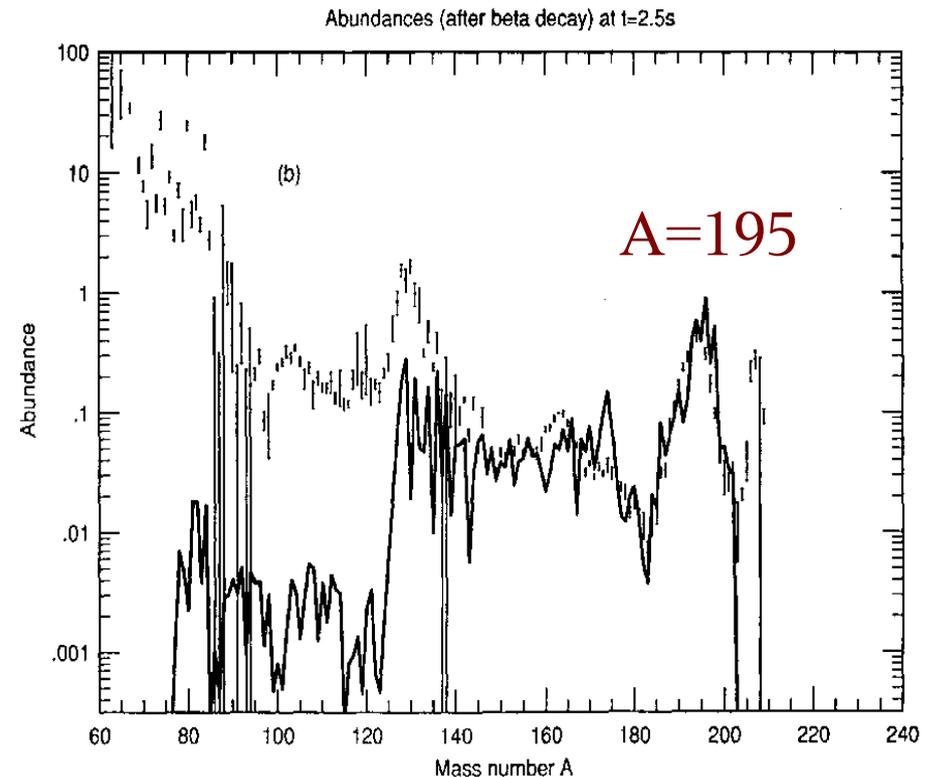
Abundances (after beta decay) at  $t=4.2\text{s}$



# A=80 and 195 peaks



$t=1.5s$

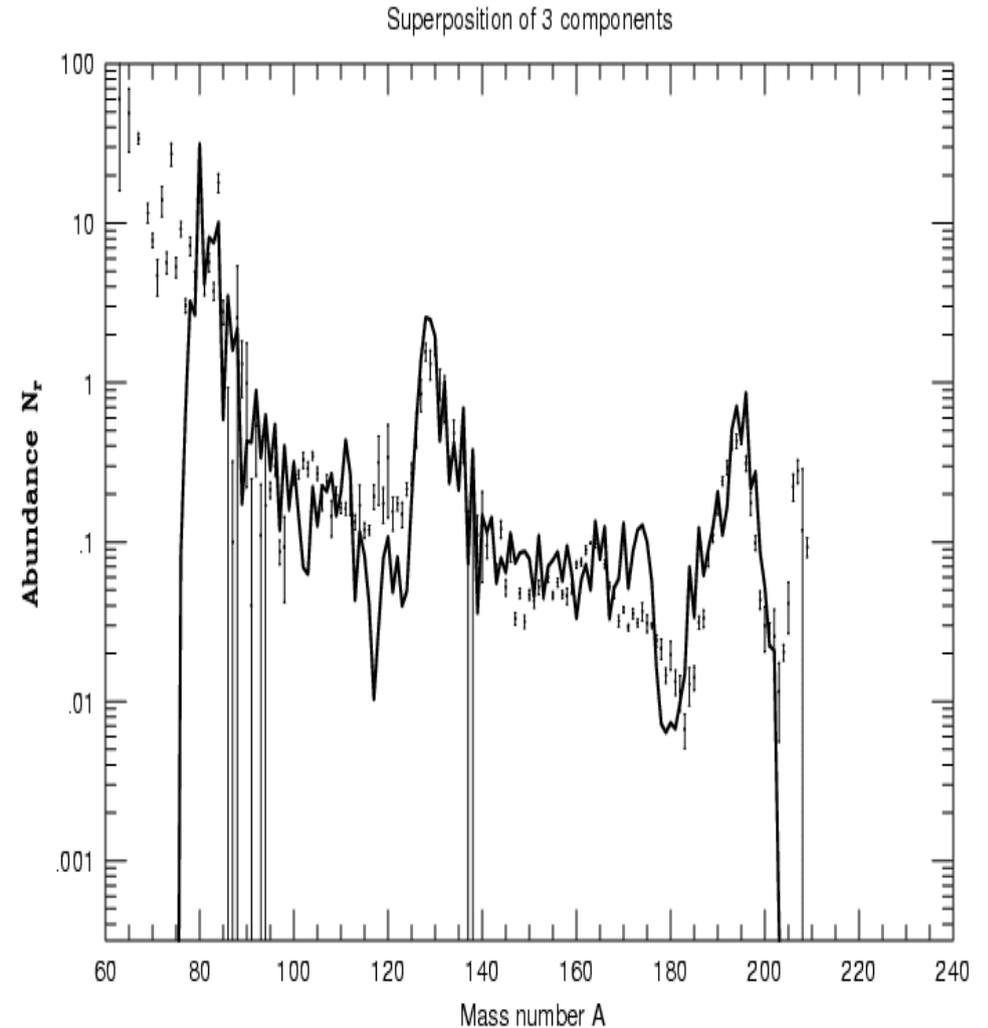
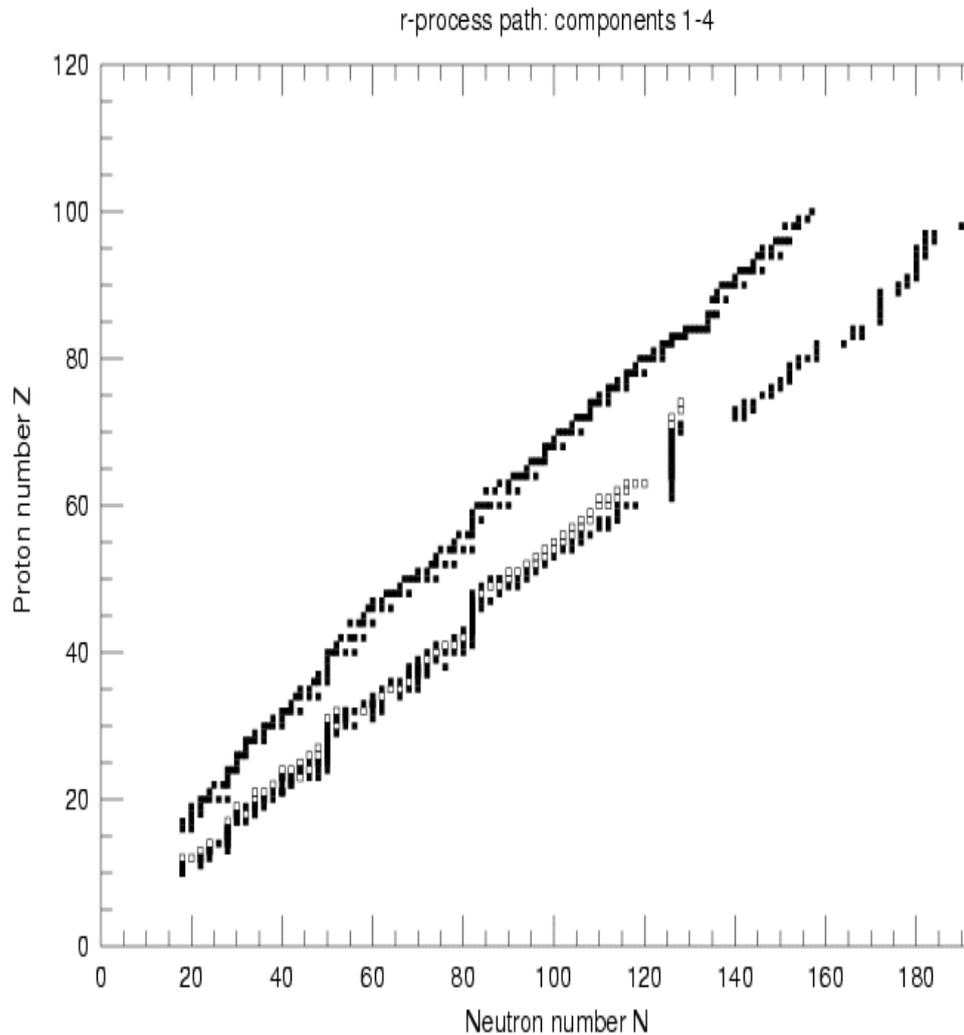


$t=2.5s$

three components produce the  $A=80$ , 130, and 195 peaks during “comparable” timescales (for the first time experimental half-lives and masses are known in the r-process path at  $A=80$  and 130)!

# Following three $S_n$ 's for timescales $t_1, t_2, t_3$

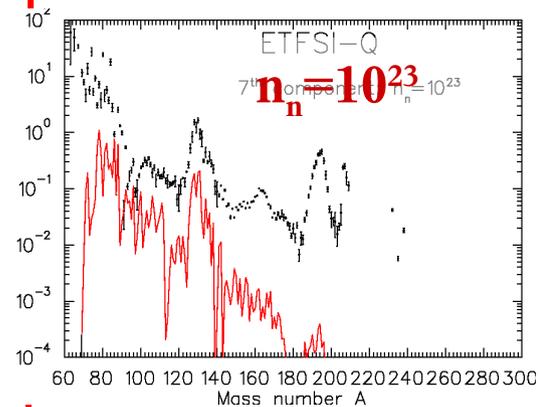
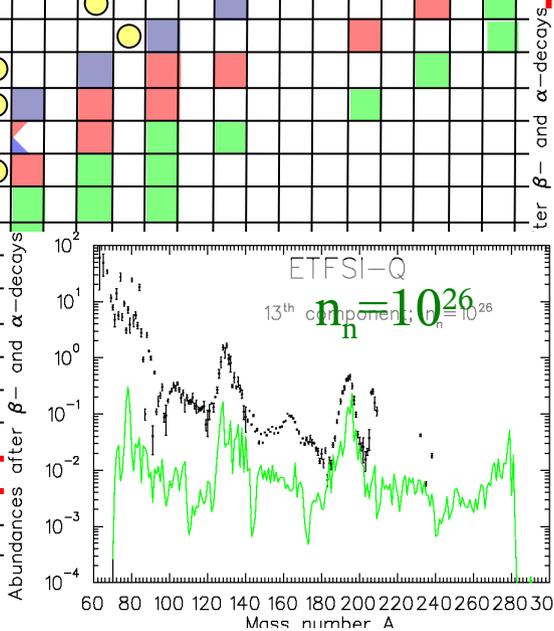
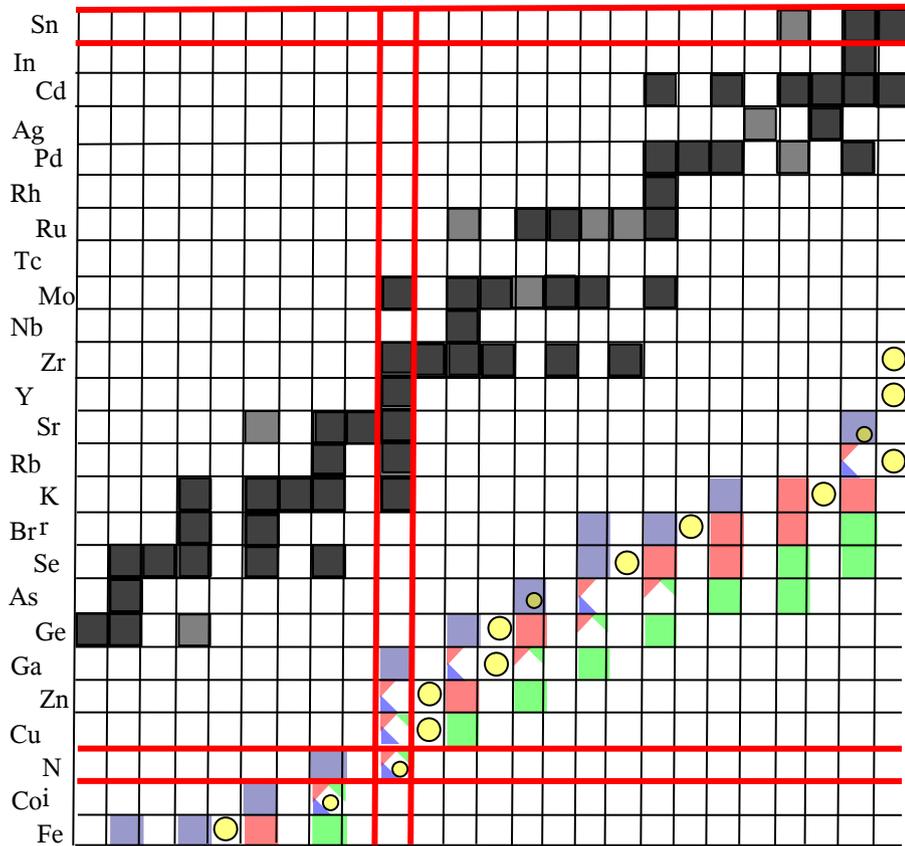
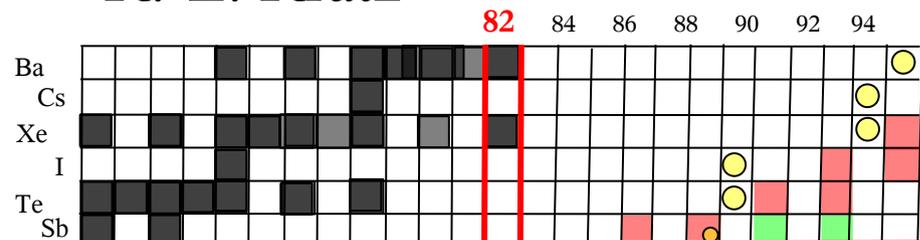
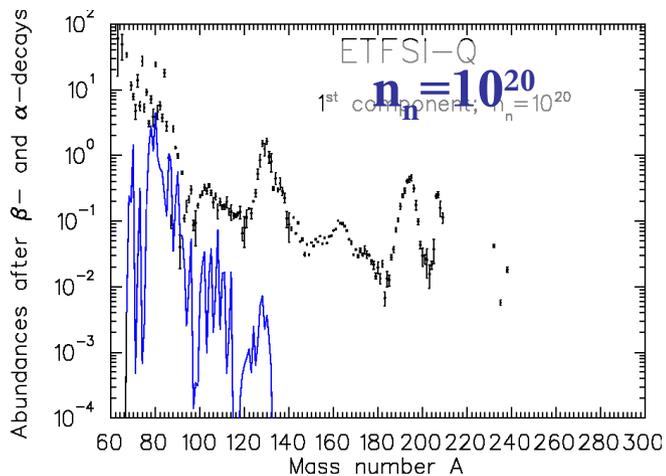
Kratz, Bitouzet, Thielemann, Möller, Pfeiffer and permutations 1993-1999



constant  $n_n$  and  $T$  for timescale  $t$  and afterwards instantaneous beta-decay

# r-Process paths for $n_n=10^{20}$ , $10^{23}$ and $10^{26}$

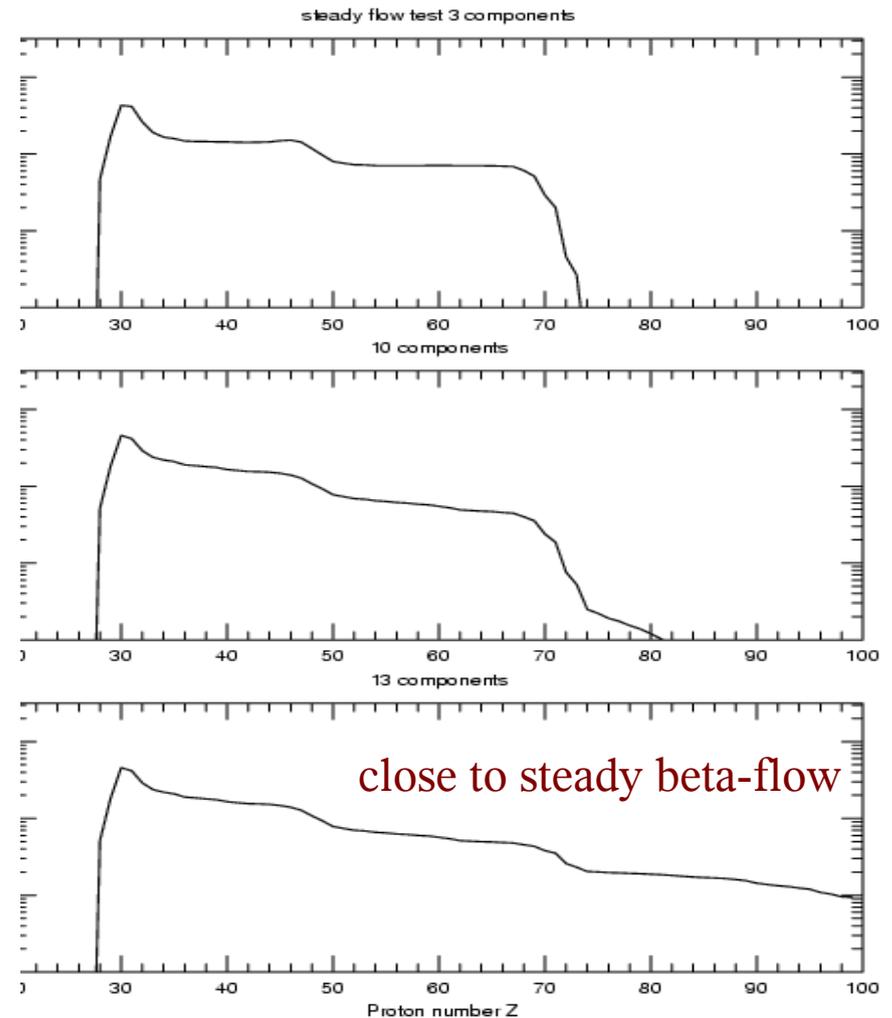
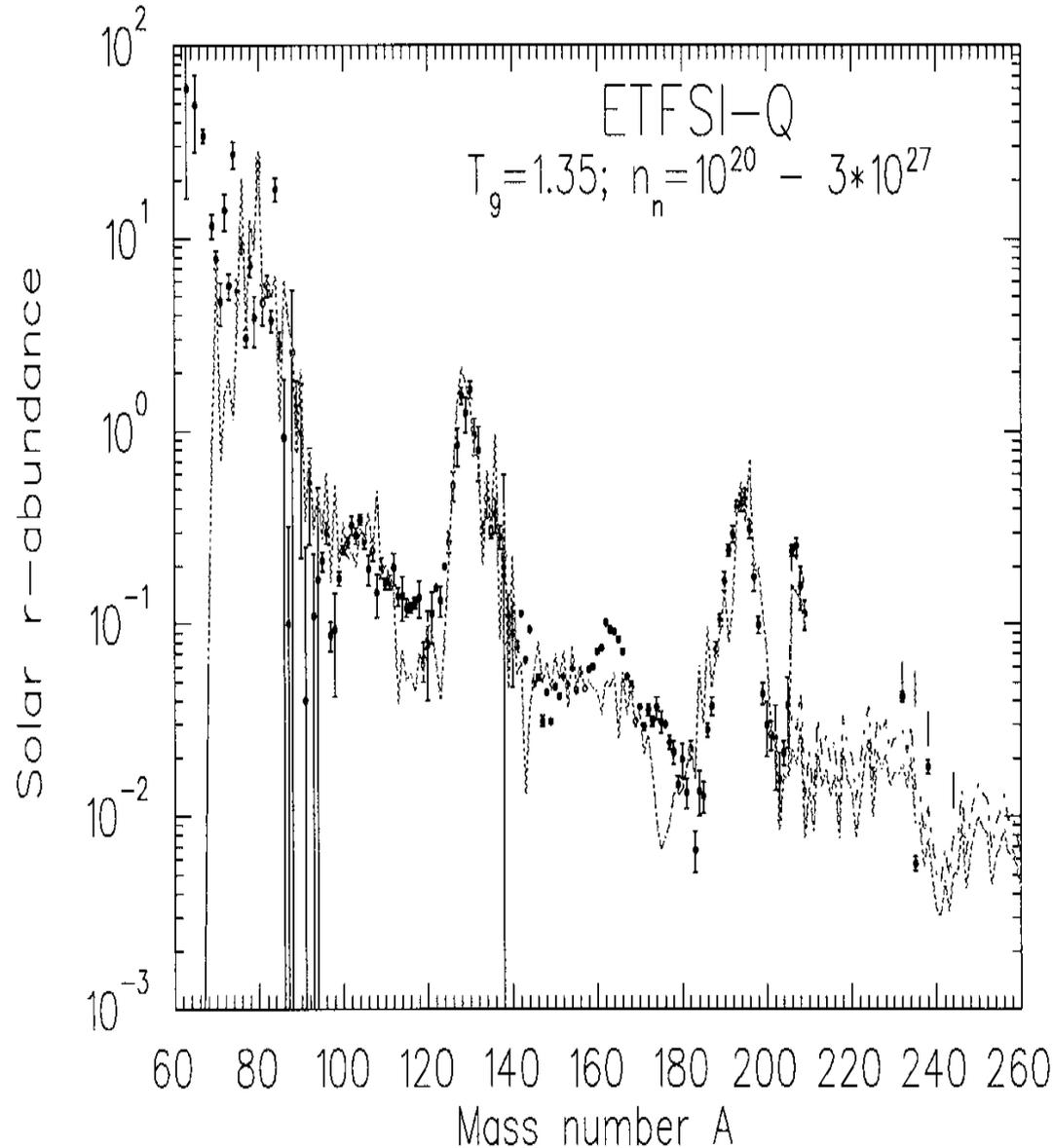
K.-L. Kratz



↑  $Z$   
→  $N$

„waiting-point“ isotopes for  $n_n=10^{20}$ ,  $10^{23}$  and  $10^{26}$

# Multi-components and steady beta-flow



decay rate of complete Z-chain multiplied with total abundance of Z-chain close to constant in between magic numbers (where long half-lives are encountered).

superposition with weights

$$w(n_n)=8.36 \cdot 10^6 n_n^{-0.247} \text{ and } t(n_n)=6.97 \cdot 10^{-2} n_n^{0.062} \text{ s}$$

# Varying the Superposition Range

This is a fit, there is freedom in choosing the superpositions. We do not know which components exist in a realistic astrophysical environments, whether the whole r-process abundance range comes from one event or whether there are „weak“ and „strong“ r-process components!

